

Mechanisms of early hemato-endothelial development from human pluripotent  
stem cells

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## **Dedication**

This thesis is dedicated to my mother, Susan, and my father, Albert.

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## Abstract

Hemogenic endothelium is a highly specialized population of vascular endothelial cells that produces hematopoietic stem cells (HSCs) during embryonic development. This process, referred to as the endothelial-to-hematopoietic transition (EHT), is critical to establish a functional hematopoietic system that persists throughout adulthood. The underlying genetic and cell signaling mechanisms that regulate human EHT remain poorly defined. Human pluripotent stem cells, including embryonic stem cells (hESCs) and induced pluripotent stem cells (hiPSCs) provide a well-defined cellular platform that can be used to study these mechanisms. In this work, functional human hemogenic endothelium was identified and isolated from the earliest hemato-endothelial cells differentiated from hESCs. Analysis of hemogenic endothelial cells at a single-cell resolution found hESC-derived hemogenic endothelium was transcriptionally distinct from vascular endothelial cells lacking hematopoietic potential. Novel genetic markers distinguishing human hemogenic endothelium are also presented. Contributions from the aryl hydrocarbon receptor (AHR), an important cell signaling molecule in HSC biology, were also assessed at the level of human EHT. Small molecule inhibition and gene deletion of *AHR* significantly improved functional hematopoietic stem and progenitor cell development from hESCs. Importantly, a novel role for AHR in the development of hESC-derived innate lymphoid cells is also presented. Collectively, this dissertation identifies and describes key transcriptional and signaling mechanisms that support human EHT. This information will be useful to optimize the development of HSCs and other hematopoietic lineages that are suitable for future clinical application.

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## Disclosure of Publications and Author Contributions

The work presented in this dissertation is a compilation of previously published manuscripts, manuscripts under peer-review at the time of submission, and manuscripts to be submitted for publication. Chapters of this dissertation have been modified, reformatted, and/or adapted from the following manuscripts. Versions of the finalized manuscripts can be referenced in the proceeding citations:

1. **Angelos MG**, Kidwai F, and, Kaufman DS. 2014. Pluripotent Stem Cells and Gene Therapy. In Jeffrey Laurence, ed. *Translating Gene Therapy to the Clinic*. Elsevier Press.

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## CHAPTER 1

*Background and Significance:*

*Human hematopoietic development and hemato-endothelial differentiation from  
human pluripotent stem cells*

## **Overview of hematopoiesis and the birth of hematopoietic stem cells**

Hematopoiesis (αίμα: blood; ποιέω: to make) is a multi-factorial process that consists of temporally and spatially ordered phases over the course of human development. The first phase, termed the “primitive wave,” occurs exclusively within the extraembryonic yolk sac and is the only site of hematopoietic development between days 21-28 of human gestation<sup>1-3</sup>. Primitive hematopoiesis begins with the differentiation of mesodermal cells into progenitor cells that are bi-potent for hematopoietic and/or endothelial fates<sup>4</sup>. These primitive cells are referred to as hemangioblasts. When hemangioblasts differentiate, they form luminal outpouches into the exocoelomic cavity classified as blood islands<sup>2</sup>. The blood islands house the earliest hematopoietic cells prior to the development of the embryological vasculature network.

Primitive hematopoiesis is largely dominated by the development of a transient population of immature erythroid cells enriched for the expression of embryonic globin genes, such as  $\epsilon$ - and  $\gamma$ -globin<sup>3</sup>. In the mouse, these cells are termed primitive erythroid colony-forming cells (Ery<sup>P</sup>-CFC) due to their ability to form colonies of large nucleated erythroid cells in semi-solid media culture. Importantly, Ery<sup>P</sup>-CFCs quickly expand within the conceptus between embryological day (E) 7.25-8.25, but cease to exist after E9.0. These findings led to the consensus that primitive hematopoiesis is a transient wave of hematopoietic development and simply functions to generate oxygen-carrying erythroid cells to meet the high metabolic demands of embryogenesis<sup>4</sup>. Furthermore, while there is an abundance of Ery<sup>P</sup>-CFC within the blood islands, significant numbers of megakaryocytic (Meg-CFC) and macrophage (Mac-CFC) colony-forming cells of

undefined function have also been identified<sup>2</sup>. This led to the idea that a short-lived, multipotent hematopoietic stem cell with limited self-renewal capability (termed ST-HSC) exists within blood islands, but are not able to support the entire range of hematopoietic cell phenotypes found in the mature adult.

Definitive hematopoiesis occurs as a second phase after the onset of primitive hematopoiesis. In mice, definitive hematopoiesis begins at E11.0, while in humans this process begins on Day 28<sup>5</sup>. In both species, hematopoietic stem cells (HSCs) with 1) the ability to differentiate into every terminal hematopoietic cell phenotype, and 2) the ability to permanently engraft into an immunodeficient mouse model, is the hallmark of definitive hematopoiesis. HSCs arise directly from specialized vascular beds of endothelial cells referred to as hemogenic endothelium (HE). HE was first characterized from the ventral wall of the dorsal aorta-gonad-mesonephros (AGM) region<sup>6-8</sup>, but has secondarily been identified from the placental vasculature bed<sup>9-11</sup>, vitelline arteries<sup>12-14</sup>, and umbilical arteries<sup>13,15,16</sup>. In these sites, HE undergo a specialized process known as the endothelial-to-hematopoietic transition (EHT). Live-cell *in vivo* imaging studies in both the mouse and zebrafish AGM using reporter genes to trace hematopoietic cells provided direct evidence of a phenotypic switch from adherent endothelium to non-adherent hematopoietic cells<sup>17-19</sup>. These newly appearing cells were found to directly bud off from HE and enter the systemic circulation. On a molecular level, there are several gene and signaling networks that contribute to EHT (described below). Macroscopically, EHT is thought to, in part, be initiated by the onset of blood flow within the developing embryo. At the AGM, HE can sense fluid shear stress associated with blood flow, which in turn stimulates HSC

development<sup>20-23</sup>. This is induced by the upregulation of nitric oxide (NO) as a consequence of biomechanical stimulation, which has also been correlated to HSC specification<sup>21,23</sup>. Indeed, studies investigating definitive hematopoiesis using heartbeat-less mouse and zebrafish models (*Ncx1*<sup>-/-</sup>) have demonstrated a dramatic reduction in hematopoietic clusters sprouting from the AGM<sup>24</sup>. Once initial HSCs emerge, they are carried within the circulation for further expansion and differentiation to the fetal liver (FL), thymus, spleen, and ultimately to the bone marrow where life-long hematopoiesis occurs<sup>25</sup>.

### **Regulatory mechanisms of the endothelial-to-hematopoietic transition (EHT) from hemogenic endothelium**

The specification of functional HSCs from HE is a multifactorial process dependent on intrinsic gene expression and extracellular cues provided by the developing hematopoietic niche. The Notch signaling pathway has emerged as a major regulator of EHT and definitive hematopoiesis<sup>26-29</sup>. In brief, Notch signaling consists of four distinct Notch receptors (Notch 1-4), of which only Notch 1 and 4 contribute to definitive hematopoietic development<sup>30,31</sup>. These transmembrane receptors bind and respond to Delta (DLL1, DLL3, DLL4) or Jagged (JAG1, JAG2) ligand families presented on neighboring cells. This interaction triggers cleavage of the intracellular Notch domain (Ncd), which then translocates to the nucleus and heterodimerizes with recombining binding protein suppressor kappa (RBPjk), that then functions as a transcription factor for many key hematopoietic genes<sup>32</sup>. AGM explants from *Notch1*<sup>-/-</sup> embryos were found to have reduced

hemato-endothelial potential as measured by colony-forming assays, but did not entirely abrogate HSC production<sup>30</sup>. This suggests that other complementary, Notch-independent pathways are also involved in EHT.

One of the most important hematopoietic transcription factors regulated by Notch signaling is RUNX1. Overexpression of RUNX1 in both zebrafish and mouse Notch signaling mutants rescues HSC development within the AGM<sup>33,34</sup>. This finding supports that RUNX1 is, in part, directly regulated by Notch. RUNX1 has been referred to as the “master regulator” of definitive hematopoietic development<sup>35</sup>. *RUNX1* is expressed in virtually all HSCs<sup>36–40</sup>, HE residing in the AGM<sup>41</sup>, and also some mesodermal progenitor cells<sup>42</sup>. In *Runx1*<sup>-/-</sup> embryos, primitive hematopoiesis develops normally, however, mid-gestational lethality arises due to a complete loss of AGM and subsequent HSC formation<sup>41,43,44</sup>. The requirement for RUNX1 in EHT has been best demonstrated in studies using a *Runx1* conditional knockout under the control of a tamoxifen-inducible VE-cadherin (endothelial cell-specific) Cre recombinase<sup>37,45</sup>. In this system, *Runx1* was not expressed in any blood cell where Cre recombinase was activated, confirming that RUNX1 was required for definitive blood formation. Furthermore, *Runx1* expression was redundant for hematopoietic stem and progenitor cell (HSPC) functionality once they initially formed from HE<sup>37</sup>. Similar function of RUNX1 in EHT has been further validated by Swiers, *et al.*<sup>46</sup> at the single cell level. Using a GFP-reporter mouse of *Runx1* gene expression, they demonstrated *Runx1*<sup>+</sup> HSCs arise directly from *Runx1*<sup>+</sup> HE. Moreover, *Runx1*<sup>-</sup> endothelial cells did not support a hemogenic program and failed to produce hematopoietic cells.



Together, these studies confirm the activity of RUNX1 is crucial for the establishment of a definitive hematopoietic program in vertebrate systems.

The *RUNX1* gene consists of two promoters: a distal (P1) promoter and proximal (P2) promoter that are separated by 160 kb. An evolutionarily conserved intra-promoter +23 hematopoietic enhancer sequence is necessary for *RUNX1* transcription<sup>47</sup>. The P1 promoter transcribes the *RUNX1c* isoform and encodes a 19-amino acid longer protein at the N-terminus, currently of unknown function. The P2 promoter transcribes the shorter *RUNX1a/b* isoform. Interestingly, each promoter has differential activity dependent on the phase of hematopoiesis<sup>47–49</sup>. The P2 promoter is first active in yolk sac mesoderm and is required for embryonic viability by inducing primitive hematopoietic programs. The P1 promoter becomes active later in development and is highly enriched within HSCs and definitive hematopoietic progenitor cells<sup>38,40</sup>. As such, acquisition of P1 promoter activity can be used as a “genetic switch” in tracking the exact moment when EHT begins.

The +23 *RUNX1* enhancer serves as a *cis*-regulatory element for several transcription factors with key roles in EHT<sup>36</sup>. Three prominent motifs include GATA, ETS, and E-BOX, which possess recognition sites for GATA2, FLI1 and SCL/TAL1, respectively<sup>50</sup>. *GATA2* functions similarly to *RUNX1* in that it is also, in part, driven by Notch1 activity. Haploinsufficiency of *Gata2* significantly decreases the total number of HSCs derived from the AGM and complete *Gata2* knockout results in lethality due to a lack of HSC production<sup>51–54</sup>. Recent evidence from the zebrafish model suggests that within HE, *gata2b* (a form of GATA2 that is restricted to HE exclusively in zebrafish) is required to initiate the expression of *runx1* in a Notch-dependent fashion<sup>55</sup>. *Fli1* functions

upstream of both *Gata2* and *Runx1*, and is one of the earliest genes expressed in the specification of HE<sup>56</sup>. *Fli1* expression is enriched within the AGM simultaneously as *Runx1* and is also observed in newly developed hematopoietic progenitors<sup>57,58</sup>. *Fli1*-deficient embryos generate almost identical phenotypes as *Runx1*-deficient and *Gata2*-deficient embryos, thus highlighting its important role in vascular and hematopoietic specification<sup>59</sup>. SCL/TAL1 also functions upstream of RUNX1 activity, however its role in definitive hematopoiesis is less clear<sup>56</sup>. SCL/TAL1 appears to be important for both primitive and definitive hematopoietic development, as *Scf/Tal1* knockouts fail to produce any hematopoietic cells, including primitive erythrocytes<sup>60-62</sup>. Furthermore, mesodermal progenitor cells lacking *Scf/Tal1* expression failed in forming blast colonies in methylcellulose assays, further indicating its crucial role in the establishment of functional HE<sup>63</sup>.

Two families of homeobox proteins (Hox and Sox) have also been implicated in EHT. In particular, HOXA3 is an important negative regulator of mouse definitive hematopoiesis. Overexpression of *HoxA3* is associated with repression of *Runx1* and other hematopoietic-specific genes, while *HoxA3* repression is associated with increased *Runx1* expression and retention of an endothelial cell phenotype<sup>64</sup>. HOXB4 is suggested to function as a molecular switch in conferring lymphoid potential to otherwise primitive hematopoietic cells developed from mouse embryonic stem cells<sup>65</sup>. However, it seems HOXB4 is redundant in human cells, as expansion and long-term engraftment of hematopoietic cells derived from *HOXB4*-overexpressed human embryonic stem cells (hESCs) was not achieved<sup>66</sup>. *HOXA9* has been implicated in human EHT, but again, is not

sufficient on its own to confer long-term engraftment<sup>67</sup>. Dou *et al.*<sup>68</sup> recently implicated medial *HOXA* genes to be key regulators of HSC maintenance, but these genes were silenced in hESC-derived hematopoietic cells. This suggests the *HOXA* locus may be a key regulator in developed AGM to produce engraftable hematopoietic cells. In human EHT, *SOX17* has also recently been identified as a genetic marker of HE and that it is required for the development of the earliest hematopoietic progenitor cells in a Notch-dependent fashion<sup>69–72</sup>.

Notch-independent signaling pathways have also been identified as important players in EHT. Wnt signaling is especially active in lateral plate mesoderm during embryogenesis and several key Wnt-associated molecules are overexpressed in the AGM<sup>73–76</sup>. Furthermore, addition of small molecules used to hyperactivate Wnt effector responses have increased the yield of HSPCs with lymphoid potential in zebrafish, mouse, and human models of hematopoiesis<sup>77–79</sup>. Bone morphogenic protein-4 (BMP4), a member of the TGF- $\beta$  superfamily, has also been shown to support hemato-endothelial specification from the lateral plate mesoderm<sup>80</sup>. Addition of BMP4 to cell culture enhances hematopoietic activity, and does so by converging on both GATA2 and RUNX1 to promote a definitive hematopoietic program<sup>80–82</sup>. Taken together, EHT is a complex process that is dependent on many signaling and transcriptional modalities that function in a well-concerted manner during embryogenesis to produce the earliest hematopoietic cells (Figure 1-1).

### **Modeling human hematopoiesis using human pluripotent stem cells**

Our understanding of the earliest events of human hematopoiesis has mostly developed from studies using mouse models. While the mouse hematopoietic system is similar to that of humans, there are several major differences between species that necessitate study with human cells to appropriately understand human hematopoietic development. First, the balance of adult blood leukocytes is significantly different. Mouse blood is skewed towards lymphocytes (75-90% B-, T-, and NK cells; 10-25% neutrophils), while human blood is skewed towards neutrophils (50-70% neutrophils; 30-50% lymphocytes)<sup>83,84</sup>. Second, surface antigens specific to HSC phenotypes are different (Mouse: CD34<sup>-/lo</sup>c-kit<sup>hi</sup>CD38<sup>+</sup>Flt-3<sup>-</sup>; Human: CD34<sup>hi</sup>c-kit<sup>lo</sup>CD38<sup>-</sup>Flt-3<sup>+</sup>) as well as the chronicity between HSC divisions<sup>85,86</sup>. Third, IL-7 receptor-mediated signaling is required for mouse B-cell lymphopoiesis, but it is dispensable in humans<sup>83,87</sup>. Fourth, as previously mentioned, HOXB4 overexpression expands mouse HSCs, but not human HSCs, suggesting HSC self-renewal is different between species<sup>65,66,87</sup>. Fifth, erythroid cell maturation differs in globin switching mechanisms<sup>3</sup>. Lastly, innate immune cell surface immunophenotype is highly variable between species, potentially leading to differences in function<sup>87,88</sup>.

Human pluripotent stem cells, such as human embryonic stem cells (hESCs) and human induced pluripotent stem cells (hiPSCs), provide an excellent platform for studying the earliest events of human hematopoietic development and differentiation of HSPCs into terminal blood lineages. The first hematopoietic derivations from hESCs were demonstrated by Kaufman *et al.*, in which hESCs co-cultured with murine bone marrow stroma or yolk sac endothelial cells produced CD34<sup>+</sup> hematopoietic cells with myeloid,

erythroid, and megakaryocytic colony-forming potential<sup>89</sup>. Although this seminal study did not generate cells of lymphoid lineage, other groups have modified hESC conditions using various stromal cell co-culture and defined cytokine approaches to promote hematolymphoid development. Vodyanik *et al.*<sup>90</sup> and Choi *et al.*<sup>91</sup> co-cultured hESCs with the OP9 mouse bone marrow stromal cell line in the absence of additional hematopoietic cytokines. This method generated HSPCs with multilineage potential, including B-lymphocytes and natural killer (NK) cells. Timmermans *et al.*<sup>92</sup> expanded on this method using a OP9 cell line genetically engineered to overexpress DLL1 (OP9-DL1) to generate CD3<sup>+</sup> T-lymphocytes with functional T-cell receptors. To better recapitulate the AGM and developing hematopoietic niche, Gori *et al.*<sup>93</sup> recently utilized engineered endothelial cell lines that overexpressed two Notch-related ligands, JAG1 and DLL4, to differentiate non-human primate iPSCs into hematopoietic cells. Interestingly, these iPSCs generated massive quantities of CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells as compared to non-endothelial cell culture. They also found longer engraftment time of CD34<sup>+</sup> cells in secondary transplant recipients with multilineage potential, but they only assessed as far as 12 weeks. These data highlight the importance of recapitulating the developing human hematopoietic niche for the production of multilineage HSPCs from hESCs/hiPSCs.

Cytokines and small molecules have also been used to influence differentiation of definitive hematopoietic cells from human pluripotent stem cells. The addition of TGF- $\beta$ /Activin/Nodal inhibitors has been one successful strategy. Since TGF- $\beta$ /Activin/Nodal signaling is crucial for primitive erythropoiesis<sup>94</sup>, inhibition of this pathway could skew hematopoietic development towards definitive lineages. Indeed, treatment of hESC

cultures with Activin/Nodal inhibitors minimized production of primitive progenitor cells and supported definitive hematopoietic development<sup>77,78</sup>. Wnt signaling has also been amplified using GSK-3 inhibitors (thus, increasing transcription of Wnt effector targets) to promote the development of hemato-lymphoid cells, suggesting enhanced definitive hematopoiesis lineage commitment<sup>79,95</sup>. New evidence using a combination of TGF- $\beta$ /Activin/Nodal and GSK-3 inhibition further improved hemato-lymphoid potential from hiPSCs as compared to either treatment alone<sup>96</sup>.

While various stromal cell platforms and defined additives have been employed, no *in vitro* method alone to date has developed true HSCs that are multipotent and capable of life-long, permanent engraftment from hESCs/hiPSCs. However, there are two published studies that demonstrate hiPSCs can yield transplantable HSCs<sup>97,98</sup>. In each case, undifferentiated hiPSCs were injected into immunocompromised mice and allowed to form self-assembled teratomas. HSPCs and mature lymphoid cells could be isolated from dissected teratomas, with some HSPCs capable of multilineage reconstitution. Hematopoiesis was enhanced with the co-injection of OP9 cells intra-teratomally. While these HSPCs cannot be used for clinical purposes due to xenotransplantation and inefficient yield, these studies provide crucial evidence on a basic level that hESCs/hiPSCs possess the intrinsic capacity to differentiate into HSPCs *ex utero*. However, these studies also shed light on their dependency of a local and embryological-like microenvironment to fully mature into functional hematopoietic cells.

## **Innate lymphoid cell (ILC) development and differentiation from human pluripotent stem cells**

Innate lymphoid cells (ILCs) are a relatively newly defined grouping of lymphoid cells that contribute important factors to the innate immune response in blood and mucosal barriers<sup>99,100</sup>. In essence, ILC development, phenotype, and function in the innate immune response correlates to that of T-lymphocytes in the adaptive immune response<sup>101</sup>. Group 1 ILCs are comprised of conventional natural killer (cNK) cells (analogous to CD8<sup>+</sup> cytotoxic T-cells) and non-toxic ILCs (ILC1) that secrete TNF and IFN $\gamma$ <sup>102,103</sup>. Group 2 ILCs (ILC2) are analogous to CD4<sup>+</sup> T<sub>H</sub>2 helper cells in that they secrete IL-4, IL-5, IL-9, and IL-13 to facilitate Type 2 inflammatory responses<sup>99</sup>. Human Group 3 ILCs (ILC3) are a heterogeneous population that can be subdivided into two groups based upon expression of natural cytotoxicity receptor (NCR; NKp44 and NKp46). NCR<sup>+</sup> ILC3 can express and secrete IL-22<sup>104–106</sup>. Developmentally related to Group 3 ILCs are lymphoid tissue inducer (LTi) cells, which are required for secondary lymphoid organ development<sup>107</sup>. Confounding these classifications is an increasing body of evidence that suggest ILC subsets are plastic and may transform into other ILC phenotypes. As such, deconvoluting the heterogeneity of human ILCs remains an active area of research<sup>99,102,103,107</sup>.

All lymphocytes stem from a common lymphoid progenitor (CLP) cell<sup>4</sup>. While B-lymphocyte development in the bone marrow and T-lymphocyte development in the thymus have been well-characterized, human ILC development remains unclear. The first specification of ILCs is dependent on TOX and NFIL3 (also referred to as E4BP4) to produce a combined NK/ILC progenitor cell that lacks B- and T-lymphocyte potential<sup>108–</sup>

<sup>110</sup>. This cell, sometimes referred to as an  $\alpha$ -lymphoid precursor ( $\alpha$ LP) and interchangeable with the nomenclature “pre-NK cell”, uniformly expresses CD34, CD45RA, CD117 and  $\alpha 4\beta 7$  integrin, but lacks other ILC developmental markers such as CD56 and CD94<sup>99,102,111</sup>.  $\alpha$ LPs are thought to then specify either into NK cell progenitors (termed “iNK cell”) or LTi/ILC progenitors in part by the activity of ID2 and GATA3. *GATA3* gene ablation in HSCs prevents the development of ILCs (mainly ILC2), but does not affect NK cell development<sup>112–114</sup>. iNK cells at this stage begin to acquire NK cell-like functionality in producing IL-5, IL-13, and tumor-necrosis factor-related apoptosis inducing ligand (TRAIL)<sup>115</sup>. Next, iNK cells continue to develop into matured NK cells, first becoming a cytotoxic CD56<sup>bright</sup> NK cell that begins to express mature NK cell surface antigens (CD94/NKG2A, NKG2D, NKp46), and ultimately transitioning into a CD56<sup>dim</sup> NK cell with full cytotoxic potential<sup>116</sup>. EOMES, T-BET, and ID2 have all been identified as key transcription factors mediating iNK maturation<sup>117</sup>. On the other hand, murine studies show that ILC/LTi progenitors can differentiate into LTi cells based on expression of *RORC* or can commit to ILC lineages based on expression of *PLZF*<sup>99</sup>. From here, specification into ILC1, ILC2, and ILC3 cells is predominantly under transcription factor control. ILC1 specification is dictated by T-BET, ILC2 by GATA3, and ILC3 by ROR $\gamma$ t. The aryl hydrocarbon receptor (AHR) has also been shown to be indispensable for functional ILC3 differentiation<sup>118,119</sup>.

The Kaufman lab has pioneered NK cell differentiation from human pluripotent stem cells. The initial report utilized a two-step culture method—first to differentiate CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells from hESCs on mouse stroma and then



defined conditions to support NK cell differentiation<sup>120</sup>. Here, cytokines that induced peripheral and umbilical cord blood CD34<sup>+</sup> differentiation into NK cells (Flt3-L, SCF, IL-3, IL-7, and IL-15) were used to generate CD56<sup>+</sup>CD45<sup>+</sup> cells that co-expressed matured NK cell surface antigens, such as NKG2A, NKp44, NKp46, and KIR. These hESC-derived (and later hiPSC-derived) NK cells also possessed direct cytotoxic activity against leukemia, solid tumors, and HIV infected CD4<sup>+</sup> T-cells<sup>120–124</sup>. It was later found that co-culture using OP9-DL1 prevented differentiation towards T-lymphoid lineages and instead increased NK cell development<sup>125</sup>. Mature hESC- and hiPSC-derived NK cells once differentiated can also be expanded similar to peripheral blood-derived NK cells when cultured in the presence of IL-2 and stimulated with membrane bound IL-21 expressing antigen presenting cells<sup>126,127</sup>.

### **The aryl hydrocarbon receptor (AHR) and its role in human hematopoiesis**

The AHR is a member of the Per/Arnt/Sim (PAS) family of environment-sensing, basic helix-loop-helix transcriptional regulators<sup>128–130</sup>. AHR is a cytoplasmic receptor that normally is stabilized by co-chaperone protein HSP90, X-associated protein 2 (XAP2), and p23<sup>131–133</sup>. Following ligand binding to AHR, the HSP90:XAP2:p23 complex is removed and becomes stabilized by ARNT/HIF1 $\beta$  (aryl hydrocarbon nuclear translocator/hypoxia-inducible factor 1 $\beta$ ), forming a functional heterodimer. This heterodimer subsequently binds to the 5'-GCGTG-3' consensus within xenobiotic responses element (XRE) that is located immediately upstream of several AHR target genes, such as *CYP1A1*, *CYP1B1*, *AHRR*, *IL-17*, and *IL-22* (Figure 1-2). AHR homology is evolutionarily conserved across

diverse species and has historically been studied for its role as a mediator of cellular toxicity in response to environmental pollutants<sup>134</sup>.

Recent studies have shown AHR is expressed in many tissues during embryonic development and its signaling can have broad effects on genes that influence development, proliferation, differentiation, and the innate immune response<sup>135–137</sup>. Endogenous AHR-mediated signaling has been shown to play a potential role in developmental hematopoiesis. Genetic profiling studies and computational analyses from mouse models reveal *Ahr* is expressed in hematopoietic stem cells (HSCs)<sup>130,138</sup>. *Ahr* expression is highest in immature hematopoietic cells (including immature B-cells, T-cells, and bone-marrow derived Sca<sup>+</sup> HSCs) relative to terminally differentiated phagocytes, dendritic cells, erythroid cells, T-cells, and megakaryocytes<sup>138</sup>. AHR activation is also required for the development of Th<sub>17</sub> cells, regulatory T-cells, and ILC3<sup>129,137,139,140</sup>. A high-throughput screen to identify compounds that promote expansion of CD34<sup>+</sup> umbilical cord blood *ex vivo* discovered that StemRegenin-1 (SR-1), a potent AHR antagonist, could increase the number of engraftable CD34<sup>+</sup> cells at least 17-fold and are suitable for transplant<sup>141</sup>. Indeed, Wagner *et al.*<sup>142</sup> recently transplanted expanded umbilical cord blood-derived CD34<sup>+</sup> cells treated with SR-1 in a Phase I/II clinical trial. SR-1 treated CD34<sup>+</sup> cells robustly expanded over 300-fold and conferred faster time to engraftment of neutrophils and platelets relative to patients treated with manipulated units. These breakthroughs demonstrate that AHR may also be a crucial mediator of HSC growth and differentiation. Indeed, *Ahr*<sup>-/-</sup> mice present with splenomegaly, altered numbers of erythrocytes and leukocytes, and a 2-fold enhancement in HSC-enriched Lin<sup>-</sup>Sca<sup>+</sup>c-Kit<sup>+</sup> cells in the bone

marrow compartment<sup>143</sup>. Furthermore, *Ahr*<sup>-/-</sup> mice are susceptible to lymphoma and other hematological malignancies<sup>144</sup>. Collectively, these data suggest that AHR may also serve as a critical regulator of definitive hematopoiesis. AHR expression is required to maintain HSC quiescence and hematopoietic progenitor cell differentiation, while AHR repression is necessary to promote expansion and maturation of HSCs and terminal hematopoietic cells. It remains unknown what, if any, specific role AHR plays earlier in the process of early human hematopoietic lineage commitment. It is also unclear whether AHR signaling is important for the specification of mesoderm-derived cells into primitive and/or definitive hematopoietic cells via EHT mechanisms.

## **Thesis Statement**

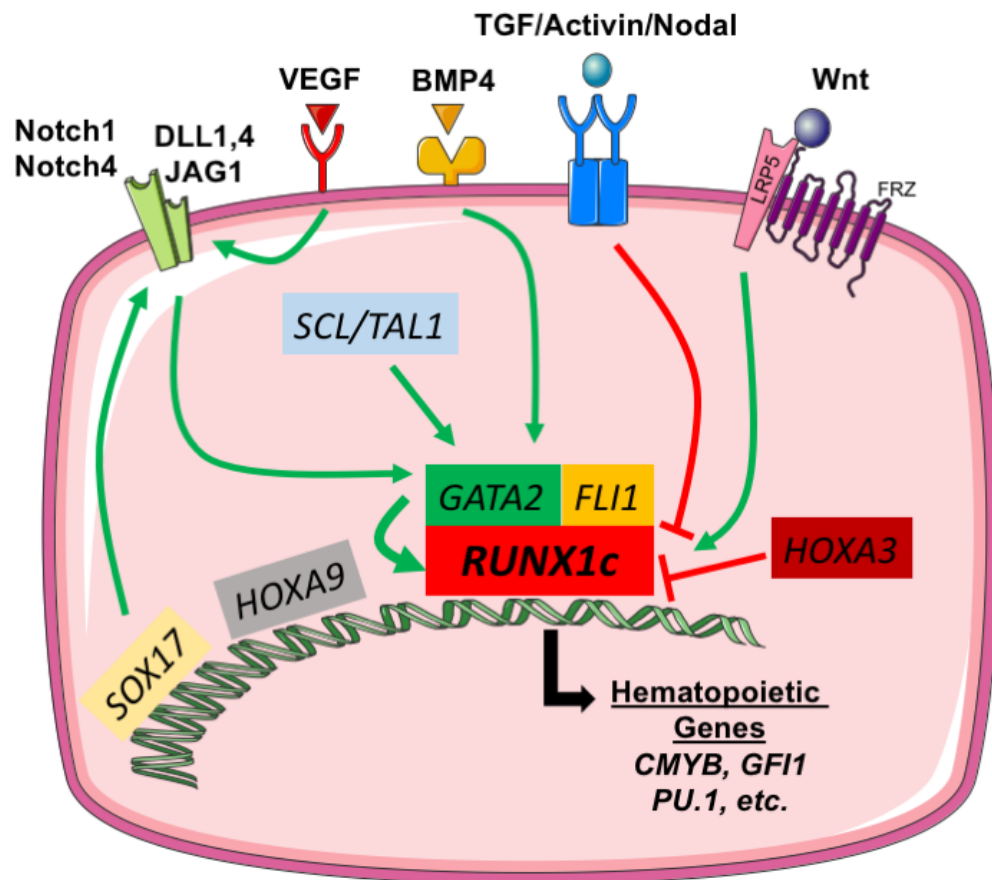
The experiments described in this dissertation contribute novel information to our understanding of human endothelial and hematopoietic development. Previous knowledge of these processes has largely been derived from studies that are dependent on non-human animal models, which do not perfectly correlate with human biology. By using human pluripotent stem cells to model the earliest events of hematopoiesis, we can identify unique biological features of the endothelial-to-hematopoietic transition (EHT) and the maturation of adult blood cells that are specific to human development. Furthermore, these data can be extrapolated to optimize the production of HSPCs that may be suitable for treating patients with hematological and immunological pathologies.

In Chapter 2, we first establish an approach to define functional human hemogenic endothelial cells using a combination of endothelial cell surface antigens and

the expression of *RUNX1c*, a key EHT gene. With this schema, we analyzed the transcriptional signatures of individual cells from three distinct populations simultaneously derived from hESCs: hemogenic endothelial cells, vascular endothelial cells that lack hematopoietic potential, and early hematopoietic progenitor cells. Here, we determined human hemogenic endothelial cells have a similar transcriptional signature as the earliest hematopoietic progenitor cells, but both populations are distinct from vascular endothelial cells lacking hematopoietic potential. We also present novel genetic candidates that distinguish hemogenic endothelium from non-hemogenic endothelium. These genes possessed high predictive value in conferring hemogenic endothelial and hematopoietic phenotype. As such, these genes serve as excellent candidates for future gain-or-loss of function studies to promote a uniform population of hemogenic endothelium from human pluripotent stem cells.

In Chapter 3, we expand on the current role of AHR in the normal physiology of human hematopoietic development. Here, we demonstrate AHR modulates hematopoietic potential at the level of EHT. AHR antagonism using small molecules and *AHR* gene deletion both enhanced early hemato-endothelial differentiation from hESCs. This is the first evidence that suggests AHR is functional prior to the development of a CD34<sup>+</sup> HSPC. We further utilize AHR inhibition as a method to enhance hemato-lymphoid differentiation from hESCs, specifically ILCs. We specifically demonstrate ILC3 dependency on AHR activity and, as such, is the first evidence of human ILC3 differentiation from human pluripotent stem cells. This method can serve as a platform in

future studies to better understand the developmental biology and function of human ILCs and other hemato-lymphoid cell subsets.



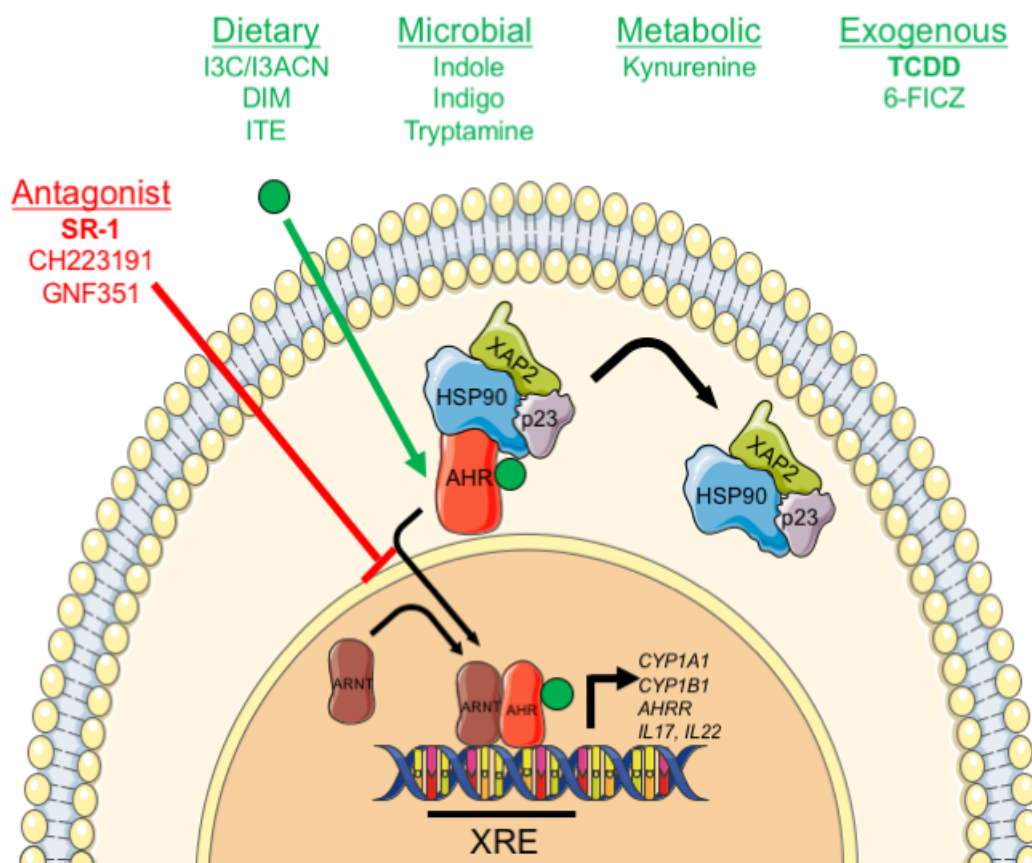
### Human Hemogenic Endothelial Cell

**CD31<sup>+</sup>CD144<sup>+</sup>Fli1<sup>-/-</sup>KDR<sup>+</sup>**

**CD41a<sup>-</sup>CD43<sup>-</sup>CD45<sup>-</sup>Lin<sup>-</sup>**

**CD73<sup>-</sup>CXCR4<sup>-</sup>**

**Figure 1-1. Key cell signaling and transcriptional regulators of human hemogenic endothelial cells.** *RUNX1c* forms a transcriptional complex between *GATA2*, *FLI1*, and *SCL/TAL* to regulate the endothelial-to-hematopoietic transition (EHT). Upstream activation of Notch, VEGF, BMP4, and Wnt signaling promote the activity of *RUNX1c* (green arrows), while the TGFβ/activin/nodal signaling pathway inhibits *RUNX1c* activity (red arrows). Some Hox/Sox transcription factors further contribute to the activity of *RUNX1c*-mediated EHT.



**Figure 1-2. Canonical AHR signaling.** AHR agonist ligands (green) are derived from dietary, microbial metabolism, human metabolism, or exogenous/environmental sources. AHR antagonists (red) are exogenously produced. SR-1 and TCDD (bold) possess the most potent activity in either class. Upon AHR activation, AHR disassociates from a cytoplasmic HSP90:XAP2:p23 co-chaperone complex and translocates into the nucleus. Here, AHR forms a heterodimer with ARNT and binds to xenogenic-response elements (XRE) to affect downstream gene transcription. AHR can be sequestered in the cytoplasm using AHR antagonists, thereby preventing effector gene target transcription. Adapted and modified from references <sup>131,145,146</sup>.

## CHAPTER 2

*Single cell resolution of human pluripotent stem cell derived hemato-endothelial cells  
reveals distinct transcriptional signatures of hemogenic endothelium*



## ABSTRACT

The endothelial-to-hematopoietic transition (EHT) mediated by hemogenic endothelium is an important stage in definitive hematopoietic development. Although EHT has been visualized both *in vitro* and *in vivo*, a thorough understanding of the genetic mechanisms underlying hemogenic endothelial cell fate remains poorly understood, especially in the humans. Using human pluripotent stem cells as a model for EHT, we hypothesized human hemogenic endothelium is phenotypically and transcriptionally distinct from other vascular endothelial cells and the earliest hematopoietic progenitor cells. We employed defined culture methods to differentiate hemogenic endothelial cells (HE, defined as  $CD31^{+}CD144^{+}CD41^{-}CD43^{-}CD45^{-}CD73^{-}RUNX1c^{+}$ ), vascular endothelial cells without hematopoietic potential (non-HE, defined as  $CD31^{+}CD144^{+}CD41^{-}CD43^{-}CD45^{-}CD73^{-}RUNX1c^{-}$ ), and hematopoietic progenitor cells (HP, defined as  $CD34^{+}CD43^{+}RUNX1c^{+}$ ) from hESCs engineered with a *RUNX1c*-tdTomato reporter. Using these defined cell populations, we performed single-cell RNASeq on 55 HE, 47 non-HE, and 35 HP cells. HE and HP were both highly enriched in genes implicated in known hemogenic endothelial transcriptional networks, such as *CDH5*, *ERG*, *GATA2*, and *FLI*. Using t-distributed stochastic neighbor embedding (t-SNE) analysis, we found transcriptional overlap between individual HE and HP cells; however, these populations were distinct from two, separate groupings of non-HE. Further analysis revealed novel biomarkers for human HE/HP cells, such as *TIMP3*, *ESAM*, *RHOJ*, and *DLL4*. One subset of non-HE cells was enriched for several extracellular matrix genes and suggestive of a previously reported endothelial-to-mesenchymal transition (EndMT). Taken together, we

demonstrate that hESC-derived HE and HP share a common developmental pathway, while non-HE are heterogeneous and transcriptionally distinct. Our findings provide a novel strategy to test new genetic targets and optimize the production of definitive hematopoietic cells from human pluripotent stem cells.

## INTRODUCTION

Hemogenic endothelium is a rare and highly specialized subset of vascular endothelial cell that functions as a precursor population to blood development<sup>17,18,28,147</sup>. In mammals, hematopoiesis occurs in two sequential phases in a defined temporo-spatial manner<sup>2,3,148</sup>. Hemogenic endothelium is associated with the definitive phase of embryological hematopoiesis, which is characterized by the life-long development of multipotent hematopoietic stem and progenitor cells (HSPCs)<sup>4,149</sup>. One of the hallmarks of definitive hematopoiesis is the endothelial-to-hematopoietic transition (EHT), a process where hemogenic endothelial cells phenotypically switch from a stationary endothelial cell state to a detached and free-moving HSPC. Although EHT has been visualized both *in vitro* and *in vivo* at specific anatomical landmarks via lineage tracing studies<sup>18,150,151</sup>, the regulation of this fate change at both a cellular and molecular level remains unclear. Confounding this knowledge further is an absence of unique cell surface markers allowing phenotypic identification and isolation of hemogenic endothelium from other developmentally related cell types<sup>46,152</sup>. Furthermore, specific hemogenic endothelial cell genetic identifiers from humans have yet to be adequately defined.

One candidate identifier of human hemogenic endothelium is *RUNX1c*, an isoform of the *RUNX1* gene. Expression of the +24 intronic enhancer, which drives *RUNX1c* expression via the P1 promoter, has been shown to be restricted to a subset of endothelial cells where *de novo* generation of HSPCs occurs in both zebrafish and mouse models<sup>36,46</sup>. *RUNX1c* expression has also been correlated exclusively to human definitive hematopoietic cells, including CD34<sup>+</sup> umbilical cord blood and hematopoietic stem cells<sup>37–</sup>

<sup>40,153,154</sup>. Our lab, and others, have previously described human EHT using a human *RUNX1c* pluripotent stem cell reporter system<sup>77,95</sup>. As such, *RUNX1c* can serve as a genetic basis for selecting human hemogenic endothelial cells from other developing endothelial and hematopoietic cell populations.

Human pluripotent stem cells, such as human embryonic stem cells (hESCs) and induced pluripotent stem cells (hiPSCs) serve as a useful platform to understand basic mechanisms underlying human EHT. We, and others, have previously shown differentiation of early hematopoietic progenitor cells from hESC-derived bi-potent endothelial cells capable of developing into cells of the erythroid<sup>155,156</sup>, myeloid<sup>89,157–159</sup>, and lymphoid lineages<sup>78,160,161</sup>. However, production of functional and long-term engraftable HSPCs from hESCs/hiPSCs *in vitro* has yet to be achieved. One hypothesis is that hESCs are biased toward primitive hematopoietic lineages, and fail to adequately generate hemogenic endothelial cells that produce definitive hematopoietic cells<sup>158,162–164</sup>. To assess this degree of heterogeneity from an hESC/hiPSCs system, single-cell RNA sequencing has emerged as an invaluable tool to discover novel and rare cellular subsets otherwise obscured in bulk RNASeq experiments<sup>165–168</sup>.

In the present study, we utilized hESCs previously engineered to express a *RUNX1c*-tdTomato reporter construct<sup>77</sup> to investigate the transcriptional signatures of human hemogenic endothelial cells (HE), vascular endothelial cells that lack hematopoietic potential (non-HE), and the earliest definitive hematopoietic progenitor cells (HP). We sequenced and analyzed 137 individual cells and performed comprehensive biostatistical analyses to reveal that endothelial cells derived from hESCs are heterogeneous in nature.

Human hemogenic endothelial cells and early hematopoietic progenitors shared similar gene expression signatures, suggesting a common developmental lineage. Intriguingly, vascular endothelial cells were found to be distinct from HE and HP, with a unique population of cells transformed into a mesenchymal/fibroblast-like cell phenotype. These studies now allow us to define novel genetic biomarkers to distinguish HE from non-HE and serve as a unique strategy to optimize definitive hematopoietic cell differentiation from human pluripotent stem cells.

## **MATERIALS AND METHODS**

### **Human embryonic stem cell (hESC) culture**

hESC-*RUNX1c*-tdTomato reporter cells were previously engineered and validated in our lab<sup>77</sup>. In brief, a 1 kb fragment of the human *RUNX1c* P1 promoter and 250 bp conserved intronic region of the +24 enhancer were flanked by tdTomato. Upstream, a constitutively active GFP:zeo fusion protein permitted identification of cells differentiated from hESCs with stable reporter integration. hESC-*RUNX1c*-tdTomato were TrypLE adapted for single cell culture and maintained on irradiated mouse embryonic fibroblasts (MEF) in ES growth media consisting of Dulbecco's Modified Eagle's Media (DMEM)/Ham's F-12 Media (F12) (ThermoFischer Scientific, Carlsbad, CA), 15% Knockout Serum Replacement (ThermoFischer Scientific), 1mM L-glutamine (ThermoFischer Scientific), 0.1 mM  $\beta$ -mercaptoethanol (ThermoFischer Scientific), 1% minimum essential medium nonessential amino acids (ThermoFischer Scientific), 1% penicillin-streptomycin (ThermoFischer Scientific), and 4 ng/mL basic fibroblast growth

factor (R&D Systems, Minneapolis, MN). Cells were cultured in a 37°C air humidified incubator supplemented with 5% CO<sub>2</sub> until 70-80% confluency, at which point they were passaged using 1x TrypLE Select (ThermoFischer Scientific). All hESCs used in subsequent experiments did not exceed single-cell adaption transfer number 35.

### **Spin embryoid body (Spin-EB) formation and hemato-endothelial differentiation**

Single-cell adapted hESCs were harvested with 1x TrypLE Select and aggregated as spin embryoid bodies, as previously described<sup>169,170</sup>. In brief, hESCs were plated at 3,000 cells/100 µL in a round-bottom 96-well plate using serum-free BPEL media supplemented with 20 ng/mL BMP4 (R&D Systems), 40 ng/mL SCF (R&D Systems), and 20 ng/mL VEGF (R&D Systems) (Stage I media). Cells were centrifuged for 5 minutes at 1500 rpm to form embryoid bodies (Day 0) and were incubated for 6 additional days to promote mesoderm induction. To differentiate early hemato-endothelial cells, Day 6 spin-EBs were transferred to pre-gelatinized 24-well plates (approx. 8-16 EBs/well) with BEL media supplemented with 40 ng/mL SCF, 40 ng/mL VEGF, 30 mg/mL thrombopoietin (R&D Systems), 30 ng/mL IL-3 (PeproTech, Rocky Hill, NJ), and 30 ng/mL IL-6 (PeproTech) (Stage II media). For long-term culture, media was exchanged every 3-4 days with cytokine supplementation. To harvest endothelial cells for analysis, non-adherent (hematopoietic) cell fractions were removed while the remaining adherent fractions were washed and treated with 0.05% trypsin containing 2% chicken serum for 5 minutes. Adherent cells were collected, vortexed, and filtered to generate single-cell suspensions suitable for

analysis. To harvest hematopoietic cells, only non-adherent cell fractions were harvested and filtered to generate single-cell suspensions suitable for analysis.

### **Immunofluorescent and fluorescent imaging**

To visualize surface antigens on developing endothelial and hematopoietic cells, cells were fixed with 3.7% paraformaldehyde for 10 minutes and subsequently permeabilized with 0.05% Triton X-100 for 5 minutes, both at room temperature. Following permeabilization, cells were initially blocked with immunofluorescence blocking buffer (5% goat serum, 1% glycerol, 0.1% bovine serum albumin, 0.1% fish skin gelatin, 0.04% sodium azide, pH=7.2) for 30 minutes and then incubated overnight with primary antibodies (see below) at 4°C. Cells were then washed and incubated with either AlexaFluor 568 or AlexaFluor 647 conjugated anti-mouse secondary antibody (ThermoFischer Scientific, Waltham, MA) for 1 hour at room temperature. Following subsequent washes, nuclei were counterstained with Hoescht 33342 (Cell Signaling Technologies, Danvers, MA) and immediately imaged. Cells were imaged on an Olympus IX71 epifluorescent inverted microscope (Olympus, Center Valley, PA). The following primary antibodies were used: anti-VWF (Clone 6994, Abcam, Cambridge, MA), anti-mouse-PECAM1 (Clone 89C2, Cell Signaling Technologies). For GFP and tdTomato detection, viable cells were directly imaged in a 24 well plate on an inverted epifluorescent microscope in culture medium.

### **Flow Cytometry and Fluorescent-Activated Cell Sorting**

Single cells were harvested as previously described and resuspended at 100 cells/ $\mu$ L of FACS buffer (DPBS + 2% FBS + 0.1% sodium azide). Cells were then incubated with antibodies for 30 minutes at 4°C, washed, and resuspended in fresh FACS buffer containing Sytox Blue Live/Dead stain. Samples were analyzed immediately on an LSR Fortessa cytometer (BD Biosciences, San Jose, CA). The following antibodies were used in this study per manufacturer recommendation (all anti-human): CD31-APC (eBioscience, San Diego, CA), CD34-PECy7 (BD Biosciences), CD41a-APC (BD Biosciences), CD43-APC (BD Biosciences), CD45-APC (BD Biosciences), CD73-APC (BD Biosciences), CD144-APC (eBioscience). All gating was set relative to isotype controls of identical fluorophores. Data from flow cytometry was analyzed using FlowJo software (Treestar, Ashland, OR).

For cell sorting of hESC-derived hemogenic endothelium (HE) and vascular endothelial cells without hematopoietic potential (non-HE), Day 11 hESC-*RUNX1c*-tdTomato were differentiated and harvested as described above. Cells were stained with anti-human CD41a-APC (BD Biosciences), CD43-APC (BD Biosciences), CD45-APC (BD Biosciences), CD73-APC (BD Biosciences), CD144-PECy7 (eBioscience), and CD31-APC-eFluor 780 (eBioscience) in sterile FACS Buffer for 30 minutes at 4°C. Cells were washed with FACS buffer and dead cells were counterstained with Live/Dead Fixable Aqua (ThermoFisher Scientific) immediately prior to sorting. Live HE (CD31<sup>+</sup>CD144<sup>+</sup>CD41a<sup>-</sup>CD43<sup>-</sup>CD45<sup>-</sup>tdTomato<sup>+</sup>) and non-HE (CD31<sup>+</sup>CD144<sup>+</sup>CD41a<sup>-</sup>CD43<sup>-</sup>CD45<sup>-</sup>tdTomato<sup>-</sup>) populations were sorted using a FACS Aria II (BD Biosciences) directly into BPEL media. Early human hematopoietic progenitor cells (HP) were



harvested from a parallel differentiation culture at matched time points. Non-adherent hESC-*RUNX1c*-tdTomato derived cells were harvested as described above and stained with anti-human CD34-PECy7 (BD Biosciences) and CD43-APC (BD Biosciences) for 30 minutes at 4°C. Cells were washed with FACS buffer and dead cells were counterstained with Sytox Blue (ThermoFisher Scientific) immediately prior to sorting. Live HP (CD34<sup>+</sup>CD43<sup>+</sup>tdTomato<sup>+</sup>) were also sorted on a FACS Aria II directly into BPEL media. Post-sort flow cytometry sample validation was performed on all samples.

### **Post-sort HE and non-HE culture conditions**

Immediately following FACS, both HE and non-HE populations were washed and counted. To assess for endothelial cell morphology, 5x10<sup>4</sup> cells were seeded onto fibronectin coated 24-well plates in Endothelial Basal Media (EBM2) supplemented with EGM-2 BulletKit (Lonza, Allendale, NJ). Following 5 days of culture, cells were washed and stained to assess for CD31 expression and morphological appearance using an epifluorescent inverted microscope. To assess for hematopoietic potential, 5x10<sup>4</sup> cells were seeded in Stage II media in low-attachment 24-well plates. Following 2 days of cultures, cells were directly visualized using an epifluorescent microscope for non-adherent, GFP<sup>+</sup>tdTomato<sup>+</sup> hematopoietic cells. Pre-sort cells were also prepared and similarly processed as a control for hematopoiesis.

### **Single-cell capture and RNASeq**

Sorted HE, non-HE, and HP were stained with a Live/Dead viability/cytotoxicity kit (ThermoFisher Scientific) and resuspended at 50 cells/ $\mu$ L. Cells were captured on three, separate medium-sized (10-17  $\mu$ m cell diameter) chips using the Fluidigm C1 Single-Cell Auto Prep System (Fluidigm, San Diego, CA) per the manufacturer protocol. Following capture, cells were visualized using phase-contrast and fluorescent microscopy using a Nikon Inverted Ti-E Deconvolution motorized Microscope (Nikon, Belmont, CA). At this point, only live, single, and GFP<sup>+</sup> cells were selected for cDNA library preparation. Additionally, for HE and HP sorted cells, tdTomato expression was also verified; cells absent for tdTomato signal were excluded. Following capture and validation, cDNA was prepared from each cell using the SMARTer Ultra Low RNA kit for the Fluidigm C1 system, according to the manufacturer recommendations (Clontech, Mountain View, CA). cDNA library concentrations were assessed using Quant-iT PicoGreen dsDNA assay Kit (ThermoFisher Scientific). cDNA concentrations that were less than 25 ng/ $\mu$ L were further excluded from subsequent sequencing. mRNA libraries were transcribed using the Illumina Nextera XT preparation kit (Illumina, San Diego, CA) according to the manufacturer protocol and sequenced on an Illumina HiSeq 2500. Sequencing was performed in rapid-mode using 50 bp paired-end reads to a depth of approximately  $1.8 \times 10^6$  reads/sample. In parallel from the same differentiation as single cell captures, bulk population RNA controls were also similarly processed and included in subsequent analyses.

### **Bioinformatic analyses of single-cell RNASeq data**

Illumina reads were processed via a pipeline developed by the University of Minnesota Informatics Institute in collaboration with the Minnesota Supercomputing Institute and the University of Minnesota Genomics Center. Briefly, FastQ files were trimmed using Trimmomatic (Usadel Lab, Max Planck Institute, Germany; parameters used: `-phred33 -threads 8, ILLUMINACLIP, LEADING:3 TRAILING:3 SLIDINGWINDOW:4:16 MINLEN:25`). After trimming, mapping to the human genome was performed via TopHat (v. 2.0.13, Johns Hopkins University) and Bowtie (v. 2.2.4.0, Johns Hopkins University). Fraction per kilobases per million (FPKM) expression was calculated via cuffquant function in Cufflinks (Trapnell Lab, University of Washington). Log-transformed FPKM values were analyzed using the Seurat R toolkit developed by the Satija Lab (<https://github.com/satijalab/seurat>; New York Genome Center, NYU), which has been extensively published elsewhere<sup>171–174</sup>. Principal component analysis (PCA) and t-distributed stochastic neighbor embedding (t-SNE) analysis were performed in parallel with two gene lists in Seurat; one list of the total genome (“Total”) mapped transcripts (26,257 genes) and another restricted list of blood and endothelial (“Blood & EC”; 2,556 genes) gene subsets. The Blood & EC gene list was exported from a list of genes tagged to “hematopoiesis” and “endothelial” categorizers within Ingenuity Pathway Analysis (IPA) software (Qiagen, Valencia, CA). For t-SNE analyses, only statistically significant principal components (defined as  $p < 0.05$ , see Supplemental Figure 2-5) for each gene list were used as function input. Differentially expressed genes between cell populations and clusters were assessed using “ROC” and “t-test” functions in the Seurat package using the default settings. Isoform level quantification of *RUNX1c* was mapped using Salmon (Patro

Lab, Stony Brook University)<sup>175</sup>. FPKM values were averaged between HE and non-HE groups and compared to assess *RUNX1c* enrichment. Gene ontology enrichment analysis of the total mapped genes between HE and non-HE was performed using IPA.

### **Additional Statistical Analyses**

Differences between groups were compared either with student's t-test using Prism 6 (GraphPad Software, San Diego, CA). Results were considered statistically significant at p-values < 0.05.

## **RESULTS**

### **hESC-*RUNX1c*-tdTomato cells differentiate into early hemato-endothelial cells in chemically-defined and xenogenic-free culture conditions**

We first assessed the kinetics of hESC-*RUNX1c*-tdTomato differentiation into early hemato-endothelial cells using defined culture and cytokine conditions (Figure 2-1A). Here, we expanded on our previously published data to better identify the emergence of endothelial progenitor cells<sup>77</sup>. hESC-*RUNX1c*-tdTomato cells differentiated into adherent cells with endothelial characteristics as early as Day 9 of culture. Endothelial cells propagated around the perimeter of each embryoid body, and were positive for two specific endothelial cell markers: CD144 (VE-Cadherin) and von Willebrand Factor (vWF) (Figure 2-1B, top panels). At Day 12 of differentiation, rounded, non-adherent hematopoietic progenitor cells could be seen (Figure 2-1B, bottom panels). These hematopoietic cells all expressed a constitutively active GFP:zeo fusion protein, while a fraction of the them also

dually expressed tdTomato, suggesting these cells are of the definitive hematopoietic lineage and developed from EHT.

We next quantified the development of endothelial and hematopoietic cells by flow cytometry. At Day 9 of culture, a majority of the differentiated cells were endothelial cells, defined as  $CD34^+CD31^+$  ( $27.5\%\pm6.6$ ) and  $CD34^+CD144^+$  ( $22.0\%\pm7.3$ ) with limited numbers of hematopoietic progenitor cells, defined by  $CD34^+CD41a^+$  ( $2.3\%\pm0.4$ ),  $CD34^+CD43^+$  ( $6.3\%\pm1.4$ ), and  $CD34^+CD45^+$  ( $1.2\%\pm0.6$ ) (Figures 2-1C & 2-1D). By Day 12, the endothelial cell populations declined, accompanied by a reciprocal increase in hematopoietic progenitor cells. By Day 15, a majority of cells were hematopoietic, with statically significant gains in the percentage of  $CD34^+CD41a^+$  ( $7.16\%\pm2.7$ ,  $p<0.05$ ),  $CD34^+CD43^+$  ( $16.17\%\pm3.3$ ,  $p<0.01$ ), and  $CD34^+CD45^+$  ( $11.8\%\pm1.6$ ,  $p<0.01$ ) phenotypes. To parallel hematopoietic development, tdTomato (*RUNX1c*) was detected in appreciable quantity at Day 12 ( $14.7\%\pm4.1$ ), with a majority of the cells later expressing *RUNX1c* after blood development occurred at Day 15 ( $69.5\%\pm6.4$ ,  $p<0.01$ ) (Figures 2-1E & 2-1F). As such, hESC-*RUNX1c*-tdTomato cells serve as an excellent platform to allow us to isolate defined endothelial cell populations that are suitable for single-cell genetic analyses.

### **Combined endothelial cell surface antigen and *RUNX1c* expression delineate human hemogenic endothelium from vascular endothelium lacking hematopoietic potential**

We next assessed for the presence of human hemogenic endothelium from differentiating hESC-*RUNX1c*-tdTomato cells by using a combination of endothelial cell-specific surface markers and tdTomato<sup>+</sup> expression. Because Day 11 was just prior to the

onset of detectable *RUNX1c* hematopoietic cells, we characterized adherent hESC-derived cells at this time point. Here, approximately 10% of the total cells were CD144<sup>+</sup>CD31<sup>+</sup> and negative for CD41a and CD43 expression (Figure 2-2A, top panels). When sub-gating on these populations, we found approximately 40% of the cells were dually tdTomato<sup>+</sup>, suggestive of a hemogenic endothelium phenotype (Figure 2-2A, bottom panels). We next FACS-sorted three populations: 1) putative hemogenic endothelial cells (HE; defined as CD31<sup>+</sup>CD144<sup>+</sup>CD41<sup>-</sup>CD43<sup>-</sup>CD45<sup>-</sup>CD73<sup>-</sup>tdTomato<sup>+</sup>); 2) vascular endothelial cells lacking hematopoietic potential (non-HE; defined as CD31<sup>+</sup>CD144<sup>+</sup>CD41<sup>-</sup>CD43<sup>-</sup>CD45<sup>-</sup>CD73<sup>-</sup>tdTomato<sup>-</sup>) and 3) early hematopoietic progenitor cells (HP; defined as CD34<sup>+</sup>CD43<sup>+</sup>tdTomato<sup>+</sup>), and further assessed their phenotypic responses in both endothelial cell and hematopoietic cell culture conditions (Figure 2-2B and Supplemental Figure 2-1). Here, we demonstrate HE cells retain endothelial morphology in the absence of pro-hematopoietic growth conditions. hESC-derived HE seeded onto fibronectin coated wells in endothelial growth media were able to generate a confluent, cobblestone monolayer that fully expressed CD31 (PECAM1) at the cellular junctions (Figure 2-2C). The morphological and phenotypic appearance was similar to that of control human umbilical vein endothelial cells (HUVEC). We next assessed whether HE and/or non-HE would generate tdTomato<sup>+</sup> hematopoietic cells in pro-hematopoietic culture conditions. In the span of two days, HE robustly produced non-adherent, tdTomato<sup>+</sup> cells similar to pre-sorted cells from the same hESC differentiation (Figure 2-2D). Additionally, non-HE cells failed to produce comparable quantities of tdTomato<sup>+</sup> cells, as these cells adhered and retained an endothelial-like morphology. Taken together, these results demonstrate that

phenotypic HE and non-HE can be sorted and distinguished from hESCs based on this combination of endothelial surface antigen and *RUNX1c* expression.

**Single-cell RNASeq reveals similarities in HE and HP gene signatures, but both are transcriptionally distinct from non-HE**

Using characterized hESC-derived HE, non-HE, and HP cell populations, we next defined each cell population on a single cell transcriptional level. To do so, we performed single-cell RNASeq to assess individual cells and determine the developmental similarities of each population. We used the Fluidigm C1 Single-Cell Autoprep system in conjunction with Illumina Next Generation Sequencing to analyze 55 HE, 47 non-HE, and 35 HP single cells (Supplemental Figure 2-2A & 2-2B). To ensure correct identification of single-cells, we validated that individual HE and HP cells captured were tdTomato<sup>+</sup> within the microfluidic capture chamber, while non-HE cells were tdTomato<sup>-</sup> (Supplemental Figure 2-2A). We performed sequencing to a depth of approximately  $1.8 \times 10^6$  reads/sample to further verify enrichment of *RUNX1c* at the isoform level and provide a complete gene expression profile for each individual cell. We first confirmed the representativeness of single-cell transcripts to the expression level of the bulk cell populations. As a function of fraction per kilobase per million mapped fragments (FPKM), we found a strong correlation between gene expression averaged across individual cells as compared to the bulk populations ( $R^2=0.90$ ) (Supplemental Figure 2-2C). While we did not see statistically significant differences in the expression of all combined transcript variants of the *RUNX1* gene across populations, we confirmed HE possessed higher log-transformed FPKM

expression of the *RUNX1c* specific isoform compared to non-HE ( $9.23 \pm 2.61$  vs.  $1.22 \pm 0.67$ ,  $p < 0.01$ ) (Supplemental Figure 2-2D). We next assessed the ontological pathways significantly enriched between hESC-derived HE and non-HE using Ingenuity Pathway Analysis software. Several of the highest statistically significant ontological pathways were specific to embryological development and hematopoiesis, including “Hematological System Development and Function” ( $\log p < 10^{-15}$ ), “Tissue Development” ( $\log p < 10^{-8}$ ), “Embryonic Development” ( $\log p < 10^{-7}$ ), and “Hematopoiesis” ( $\log p < 10^{-6}$ ).

By generating violin plots of log-transformed FPKM values, we next analyzed the expression of key genes known to be associated with vascular endothelial cells, hemogenic endothelium, and hematopoietic progenitor cell phenotype. These plots provide complementary data regarding total gene expression and the frequency of expression across individual cells in a given population. We found that both HE and HP possessed similar expression and distribution of several endothelial and hemogenic endothelial genes that was higher than those of non-HE. For example, *KDR*, *NR2F2*, *LMO2*, *PECAMI*, and *EFNB2* were significantly increased vascular endothelial cell-related genes in the HE and HP groups as compared to the non-HE group (Figure 2-3A). *SOX17*, *CDH5*, *ERG*, *ESAM*, *FLII*, *FOXF1*, *KIT*, and *MECOM* were also significantly increased hemogenic endothelium-related genes in HE and HP as compared to non-HE. We also observed HP cells to have overexpression of key hematopoietic genes as compared to HE and non-HE, including *IL7R*, *ITGA2B*, *RAG1*, and *WNT5A*, while some genes such as *HOXB5*, *GATA2*, *GATA3*, and *MEIS1* were also overexpressed in HE. We further confirmed that genes associated with cardiac development and terminal erythroid, myeloid, and lymphoid cell



differentiation were not appreciably expressed, while endogenous housekeeper genes, such as *GAPDH*, *ACTB*, and *GPI* possessed uniform expression across all three populations (Supplemental Figure 2-3). Genes characteristically associated with pluripotency (*POU5F1/OCT4*, *NANOG*, *DPPA2*), primitive streak development (*MIXL1*, *T*), lateral plate mesoderm (*FOXF1*, *IRX3*), ectoderm (*PAX6*, *SOX1*, *SOX10*), and endoderm (*FOXA1A*, *GATA4*) did not yield any detectable FPKM expression (data not shown).

We next sought to investigate wide-scale transcriptional profile similarities between HE, non-HE, and HP populations. Using Seurat, we performed principal component analysis to reduce the dimensionality of FPKM expression values using: 1) the total mapped gene list (26,257 genes; “Total”) and 2) a hematopoietic and endothelial restricted gene list (2,556 genes; “Blood & EC”). In both analyses, we found at least 3 distinct groups of cells when plotted against the first two most statistically significant principal components (Figure 2-3B). In either analysis, many of the HE and HP single cells overlapped within a common principal component area, while two clusters primarily composed of non-HE cells were distinctly located in separate areas. We further resolved the composition of these clusters by performing t-distributed stochastic neighbor embedding (t-SNE) analysis using genes assigned only to statistically significant principal components for both the Total (Supplemental Figures 2-5A & 2-6A) and Blood & EC (Supplemental Figures 2-5B & 2-6B) gene lists. Using this approach, clear resolution of one, centralized cluster of transcriptionally similar HE and HP populations could be ascertained, while a majority of the non-HE cells were segregated into two divergent clusters (Figure 2-3C). In applying Seurat’s density parameter clustering functions, three

main clusters (Clusters 2, 3, and 4, with Cluster 1 representing statistical outlier single cells) were identified using the total gene list (Figure 2-3D). A similar identification was seen in the Blood & EC gene lists in marking Clusters 2, 3, and 5 (note: Cluster 1 represents statistical outlier single cells and Clusters 4 and 6 are comprised of  $\leq 3$  single cells). In analyzing the Total gene list, we observed Cluster 2 was mostly heterogeneous for HE (55.8%) and HP (27.91%), with few non-HE cells (16.28%) (Supplemental Figure 2-7). Clusters 3 and 4 were mainly composed of non-HE (75.76% and 69.23%, respectively). We further validated separation of non-HE from HE and HP by generating heat maps using unsupervised clustering of genes found within the statistically significant principal components (Figure 2-3E). Taken together, these data demonstrate that individual HE and HP are transcriptionally related to one another, while non-HE are heterogeneous and transcriptional distinct from both HE and HP.

### **Clustering analysis identifies novel biomarkers of hESC-derived HE and HP with divergent developmental pathways of non-HE**

Using individual cells re-classified into transcriptionally distinct groups from the Total gene list, we identified distinguishing biomarkers between HE/HP single cells and the two subsets of non-ECs by performing receiver operating characteristic (ROC) curve analysis in Seurat. ROC analysis is a non-parametric method that is useful in providing the probability (power) that a gene is up- or down-regulated within given group<sup>176</sup>. Cluster 2 yielded 651 statistically significant identifier genes, with the most powerful predictors being previously known hemogenic endothelium related genes such as *CDH5* (DGE:

2.07)<sup>177</sup>, *ERG* (DGE: 2.08)<sup>178</sup>, *CLDN5* (DGE: 2.07)<sup>179</sup>, and *TEK* (DGE: 2.01)<sup>180</sup> (Figure 2-4A). Cluster 3 and Cluster 4 yielded 916 and 928 statistically significant identifier genes, respectively. Here, we report the top 50 distinguishing genes between groups of cells assigned to Clusters 2, 3, and 4. We next confirmed the specificity of novel biomarkers of each cluster by mapping the gene expression to individual cells on the Total gene list t-SNE plots as shown in Figure 2-3C. We identified at least four novel gene markers with increased and specific expression to Cluster 2: *TIMP3* (DGE: 2.06), *ESAM* (DGE: 2.20), *RHOJ* (DGE: 2.27) and *DLL4* (DGE: 2.49) (Figure 2-4B). We also show that *TYROBP* (DGE: 3.78) and *CCL4* (DGE: 5.34) are specific in designating the Cluster 3 subset, which was largely composed of non-HE. Interestingly, Cluster 4 was mostly comprised of genes that encode extracellular matrix protein products. We found significant upregulation of *COL1A1* (DGE: 6.58), *COL1A2* (DGE: 4.63), *DCN* (DGE: 6.09), *LUM* (DGE: 4.87), *COL3A1* (DGE: 3.50), *VCAN* (DGE: 3.55), and several other extracellular matrix components/genes. This would suggest cells of this cluster transformed from an endothelial phenotype into a mesenchymal or fibroblast phenotype. To further validate the uniqueness of each cluster set, we generated a new heat map of gene expression across individual cells using a more stringent approach. We restricted the list of genes to differentially regulated genes with high discriminatory power (Power > 0.80) within each cluster (Figure 2-4C). This approach indeed generated clear distinctions in global gene expression that was seen between individual cells assigned to each cluster. Collectively, our approach has established unique genetic biomarkers between hESC-derived HE and non-HE cells, as

well as demonstrating a subset of non-HE are capable of further transformation away from an endothelial cell lineage.

## DISCUSSION

In the present study, we utilized hESCs harboring a *RUNX1c*-tdTomato reporter to assess the phenotypic and transcriptional profiles of the earliest definitive hemato-endothelial cells. In conjunction with endothelial-cell specific immunophenotyping and *RUNX1c* expression, we identified hESC-derived HE that not only maintained vascular morphology in endothelial culture conditions, but also differentiated *RUNX1c*<sup>+</sup>, non-adherent, hematopoietic progenitors when cultured in the presence of hematopoietic cytokines. Furthermore, through next generation sequencing of individual hemogenic endothelial cells, vascular endothelial cells lacking hematopoietic potential, and the earliest definitive hematopoietic progenitor cells, we determined that hESC-derived HE and HP are transcriptionally similar to each other, but distinct from a heterogeneous population of non-HE. We also identify several novel candidate genes that serve to distinguish HE from non-HE populations.

To date, there have been few reports fully characterizing the complete development of undifferentiated hESCs/hiPSCs through hemogenic endothelium to the generation of HSPCs. Many reports have relied on cell immunophenotyping alone, which has been useful in elucidating key differences between human endothelial and the first formed hematopoietic cells. For example, Nakajima-Takagi *et al.*<sup>70</sup> separated endothelial cells from the earliest hematopoietic progenitor cells (termed “pre-HPCs”) simply based upon

CD34<sup>+</sup>CD43<sup>-</sup> and CD34<sup>+</sup>CD43<sup>+</sup>CD45<sup>-/lo</sup>. With this strategy, they discovered *SOX17* was overexpressed in human hemogenic endothelium as compared to pre-HPCs and matured hematopoietic cells, and thus a key regulator of hematopoietic development. Indeed, we observed similar overexpression of *SOX17* in a majority of cells within our HE population as compared to the HP population. We now provide complementary data that *SOX17* is not expressed in endothelial cells lacking hematopoietic potential. Our finding parallels studies in the mouse model, in which knockout of *SOX17* abolished the definitive hematopoietic program (loss of T-lymphocyte potential), but did not alter expression levels of *EphrinB2* (human homolog: *EFNB2*) or *Coup-TfII* (human homolog: *NR2F2*), genes that are associated with arterial and venous endothelium specification, respectively<sup>69,71</sup>.

Choi *et al.*<sup>157</sup> and Raffi *et al.*<sup>150</sup> both used more specific hemato-endothelial phenotypes, relying on CD31<sup>+</sup>, CD34<sup>+</sup>, CD117<sup>+</sup>, CD144<sup>+</sup>, and CD73<sup>-</sup> to detect endothelial cells and CD41a<sup>+</sup>, CD43<sup>+</sup>, CD235a<sup>+</sup> to monitor hematopoietic development. While both studies provide convincing evidence for a precursor endothelial population that directly supports hematopoietic development, it is unclear as to which phase of hematopoiesis (primitive vs. definitive) these earliest cells arise from. Primitive hematopoiesis is thought to primarily originate from hemangioblasts, which are bi-potent cells able to differentiate only into embryonic vasculature cells or transient erythroid/myeloid cells. Hemangioblasts are almost identical in their endothelial surface antigen expression as hemogenic endothelium<sup>79,181–184</sup>. As such, our described system that is co-dependent on endothelial positive-selection (CD31<sup>+</sup>CD144<sup>+</sup>CD73<sup>-</sup>) and *RUNX1c* expression provides more precise specification of the definitive hematopoietic program leading to a more resolved

understanding of the events preceding HSPC development. Indeed, a related approach has recently been verified in an elegant study by Ditadi, *et al*<sup>95</sup>. Here, human hESC-derived hemogenic endothelium restricted to an adherent CD34<sup>+</sup>CD73<sup>+</sup>CD184<sup>+</sup>*RUNX1c*<sup>+</sup> population were able to generate *RUNX1c*<sup>+</sup> hematopoietic cells with T-lymphocyte potential. Furthermore, combinations of CD184 (CXCR4), CD73, and *RUNX1c* could be used as molecular labels in distinguishing the acquisition of either an arterial or venous phenotype from vascular endothelial progenitor cells. Moving forward, it is clear both cell surface and genetic identifiers must be used to adequately define and resolve specialized subsets from a heterogeneous collection of differentiating hemato-endothelial cells.

Our single-cell RNASeq experiments revealed several novel candidate markers that were specific to human hemogenic endothelium and definitive hematopoietic progenitor cells. *TIMP3*, *ESAM*, *RHOJ*, and *DLL4* were found to possess some of the highest discriminatory powers for distinguishing HE and HP from non-HE (Figure 2-4B). *TIMP3* (tissue inhibitor of metalloproteinase-3), while not previously implicated in developmental hematopoiesis, has previously been shown to play an important role in HSPC proliferation by recruiting quiescent hematopoietic stem cells into the cell cycle<sup>185,186</sup>. *ESAM* (endothelial cell selective adhesion molecule) has been identified (albeit in the mouse) as a reliable marker for long-term repopulating hematopoietic stem cells derived from the aorta-gonad-mesonephros region that also possessed lymphoid potential<sup>187,188</sup>. Moreover, *ESAM* expression is maintained throughout the transition of hematopoiesis to the bone marrow and its expression is increased during the aging process. Because *ESAM* was highly expressed in almost every HE and HP single cell we analyzed (Figure 2-3A), it represents

a potential candidate for hemogenic endothelial cell selection and/or overexpression studies to engineer hematopoietic progenitors from hESCs with hemato-lymphoid potential. *RHOJ* encodes a Rho GTPase that is normally restricted to vascular endothelial cells. *RHOJ* expression, as well as other key hemogenic endothelial proteins, such as VE-cadherin, endoglin, and vWF, are regulated upstream by *ERG*, which is further implicated in hESC differentiation into endothelial cells<sup>178,189–191</sup>. We observed overexpression of both *RHOJ* and *ERG* in our HE and HP populations. Interestingly, hyperactivation of *RhoJ* enhances endothelial cell focal adhesion disassembly and increases the mobility of endothelial cells<sup>192</sup>. Thus, a similar mechanism may be crucial during EHT to convert adherent endothelial cells into non-adherent and free-moving HSPCs. Lastly, EHT and definitive hematopoiesis have been hypothesized to be evolutionary Notch-dependent<sup>30,95,193,194</sup>. *DLL4* is a downstream mediator of VEGF activity and induces the upregulation of Notch1 and its effector targets. *DLL4* is highly enriched in the vascular niche and has recently been shown to support the production of partially engraftable CD34<sup>+</sup> cells derived from non-human primate induced pluripotent stem cells<sup>93</sup>. Consequently, enrichment of *DLL4* may serve as a useful method for identifying hemogenic endothelial cells derived from hESCs.

We also looked at other key transcriptional regulators of human EHT that have been recently reported from bulk cell sequencing. Dou *et al.*<sup>68</sup> provided insight that medial *HOXA* genes are silenced in hESC-derived hemato-endothelial cells, but were critical for fetal-liver HSPC expansion and maintenance. In corresponding fashion, we found no appreciable expression of any *HOXA* locus genes in our hESC-derived hemato-endothelial

cell populations, which is consistent with our prior analyses<sup>77</sup> (Supplemental Figure 2-8A). Interestingly, we observed significant increases in *HOXB* locus gene expression between hESC-derived HE/HP and non-HE populations, specifically in *HOXB3*, *HOXB5*, *HOXB6*, and to an extent, *HOXB7* (Supplemental Figure 2-8B). *HOXB5* has been recently described (albeit in mouse) to be expressed during EHT and defines HSCs with long-term engraftment potential<sup>195</sup>. As such, *HOXB* genes may be of higher biological importance in conferring hemogenic potential from hESC/hiPSC-derived hemogenic endothelium.

By assessing the transcriptional repertoire of individual cells, we also revealed heterogeneity in non-HE cells. Although all non-HE expressed traditional endothelial cell-specific surface antigens, a small subset of non-HE were heavily enriched with genes encoding extracellular matrix proteins (Cluster 4, Figure 2-4A). This genetic signature highly suggests transformation from an endothelial lineage to a mesenchymal or stromal cell lineage in a developmental process known as the endothelial-to-mesenchymal transition (EndMT). EndMT primarily is a TGF- $\beta$  driven process that normally is required for intimal thickening and stabilization of vasculature during embryonic vasculogenesis<sup>196</sup>. Other groups have reported that hESC- and hiPSC-derived endothelial cells are highly susceptible to EndMT, particularly following long-term passaging and cell culture<sup>196–198</sup>. This, in part, may be due to a combination of defined factors within culture media and/or also the cellular origin of hiPSCs used. Our results suggest that a small degree of EndMT occurs even at the earliest development of hemato-endothelial cells from embryoid bodies (Figure 2-5). As such, it may be imperative to negatively select against these transitioning



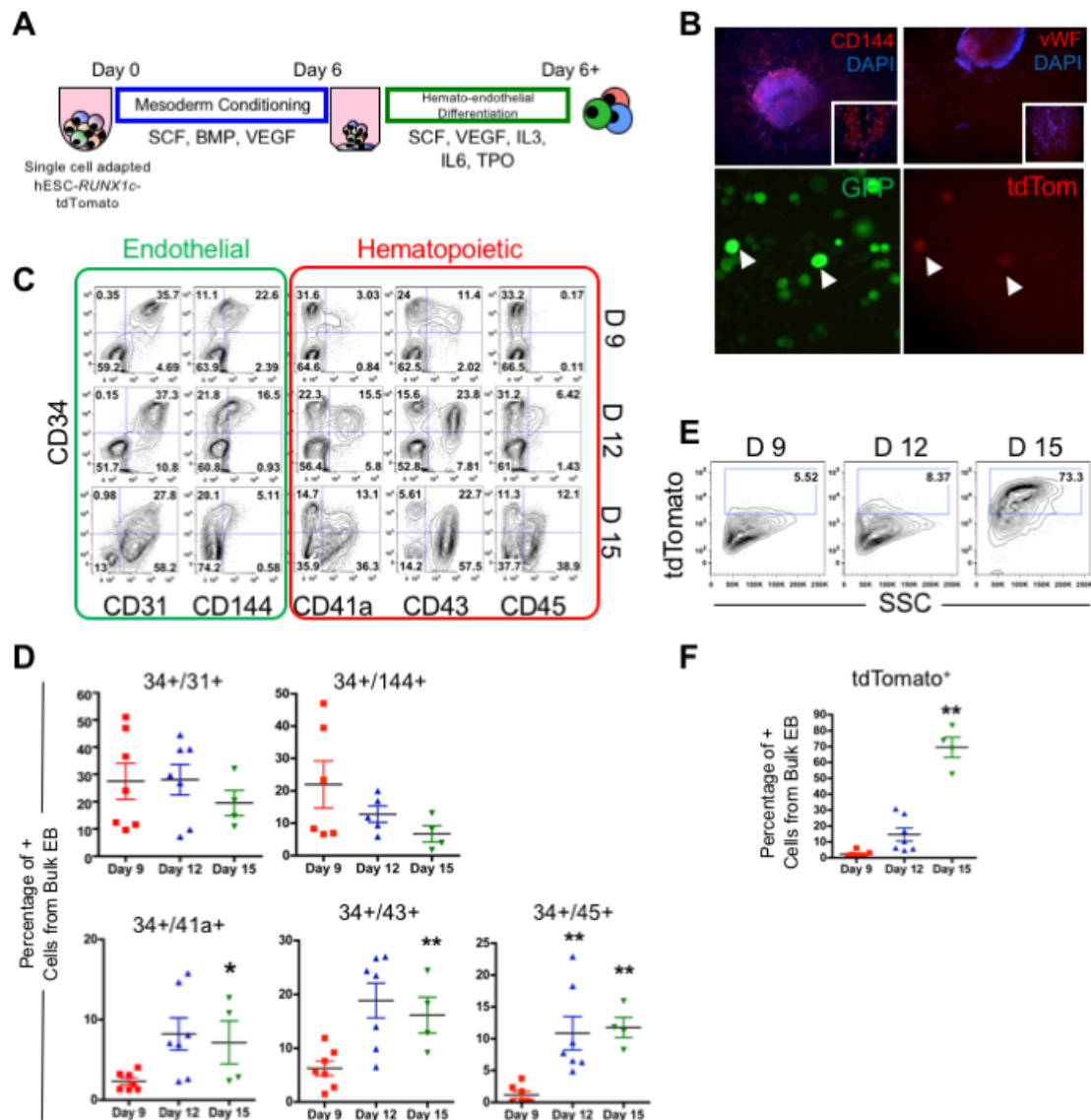
cells to prevent the out-crowding of true vascular endothelial cells and/or hemogenic endothelial cells.

Lastly, it is an important distinction that we used a human platform to analyze the transcriptional profiles of single cells as they commit towards hemato-endothelial lineages at the earliest stage of hematopoiesis. Our findings share many commonalities to a related single-cell approach investigating mouse hemogenic endothelium<sup>46</sup>. Using a pan-*RUNX1* mouse reporter model and single-cell qRT-PCR, Swiers *et al.* demonstrated mouse hemogenic endothelium was also enriched for key endothelial and hematopoietic specific genes simultaneously, similar to what we observed with human HE. Here, they concluded hemogenic endothelium transitions away from an endothelial repertoire and towards a distinct hematopoietic repertoire through analysis of matured hematopoietic cell populations (CD41<sup>+</sup> and CD45<sup>+</sup>). Our findings in which the very first developed human hematopoietic cells (CD34<sup>+</sup>CD43<sup>+</sup>*RUNX1c*<sup>+</sup>)<sup>199</sup> are transcriptionally similar to hemogenic endothelium complements this working model of EHT. This also lends credence to pursuing future gain and/or loss of function studies using candidate genes described herein within hESCs to optimize the development of hemogenic endothelium. These studies would provide further mechanistic insight into the key molecular drivers regulating the development of multipotent and potentially engraftable human HSPCs.

## **ACKNOWLEDGEMENTS**

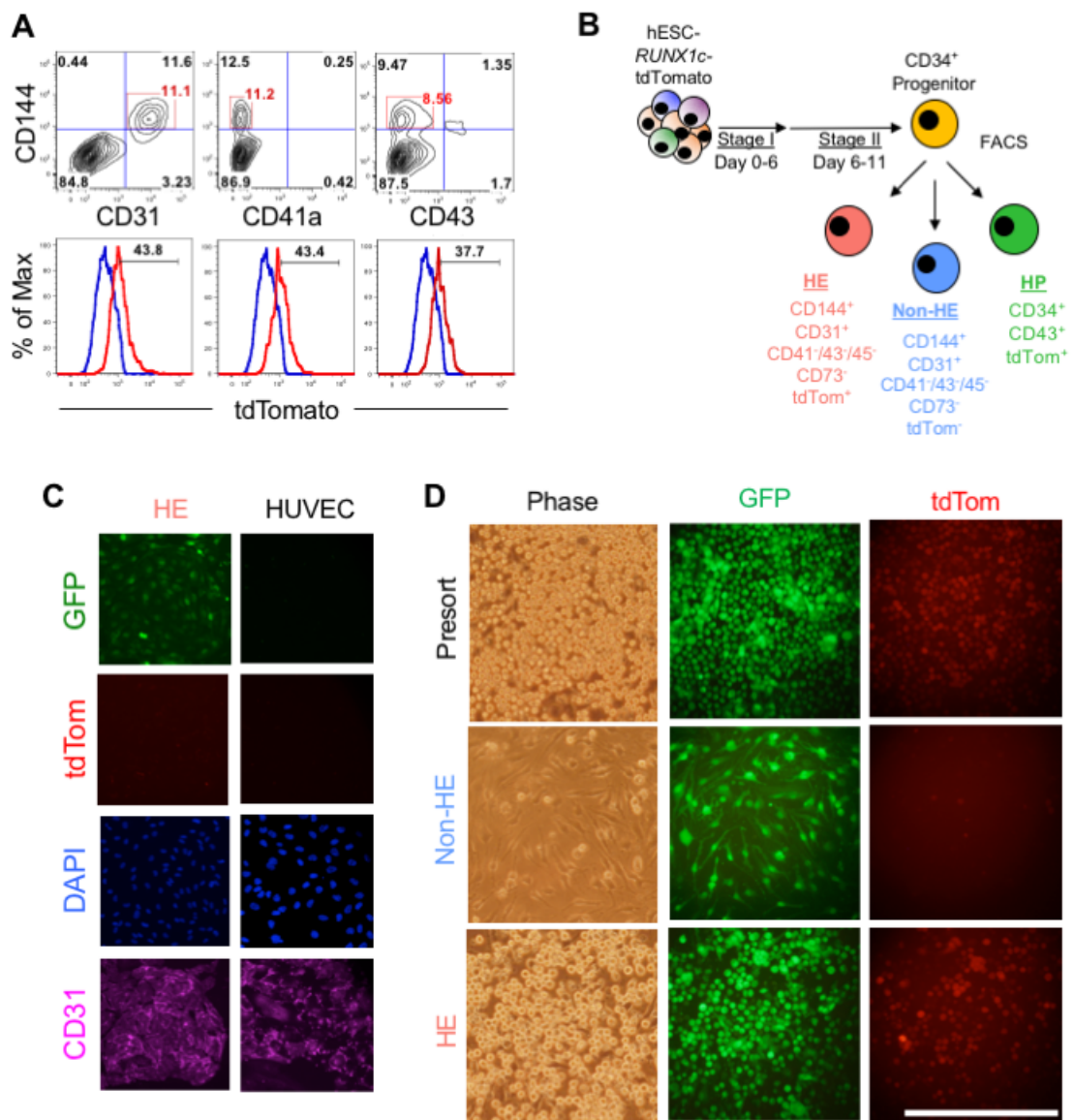
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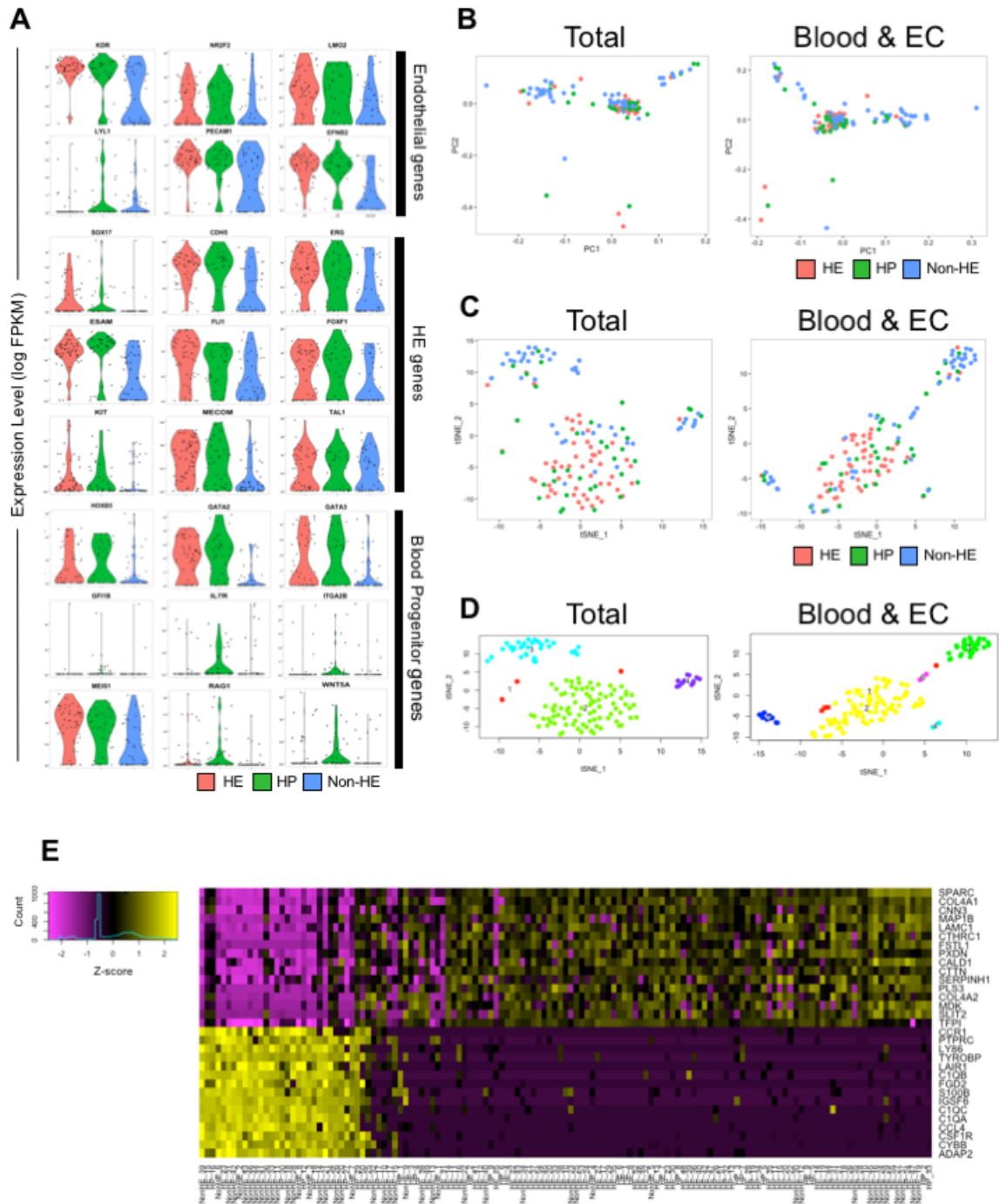
**Figure 2-1. hESC-*RUNX1c*-tdTomato cells can model the human endothelial-to-hematopoietic transition (EHT) *in vitro*.** (A) Schema of hemato-endothelial differentiation from hESC-*RUNX1c*-tdTomato cells as spin embryoid bodies (spin-EB). (B) Top; Representative immunofluorescent images of hESC-*RUNX1c*-tdTomato at Day 9 of differentiation for endothelial specific surface antigens CD144 and vWF (red). Magnification 4x and 10x (inset) are shown. Nuclei are counterstained with DAPI (blue).

Representative immunofluorescent images of hESC-*RUNX1c*-tdTomato at Day 12 of differentiation demonstrating development of non-adherent, dual constitutive GFP<sup>+</sup> (green) and tdTomato<sup>+</sup> (red) reporter hematopoietic cells from spin-EBs (bottom panels). Magnification 20x. (C) Representative flow cytometry plots of endothelial (CD31, CD144) and early hematopoietic surface antigens (CD41a, CD43, CD45) at Day 9 (D9), Day 12 (D12) and Day 15 (D 15) time points over the course of hESC-*RUNX1c*-tdTomato differentiation. (D) Quantification of flow cytometry as shown in panel C. \* $p < 0.05$ , \*\* $p < 0.01$  as compared to Day 9 assessed by student's t-test. Each data point represents a distinct hESC-*RUNX1c*-tdTomato differentiation and errors bars represent SEM;  $n = 4-7$  (E) Representative flow cytometry plots of tdTomato (*RUNX1c*) expression at Day 9 (D9), Day 12 (D12) and Day 15 (D 15) time points over the course of hESC-*RUNX1c*-tdTomato differentiation. (F) Quantification of flow cytometry as shown in panel E. \*\* $p < 0.01$  as compared to Day 9 assessed by student's t-test, error bars represent SEM;  $n = 4-7$ .



**Figure 2-2. Functional human hemogenic endothelium can be phenotypically identified and sorted from hESC-*RUNX1c*-tdTomato cells.** (A) Representative flow cytometry plots of differentiating hESC-*RUNX1c*-tdTomato cells at Day 11 expressing typical endothelial surface antigens (CD144<sup>+</sup>CD31<sup>+</sup>), but absent for early hematopoietic surface antigens (CD144<sup>+</sup>CD41a<sup>-</sup>, CD144<sup>+</sup>CD43<sup>-</sup>). Phenotypic endothelial cells were gated (top panel) and assessed for tdTomato expression (bottom panel). A fraction of endothelial

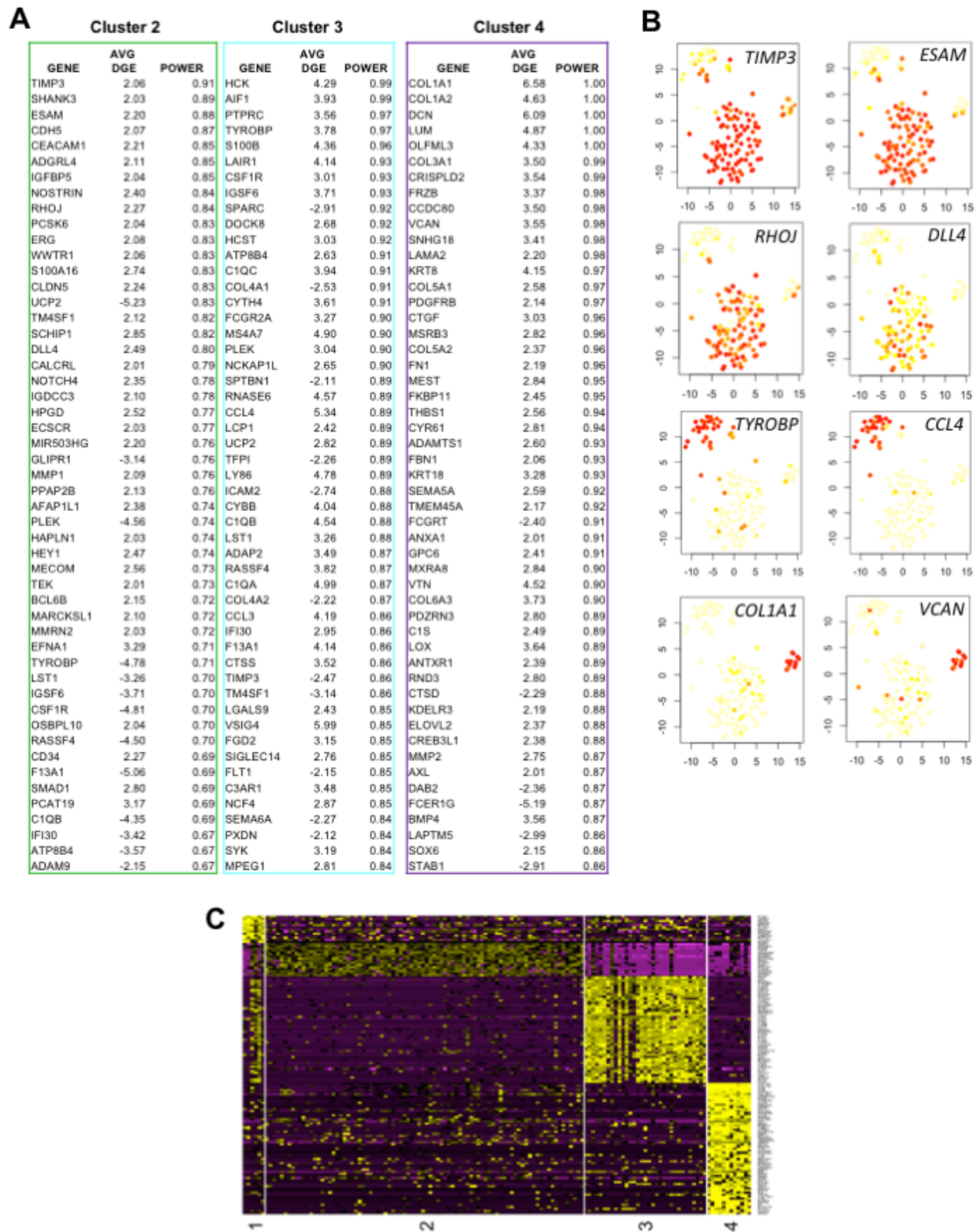
cells were tdTomato<sup>dim</sup> (red histogram) as compared to control hESCs lacking the GFP-*RUNX1c*-tdTomato reporter (blue histogram), consistent with the identification of hemogenic endothelium. n=2 independent differentiations. (B) Schema of FACS sorting hESC-*RUNX1c*-tdTomato cells into hemogenic endothelium (HE), endothelial cells that lack hematopoietic potential (non-HE), and early hematopoietic progenitor cells (HP). (C) Sorted HE cells seeded on fibronectin-coated wells with EGM-2 media for 5 days and subsequently immunofluorescently imaged for CD31 (left column). HE stained positive for CD31 (purple), GFP (green), and were tdTomato<sup>dim</sup> (red) while retaining a stereotypical cobblestone morphology of traditional endothelial cells. Untransfected human umbilical vein endothelial cells (HUVECs) are shown as an endogenous control (right column). (D) Presorted hESC-*RUNX1c*-tdTomato-derived adherent cells, sorted HE, and sorted non-HE were plated in Stage II hemato-endothelial differentiation media for two additional days to promote hematopoiesis. Both Presort and HE populations robustly generated non-adherent GFP<sup>+</sup>tdTomato<sup>+</sup> hematopoietic progenitor cells, while non-HE did not adequately support hematopoietic development. Underlying non-HE cells further retain their endothelial morphology. Bar=100μm.



**Figure 2-3. Single-Cell RNASeq of hESC-*RUNX1c*-tdTomato hemato-endothelial cells reveals distinct transcriptional networks between HE and non-HE. (A)** Distribution of the fraction per kilobases per million (FPKM) reads mapped for

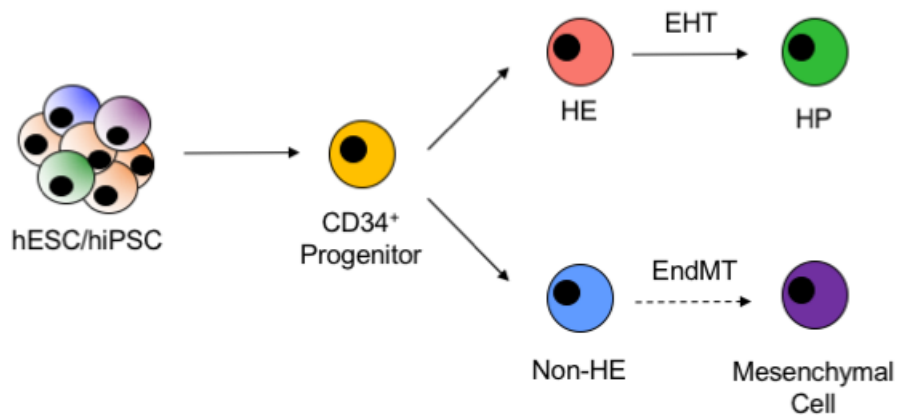
characteristic endothelial, hemogenic endothelium, and hematopoietic genes from HE, non-HE, and HP cells. Values along the vertical axis represents the log-transformed FPKM expression of each single cell sequenced, while the width of the violin indicates the frequency of cells at a particular FPKM level. (B) Principal component projections of the first and second statistically significant principal components for all 137 cells sequenced. PCA was performed using the entire mapped human genome sequence (Total; left panel) as well as a restricted gene list specific for known endothelial and hematopoietic genes (Blood & EC; right panel). Each data point represents the dimensionally reduced gene expression data for a single cell. (C) t-distributed stochastic neighbor embedding (t-SNE) plots of all 137 cells for Total (left) and Blood & EC (right) gene sets. (D) Statistically distinct clusters that originated from t-SNE dimensionality reduction shown in Panel C were computationally labelled and reclassified as belonging to a transcriptionally distinct population. Cluster 1 (red dots) are cells that failed to orient into one of the defined clusters (Cluster 2: green, Cluster 3: cyan, or Cluster 4: purple for the Total gene set; Cluster 2: yellow, Cluster 3: green, Cluster 4: cyan, or Cluster 5: blue for the Blood & EC gene set). (E) Heat map of Total gene expression defined within projected and statistically significant principal components (see Supplemental Figure 2-5A). Horizontal rows represent z-score expression of log-transformed FPKM values while vertical columns represent each single cell.





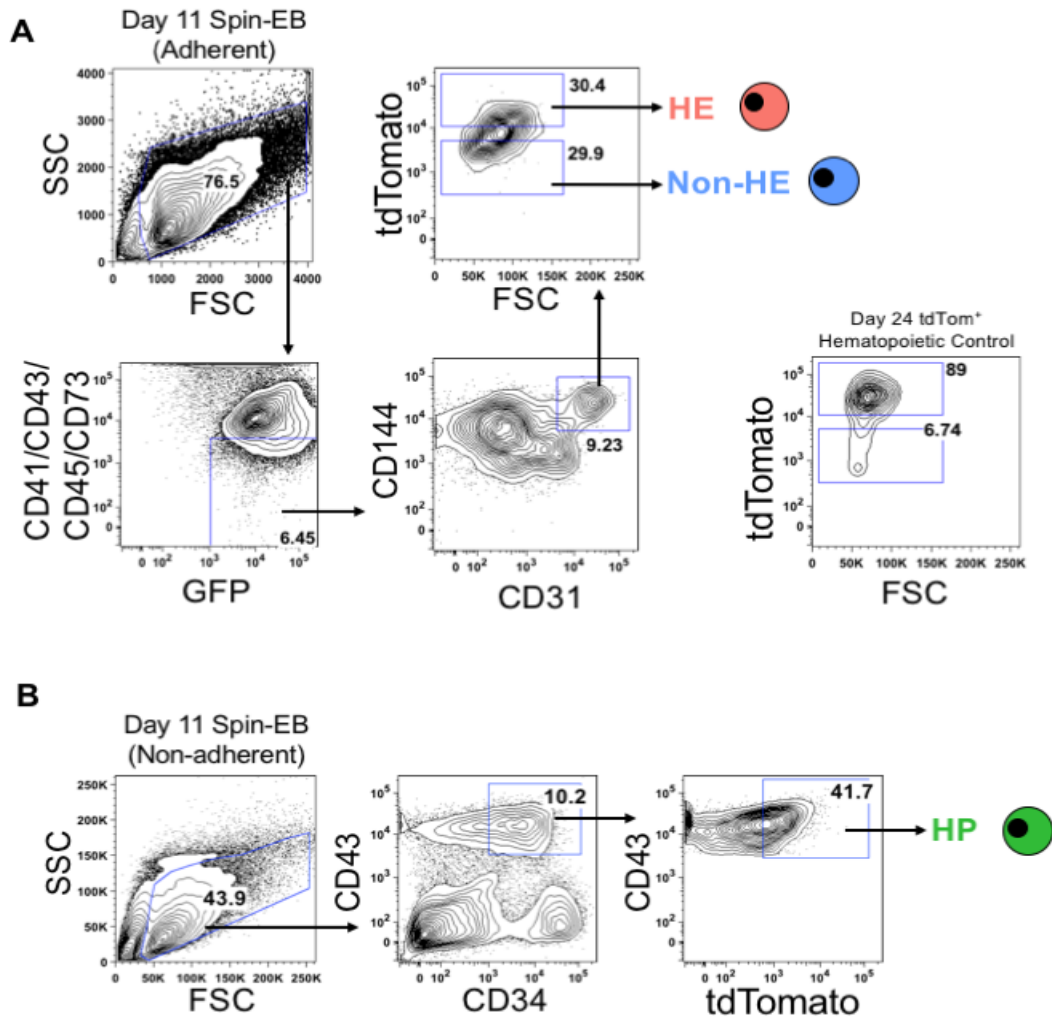
**Figure 2-4. hESC-*RUNX1c*-tdTomato-derived non-HE are heterogeneous and can be distinguished from HE and HP using defined gene signatures. (A) Lists of the top 50 differentially expressed genes that distinguish cells assigned in Cluster 2, 3, and 4 as shown**

in Figure 2-3D from the Total gene set. Gene screening was assessed by ROC analysis, with the average differential gene expression (Avg. DGE) and ROC classification power (0=random, 1=perfect correlation) for each gene listed; genes are ranked by their cluster distinguishing potential. (B) Gene expression superimposed onto Total t-SNE plots (Figure 2-3D) to reflect uniqueness to a cluster subset. Novel identifying biomarkers, such as *TIMP3* and *ESAM* possess high expression within cells of Cluster 2 (mainly HE/HP), while *TYROBP* and *CCL4* are uniquely expressed in Cluster 3 (non-HE), and ECM genes such as *COL1A1* and *VCAN* are expressed in a distinct subset of non-HE cells within Cluster 4. (C) Heat map of differentially regulated marker genes as defined by cell clustering in Panel A. Individual cells assigned to each cluster are plotted on the vertical axis.

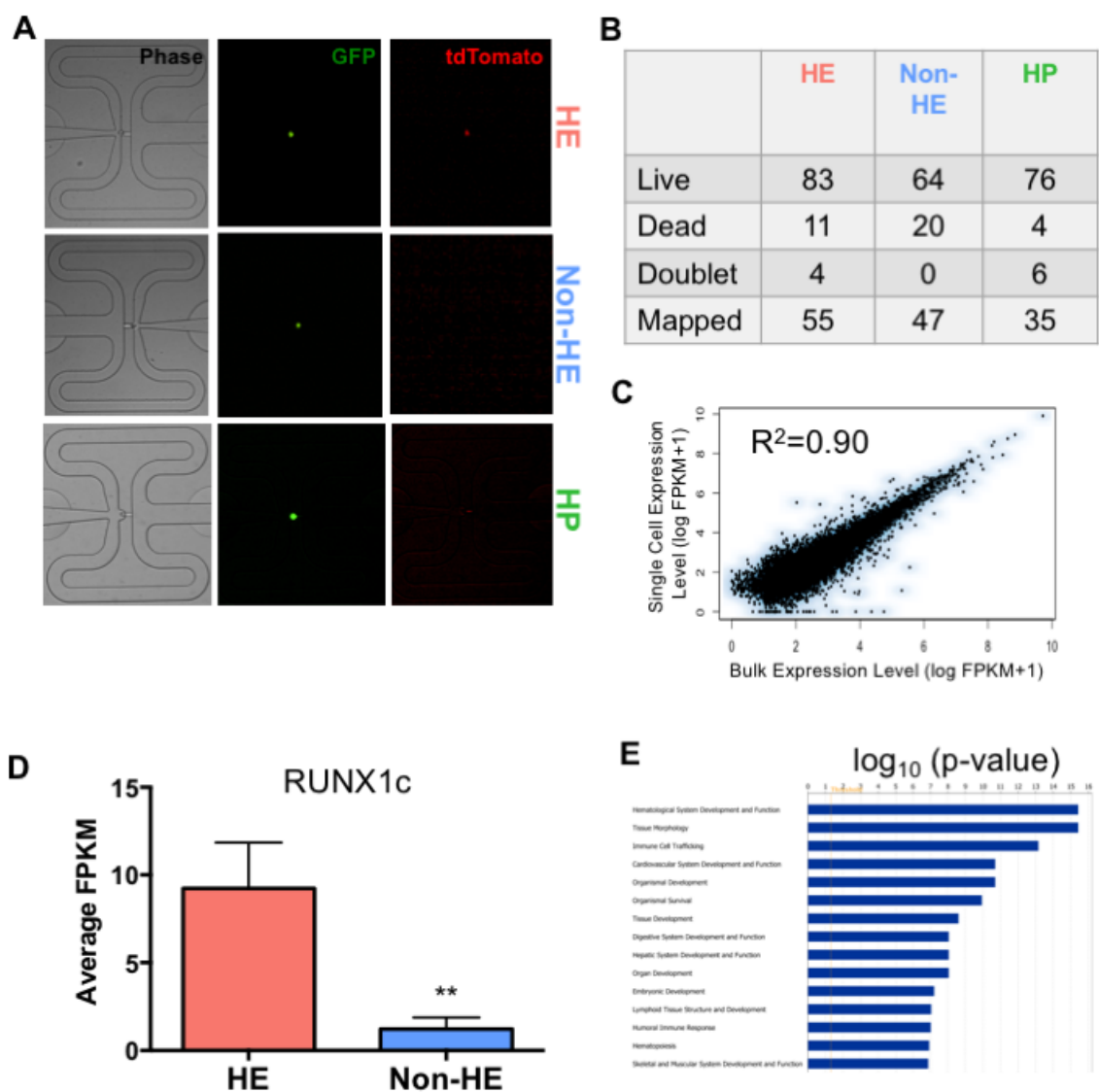


**Figure 2-5. Working model of human pluripotent stem cell EHT *in vitro*.**

Undifferentiated hESCs/hiPSCs first develop towards mesodermal lineages and generate  $CD34^{+}$  progenitor cells with dual hemato-endothelial potential.  $CD34^{+}$  cells subsequently differentiate into endothelial cells that possess hematopoietic potential (HE) or endothelial cells that lack endothelial potential (non-HE). HE cells can then differentiate further into hematopoietic progenitor cells in a process known as EHT. A subset of non-HE can subsequently transform into other cells of mesenchymal lineages, such as a mesenchymal stem cell or fibroblast, in a process known as the endothelial-to-mesenchymal transition (EndMT).

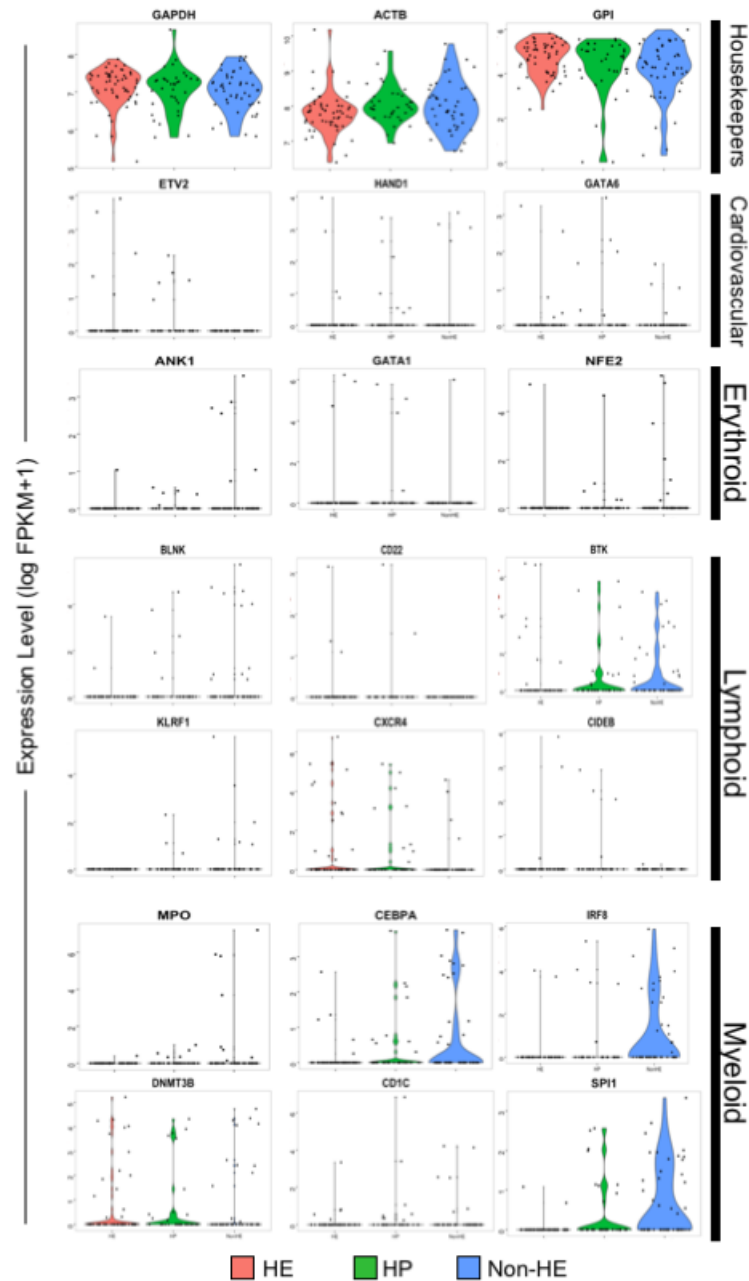


**Supplemental Figure 2-1. FACS sorting strategy of Day 11 hESC-*RUNX1c*-tdTomato-derived cells.** (A) Representative flow cytometry plots used to distinguish HE from non-HE within the adherent fraction of hESC-*RUNX1c*-tdTomato cells. Day 24 non-adherent hematopoietic cells also derived from hESC-*RUNX1c*-tdTomato cells in a parallel differentiation are also shown to establish positive gating controls of tdTomato expression. (B) Representative flow cytometry plots used to sort early hematopoietic progenitor cells from the non-adherent fraction of hESC-*RUNX1c*-tdTomato cells.

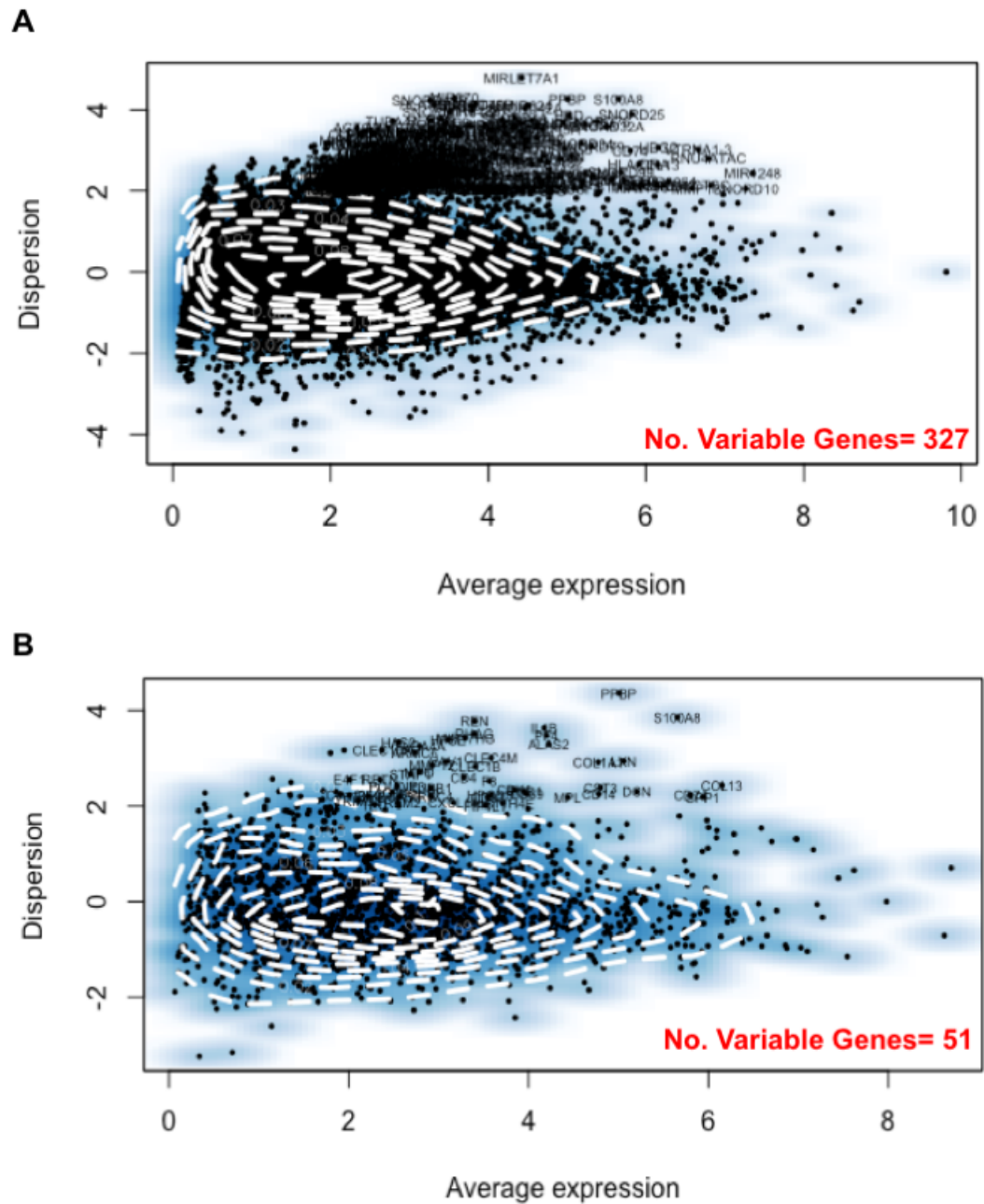


**Supplemental Figure 2-2. Fluidigm C1 Capture and quality control of single-cell RNASeq data.** (A) Representative images of 96-well microfluidic capture chambers, which served as the initial point of cell quality control. Within each fluidic capture point, cells were assessed for 1) singularity, 2) GFP<sup>+</sup> expression, and 3) absence of red-fluorescent ethidium homodimer-1 stain (dead stain). Furthermore, HE and HP sorted populations were validated for tdTomato<sup>dim</sup> expression, while non-HE were validated for tdTomato<sup>-</sup>

expression. Cells that failed these quality control criteria were excluded from cDNA library preparation. (B) Statistics of Fluidigm C1 capture and HiSeq 2500 sequencing. A total of 55 HE, 47 non-HE, and 35 HP were considered validated for subsequent analyses. (C) Total gene expression across individual cells were plotted and compared to the gene expression of similarly collected bulk RNASeq sample controls.  $R^2=0.90$ . Each dot represents a single gene, while the blue cloud surrounding each gene reflects the variance across individual cells. (D) log-transformed FPKM values for the *RUNX1c* isoform averaged across all cells in HE and non-HE populations.  $**p<0.01$  as compared to HE and assessed using student's t-test, error bars represent SEM. (E) Top 15 canonical pathways derived from the Ingenuity Pathway Analysis gene ontology algorithms for the HE and non-HE populations.



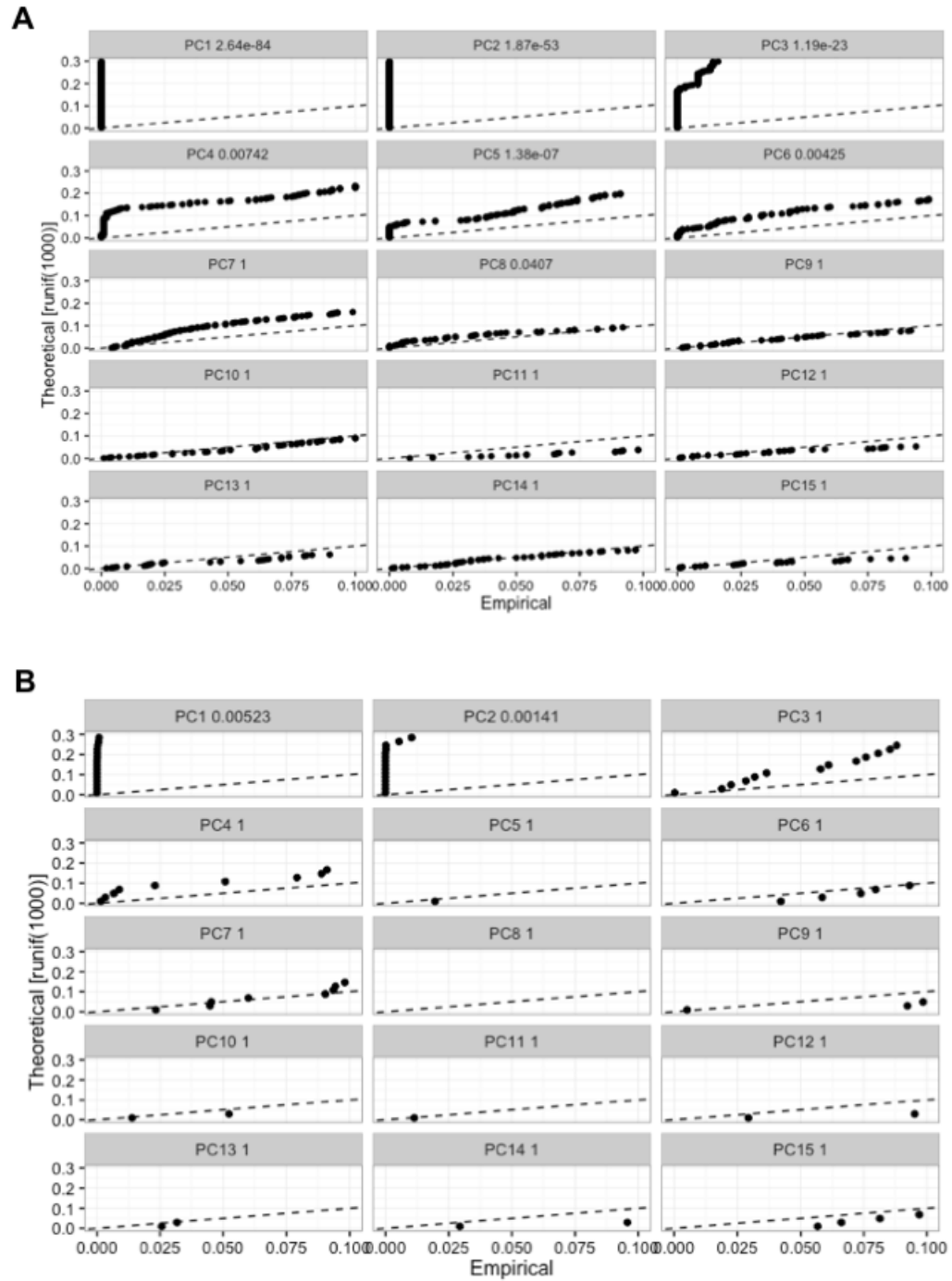
**Supplemental Figure 2-3. Supplemental violin plots of single-cell RNASeq gene expression.** Log-transformed FPKM values of key genes associated with cardiovascular development, erythroid, lymphoid, and myeloid cell phenotypes. Traditional housekeeper gene expression (*GAPDH*, *ACTB* and *GPI*) are also shown to demonstrate homogeneous expression and frequency across HE, non-HE, and HP populations.



**Supplemental Figure 2-4. Dispersion plots reveal variable genes across individual cells.** (A) Dispersion (Variance of gene expression across single cells/average of gene expression) as a function of average gene expression ( $\log(\text{FPKM}+1)$ ) across all individual

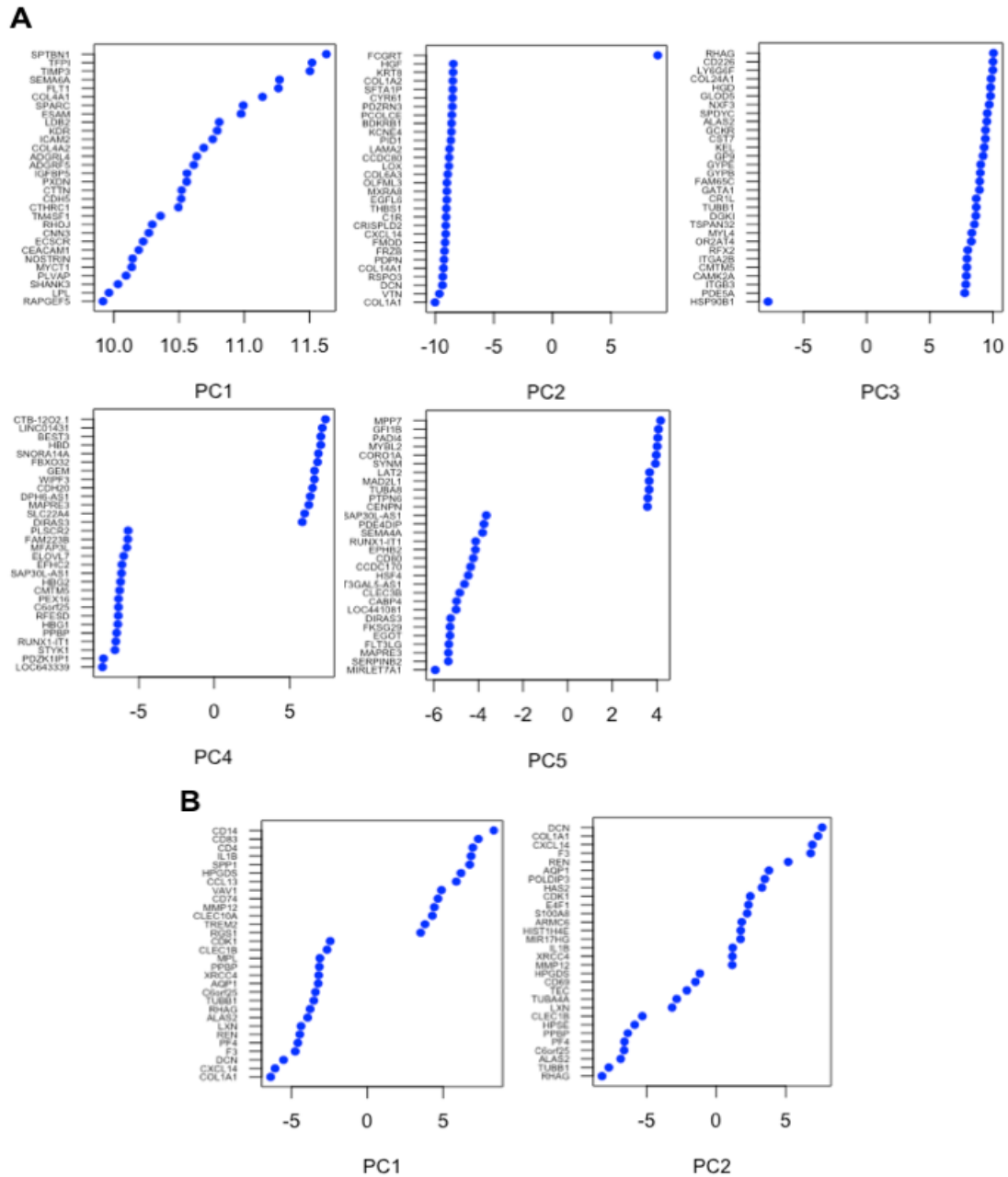


for the total gene list and (B) blood and endothelial gene subset list. Black points with blue hues represent the mean expression of a gene, while dashed contours represent the density of single cells as obtained using Seurat's default density estimation settings. Genes of significant variability across sample populations are labeled with the gene symbol and number of variable genes is listed in the bottom right corner. These genes were included for initial PCA clustering algorithms.

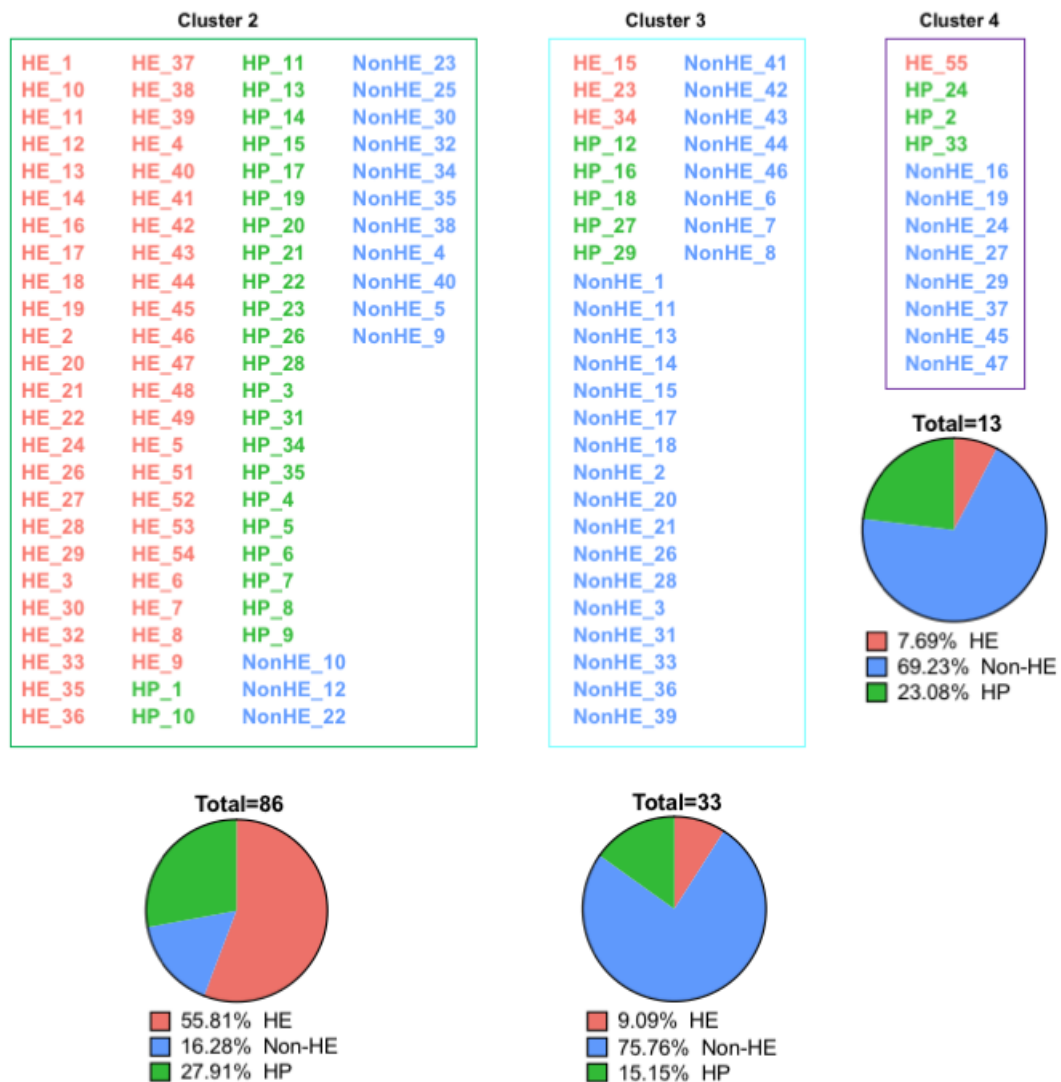


**Supplemental Figure 2-5. Significance level of principal components.** Output of Seurat p-value calculations of principal components as assessed from the (A) total gene list and (B) blood and endothelial gene restricted subset list. In brief, significance testing of

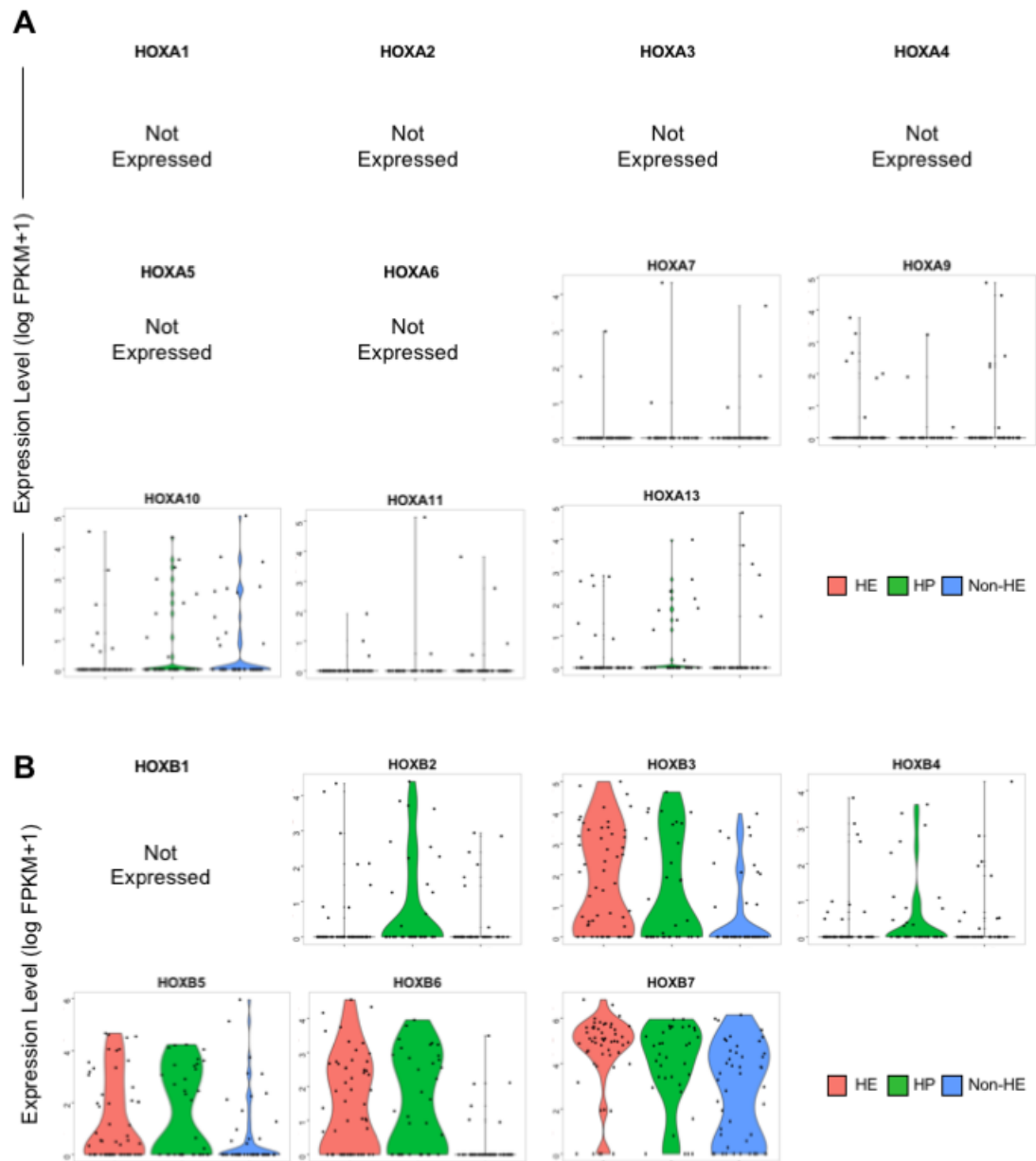
principal components is assessed by Jackstraw analysis<sup>200</sup>. Each subplot compares p-values of genes within the principal component via Jackstraw analysis (horizontal axis) as compared to a theoretical p-values from a random population sampling (vertical axis). Principal components with  $p < 0.05$  were subsequently used in t-SNE analysis and clustering classifications as described in Figure 2-3D.



**Supplemental Figure 2-6. Gene identification from statistically significant principal components.** Visualization of principal component scores and gene symbol from each statistically significant principal component identified in Supplemental Figure 2-5 for (A) total gene list and (B) blood and endothelial gene restricted list subset.



**Supplemental Figure 2-7. Cell identification of distinct clusters as determined by t-SNE analysis.** Cells assigned to cluster 2, 3, and 4 from the Total gene list t-SNE analysis are listed based on original single-cell sequencing classification. Cluster 2 comprises a majority of HE while Clusters 3 and 4 both comprise a majority of non-HE.



**Supplemental Figure 2-8. Violin plots of single-cell RNASeq expression of *HOXA* and *HOXB* locus genes.** A) Log-transformed FPKM gene expression values for *HOXA* locus genes. Genes that did not have any detectable level of expression are listed as “not-expressed.” B) Log-transformed FPKM gene expression values for *HOXB* locus genes.

## CHAPTER 3

*Aryl hydrocarbon receptor inhibition promotes hemato-lymphoid development from human pluripotent stem cells*

## ABSTRACT

The aryl hydrocarbon receptor (AHR) plays an important physiological role in hematopoiesis. AHR is highly expressed in hematopoietic stem/progenitor cells (HSPCs) and inhibition of AHR results in a marked expansion of human umbilical cord blood-derived HSPCs following cytokine stimulation. It is unknown whether AHR also contributes earlier in human hematopoietic development. To model hematopoiesis, human embryonic stem cells (hESCs) were allowed to differentiate in defined conditions in the presence of the AHR antagonist StemRegenin-1 (SR-1) or AHR agonist 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD). We demonstrate a significant increase in CD34<sup>+</sup>CD31<sup>+</sup> hemato-endothelial cells in SR-1 treated hESCs, as well as a two-fold expansion of CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells. Hematopoietic progenitor cells were also significantly increased by SR-1 as quantified by standard hematopoietic colony-forming assays. Using a CRISPR/Cas9 engineered hESC-*RUNX1c*-tdTomato reporter cell line with *AHR* deletion, we further demonstrate a marked enhancement of hematopoietic differentiation relative to wild-type hESCs. We also evaluated whether AHR antagonism could promote innate lymphoid cell differentiation from hESCs. SR-1 increased conventional natural killer (cNK) cell differentiation, whereas TCDD treatment blocked cNK development and supported Group 3 innate lymphoid cell (ILC3) differentiation. Collectively, these results demonstrate AHR regulates early human hemato-lymphoid cell development and may be targeted to enhance production of specific cell populations derived from human pluripotent stem cells.



## INTRODUCTION

Human pluripotent stem cells function as an important model system to elucidate basic genetic and cell signaling mediators of human hematopoietic development<sup>201–203</sup>. Previous studies demonstrate development of erythroid<sup>155,156</sup>, myeloid<sup>89,158,159,204</sup>, and lymphoid<sup>78,160,161</sup> cells from human embryonic stem cells (hESCs) and human induced pluripotent stem cells (hiPSCs). However, the molecular regulation of earlier human hematopoietic stem and progenitor cells (HSPCs) from pluripotent stem cells remains less well understood. Functional HSPCs develop during the definitive stage of hematopoiesis directly from specialized hemogenic endothelium in a process known as the endothelial-to-hematopoietic transition (EHT)<sup>18,28</sup>. Hemogenic endothelium capable of EHT has been identified from hESCs/hiPSCs and can thus be used as a platform to investigate the mechanistic cues supporting human HSPC development<sup>78,157,205</sup>.

The aryl hydrocarbon receptor (AHR) is a member of the PAS (Per/Arnt/Sim) family of environment-sensing, basic helix-loop-helix transcriptional regulators that is well known for its ability to mitigate reactive oxygen species due to extracellular stressors. However, there is increasing evidence for an important physiological role of AHR in hematopoiesis<sup>145</sup>. AHR mRNA and protein are enriched in both murine and human HSPCs, with a significant reduction in expression at the onset of HSPC proliferation<sup>130,206</sup>. *Ahr* knock-out mice yield an increased number of bone-marrow derived Lin<sup>−</sup>Sca<sup>+</sup>Kit<sup>+</sup> HSPCs that are hyperproliferative and have an increased propensity for leukemogenesis<sup>143</sup>. This finding has been extended to human HSPCs through directed small molecule targeting of AHR in CD34<sup>+</sup> umbilical cord blood (UCB). Treatment with StemRegenin-1 (SR-1), a

potent human-specific antagonist of AHR, substantially increases the proportion of engraftable UCB CD34<sup>+</sup> cells while also sustaining hematopoietic multipotency<sup>207</sup>. This strategy has recently been used in clinical trials that demonstrate dramatic HSPC expansion and an improved time to neutrophil engraftment following transplantation with SR-1 expanded UCB<sup>142</sup>.

While these results confirm the integral role of AHR in the maintenance of HSPCs, there are a paucity of studies investigating what function, if any, AHR has in the initial differentiation of hematopoietic cells from mesodermal and endothelial progenitor cells. Here, we utilize hESCs differentiated in chemically-defined conditions to test the hypothesis that AHR regulates early human hematopoietic development at the stage of EHT. We demonstrate inhibition of AHR using SR-1 or deletion of *AHR* using CRISPR/Cas9 leads to increased hemato-endothelial and functional hematopoietic progenitor cell differentiation. Additionally, we provide novel evidence that AHR inhibition also improves development of conventional natural killer (cNK) cells from hESCs, while AHR hyperactivation supports Group 3 innate lymphoid cell (ILC3) differentiation. Collectively, these studies demonstrate AHR inhibition enhances both early human HSPC and lymphoid development, and this strategy may be useful to improve the quantity and homogeneity of clinically useful hematopoietic cell populations derived from human pluripotent stem cells.

## **METHODS**

### **Hemato-endothelial differentiation of hESCs**

Single-cell adapted hESCs (H9) were maintained on irradiated mouse embryonic fibroblasts (MEF) in ES growth media, as previously described<sup>77</sup>. hESCs were allowed to differentiate as spin-embryoid bodies (EBs) as previously described (Figure 3-1A)<sup>77,170</sup>. In brief, hESCs were plated at 3,000 cells/100  $\mu$ L in a round-bottom 96-well plate using serum-free BPEL media supplemented with 20 ng/mL BMP4, 40 ng/mL SCF, and 20 ng/mL VEGF (all R&D Systems, Minneapolis, MN). Cells were centrifuged to form embryoid bodies (defined as Day 0) and were incubated for 6 additional days (defined as Day 6) to promote mesoderm induction. To differentiate early endothelial and hematopoietic progenitor cells, Day 6 EBs were transferred to pre-gelatinized 24-well plates (approx. 8-16 EBs/well) with BEL media supplemented with 40 ng/mL SCF, 40 ng/mL VEGF, 30 mg/mL thrombopoietin (all R&D Systems), 30 ng/mL IL-3, and 30 ng/mL IL-6 (both PeproTech, Rocky Hill, NJ). To modulate AHR activity, EBs were treated at Day 6+0 with DMSO, 1  $\mu$ M SR-1 (Cellagen Technologies, San Diego, CA), or 10 nM 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) (Sigma-Aldrich, St. Louis, MO). Media was exchanged every 3 days with small molecule and cytokine supplementation. At indicated time points, non-adherent cell fractions were collected and saved while the remaining adherent fractions were treated with 0.05% trypsin containing 2% chicken serum. Adherent cells were combined with the non-adherent fractions for analysis, unless otherwise stated.

### **Innate lymphoid cell (cNK cell and ILC3) differentiation from spin-EBs**

Spin-EBs were generated as described above<sup>122,160,161</sup>. Following 11 days of mesoderm conditioning (Day 11), spin-EBs were collected and analyzed by flow cytometry to assess hematopoietic progenitor cell potential (see flow cytometry methods for antibodies used) (Figure 4A). Spin-EBs yielding >30% CD34<sup>+</sup>CD45<sup>+</sup> cells were transferred onto 24-well plates coated with irradiated OP9-DL1 cells<sup>208,209</sup> (now defined as Day 11+0). EBs and OP9-DL1 were co-cultured in NK differentiation media (NKDM) supplemented initially with SCF, IL-15, IL-7, Flt3-L (all R&D Systems), and IL-3 (PeproTech) for one week; DMSO, SR-1, or TCDD were also added at Day 11+0. Every week, a one-half media change with NKDM supplemented with SCF, IL-15, IL-7, Flt3-L, and drugs was performed. hESCs were differentiated for four additional weeks (Day 11+28) and non-adherent cells were harvested for analysis.

### **Hematopoietic colony-forming unit (CFU) assay**

Day 6+5 spin-EB non-adherent fractions were resuspended in IMDM. 50,000 cells were seeded in 2 mL of H4436 Methocult (StemCell Technologies, Vancouver, CAN) and plated directly in 35 mm culture dishes (Greiner, Monroe, NC). Plates were incubated for 14 days and subsequently counted and phenotypically scored using standard criteria<sup>89</sup>.

### **CRISPR-Cas9 gene editing and hESC transfection**

gRNA against *AHR* exon 1 (5'-TCAGATTGTCCCTGGAGGTC-3') driven by U6 promoter was subcloned into a pCR4-TOPO vector (ThermoFisher Scientific). Single-cell adapted H9 *RUNX1c*-tdTomato reporter cell lines previously produced by our group<sup>77</sup>

were transfected with 1 µg plasmid DNA, 1 µg Cas9 mRNA (TriLink Biotechnologies, San Diego, CA), and mCherry fluorescent protein mRNA using the Neon Transfection System (ThermoFisher Scientific) set at 1100V, 20ms, 1 pulse. Post-transfection, cells were resuspended in MEF conditioned media without antibiotics supplemented with 5 µM Y-27632 and seeded onto Matrigel-coated 6 well plates. 96-hour post-transfection, individual mCherry<sup>+</sup> colonies were picked onto fresh MEFs for clonal expansion. Genomic DNA was isolated using the DNeasy Blood and Tissue Kit (Qiagen) and *AHR* PCR products were generated with high fidelity AccuPrime *Taq* DNA Polymerase (ThermoFisher Scientific). PCR products were purified and subcloned into a pCR-TOPO4 vector for sequencing. Cloned products were transformed into One Shot TOP10 competent cells (ThermoFisher Scientific) and were colony sequenced via rolling circle amplification (Sequetech, Mountain View, CA) using M13R primers. On- and off-target effects were assessed using a Surveyor mutation detection kit (IDT Technologies, Coralville, IA) and the following *AHR*-specific primers: F: 5'- AGGCAGCTCACCTGTACT-3'; R: 5': CATCTCGCCTTACCAAACCTCTAC-3'. Only clones that displayed *AHR* specific cleavage products and had *AHR* Exon 1 specific deletions as determined by sequencing were chosen for experiments.

### **Flow Cytometry**

The following additional antibodies were used (all anti-human): LFA-1 (CD11a/CD18)-APC-R700 (BD Biosciences), CD31-APC (eBioscience), CD33-APC (BD Biosciences), CD34-PECy7 (BD Biosciences), CD34-APC (BD Biosciences), CD41a-

APC (BD Biosciences), CD43-APC (BD Biosciences), CD45-APC (BD Biosciences), CD56-PECy7 (BD Biosciences), CD56-BV421 (BD Biosciences), CD94-PerCP-Cy5.5 (BD Biosciences), CD117-PECy7 (BD Biosciences), CD117-APC (eBiosciences), CD144-APC (eBioscience). Samples were analyzed on either an LSRFortessa or LSRII flow cytometer (BD Biosciences). Gating was set relative to isotype controls of identical fluorophores. Data from flow cytometry was analyzed using FlowJo software (Treestar, Ashland, OR).

### **FACS sorting and CD34<sup>+</sup> cell expansion**

Non-adherent cells from Day 6+5 spin EBs were washed, filtered, and stained with anti-human CD45-PECy7 (eBioscience) and CD34-APC (BD Biosciences) in FACS Buffer (DPBS + 2% FBS and 0.1% sodium azide) for 30 minutes at 4°C. Dead cells were counterstained with Sytox Blue (ThermoFisher Scientific) immediately prior to sorting. Live CD34<sup>+</sup>CD45<sup>+</sup> populations were sorted using a FACS Aria II (BD Biosciences). Human UCB CD34<sup>+</sup> cells (ClinImmune Lab, Denver, CO) were sorted using MACS separation columns and CD34<sup>+</sup> microbead kit (Miltenyi Biotec). Immediately post-sort, cells were cultured with Flt-3L, SCF, TPO, and IL-6 (all 100 nM) as described elsewhere<sup>141</sup> and supplemented with DMSO, SR-1, or TCDD. Cells were counted every 3 days and assessed for CD34 expression by flow cytometry. Total CD34<sup>+</sup>CD45<sup>+</sup> cell count was determined by multiplying the absolute cell count by the percentage of CD34<sup>+</sup>CD45<sup>+</sup> cells remaining.

### **Cell cycle analysis and proliferation studies**

Spin EBs were differentiated into hemato-endothelial cells with non-adherent cells harvested on Day 6+5. Cells were cultured in a 24-well plate and harvested after 1 week for flow cytometry analysis. Cells were co-stained with anti-human CD34-PECy7 to monitor proliferation of HSPCs. For cell cycle analysis, Day 6+5 non-adherent cells derived from EBs were harvest and monitored for S-phase using the Click-iT EdU Alex Fluor 647 flow cytometry assay kit per manufacturer instructions. Prior to flow cytometry, cells were counterstained with 50 µg/mL propidium iodide supplemented with 100 µg/mL RNase A (New England BioLabs, Ipswich, MA).

### **RNA isolation and quantitative real-time PCR (qRT-PCR) analysis**

Non-adherent cell fractions were harvested as previously described in the main text. Total RNA was harvested using the Qias shredder tissue homogenizer kit (Qiagen, Valencia, CA) with RNeasy Mini Kit. RNA concentration and purity was assessed using a NanoDrop 2000 (ThermoFisher Scientific) spectrophotometer and subsequently reverse transcribed with a SensiFAST cDNA Synthesis Kit (Bioline, Taunton, MA). qPCR was performed using the All-in-One qPCR Mix (GeneCopeia, Rockville, MD) and cDNA was used at 50 ng/uL per reaction for qRT-PCR using gene specific human primer pairs (Supplementary Table 1). qPCR reactions were run on an StepOnePlus Real-Time PCR System thermocycler (ThermoFisher Scientific) in either technical duplicate or triplicate. Ct values were normalized to a GAPDH housekeeper for each sample. Data were then normalized relative to controls using the  $2^{-(\Delta\Delta Ct)}$  method.

## **Immunoblotting**

Undifferentiated hESCs were harvested and lysed in lysis buffer (10mM HEPES pH 7.4, 150 mM KCl, 0.1% NP40, 5mM MgCl<sub>2</sub> supplemented with EDTA-free protease inhibitor tablet (Roche, Branchburg, NJ)) overnight at 4°C. Protein concentration was determined by bicinchoninic acid (BCA) assay, per manufacturer protocol (ThermoFisher Scientific). 50 µg of protein lysate was added to 6x SDS loading buffer (250mM Tris, 2% SDS, 20% glycerol, 0.05% bromphenol blue), loaded onto a 4-20% Mini-PROTEAN TGX Gel (BioRad, Hercules, CA), and electrophoresed at 120V for 1 hour. Protein was then transferred to a nitrocellulose membrane in transfer buffer (25x Tris-Glycine transfer buffer with 5x 100% methanol) overnight at 30V. Membranes were blocked with 5% milk for 1 hr at room temperature and subsequently incubated with the following primary antibodies overnight at 4°C in blocking buffer (all human): mouse monoclonal anti-AHR (ab2769; Abcam, Cambridge, MA), rabbit polyclonal anti-AHRR (ab108518; Abcam), rabbit polyclonal anti-CYP1B1 (ab137562; Abcam), or rabbit monoclonal anti-actin (Sigma-Aldrich, St. Louis, MO). Membranes were washed three times with PBST and incubated with either anti-rabbit or anti-mouse IgG HRP-link secondary antibody (Cell Signaling Technologies, Danvers, MA). Bands were visualized on film using SuperSignal West Dura Extended Duration Substrate (ThermoFisher Scientific) and standard film processor at various exposure times.

## **CD107a Degranulation Assay**



To examine NK cell activity, as previously described<sup>126,210-212</sup>, hESC-derived NK cells were harvested, washed, and plated at 100,000 cells per well of a V-bottomed 96-well plate co-cultured with K562 at a 2:1 effector-to-target ratio. NK cell only and NK+K562 wells were incubated with anti-CD107a-AF700 (BD Biosciences, San Jose, CA) for 1 hour. Following incubation, 1:1500 Golgi Stop and 1:1000 Golgi Plug mix (BD Biosciences) was added and cells were incubated for 4 hours. Cells were washed with PBS and counterstained with Near-IR Live/Dead Fixable Dye (ThermoFisher Scientific). Cells were washed again and co-stained with the following antibodies (all anti-human): CD3-PE-Texas Red (eBioscience, San Diego, CA), CD14 PE-Texas Red (eBioscience), CD19-PE-Texas Red (eBioscience), and CD56-PE (Beckman Coulter, Indianapolis, IN) for 30 minutes at 4°C. Cells were then transferred to FACS tubes and analyzed.

### **Fluorescent Activated Cell Sorting (FACS) of NKP, cNK, and ILC3 derived from hESCs**

To assess the effects of AHR modification on NK cell and ILC specification, non-adherent hematopoietic cells derived from hESCs on Day 11+28 cultured in NKDM supplemented with DMSO, SR-1, or TCDD were harvested as single-cell suspensions. Cells were washed with DPBS, filtered, and stained with the following antibodies (all anti-human): CD56-BV421, CD94-PerCP-Cy5.5, CD117-PECy7, and LFA-1 (CD11a/CD18)-APC-R700 in sterile FACS Buffer (DPBS + 2% FBS and 0.1% sodium azide) for 30 minutes at 4°C. Cells were washed with FACS buffer and cells were counterstained with Sytox Blue (ThermoFisher Scientific) immediately prior to sorting. Live NKP, cNK, and

ILC3 populations were sorted directly into FACS tubes containing NKDM basal media using a FACS Aria II (BD Biosciences) cell sorter. Cells were immediately centrifuged, washed once with PBS, and processed for total RNA isolation as described above.

### **Statistical Analyses**

Differences between groups were compared either with student's t-test or one-way/two-way ANOVA using Prism 6 (GraphPad Software, San Diego, CA). Results were considered statistically significant at p-values < 0.05.

## **RESULTS**

### **Small molecule antagonism of AHR enhances early hemato-endothelial differentiation from hESCs**

To establish whether AHR mediates development of the earliest human hematopoietic cells, we differentiated hESCs using a two-stage defined culture system, as previously described (Figure 3-1A)<sup>77,213</sup>. We first assessed whether endogenous *AHR* was expressed in undifferentiated hESCs and hESCs differentiating into hemato-endothelial cells using qRT-PCR. *AHR* expression was increased  $4.30 \pm 1.24$  fold in the differentiated cell population at Day 6+3 relative to undifferentiated hESCs and became significantly increased at Day 6+5 ( $7.33 \pm 1.24$  fold,  $p < 0.01$ ) (Supplemental Figure 3-1A). We also observed a corresponding increase in the expression of two downstream effector targets of AHR signaling (*CYP1A1* and *CYP1B1*). These data indicate that endogenous *AHR* activity is upregulated at the onset of hemato-endothelial differentiation from hESCs, suggesting

that AHR is implicated in early hematopoiesis. We next treated hESCs with SR-1 or TCDD to modulate AHR signaling, or DMSO vehicle control. Following 4 days of culture, hESCs treated with 1  $\mu$ M SR-1 had reduced expression of *CYP1A1* and *CYP1B1*, whereas hESCs treated with TCDD yielded a significantly increased expression of *CYP1A1* and *CYP1B1* as compared to DMSO normalized controls. We also confirmed that there were no significant cytotoxic effects on hESCs due to the presence of SR-1 or TCDD (Supplemental Figure 3-1C). Together, SR-1 and TCDD can effectively be used as agents to selectively regulate AHR-mediated activity in hESCs.

We next investigated differentiating hemato-endothelial cells when exposed to SR-1 or TCDD. As early as Day 6+3, there was a marked increase in the total percentage of CD34<sup>+</sup>CD144<sup>+</sup> (1.71 $\pm$ 0.22 fold,  $p$ <0.05) and CD34<sup>+</sup>CD31<sup>+</sup> (1.58 $\pm$ 0.28 fold) cells that have dual hemato-endothelial cell developmental potential in SR-1 treated hESCs as compared to DMSO controls<sup>214–216</sup> (Figure 3-1B & 3-1C). As differentiation continued to Day 6+6, there were also notable increases in the total percentage of both CD34<sup>+</sup>CD31<sup>+</sup> (1.62 $\pm$ 0.12 fold,  $p$ <0.05) hemato-endothelial cells and budding CD34<sup>+</sup>CD43<sup>+</sup> (1.36 $\pm$ 0.12 fold) hematopoietic progenitor cells<sup>70,77,199,204</sup> when SR-1 treatment was applied. At Day 6+9, there was a significant increase in development of CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells<sup>77,93,217</sup> (1.40 $\pm$ 0.11,  $p$ <0.05) in the SR-1 treated cells. We also observed development of more terminally differentiated hematopoietic phenotypes (CD34<sup>+</sup>CD43<sup>+</sup> and CD34<sup>+</sup>CD45<sup>+</sup>) when hESCs were treated with TCDD. This effect was most pronounced at the Day 6+9 time point, where there was a reduction in the total percent of CD34<sup>+</sup>CD144<sup>+</sup> (0.33 $\pm$ 0.13), CD34<sup>+</sup>CD31<sup>+</sup> (0.49 $\pm$ 0.06,  $p$ <0.05), CD34<sup>+</sup>CD43<sup>+</sup> (0.67 $\pm$ 0.09,  $p$ <0.05), and

CD34<sup>+</sup>CD45<sup>+</sup> ( $0.44 \pm 0.06$ ,  $p < 0.05$ ) progenitor cells with an increase in the total percent of CD34<sup>+</sup> hematopoietic cells. Taken together, these data demonstrate that AHR inhibition with SR-1 promotes early hemato-endothelial cell development, whereas AHR hyperactivation with TCDD accelerates differentiation towards more terminally differentiated hematopoietic lineages.

**Increased AHR-mediated production of hESC-derived hemato-endothelial cells is not due to increased proliferation of CD34<sup>+</sup> cells**

Since we observed that SR-1 treatment increased the total percentage of CD34<sup>+</sup> cells derived from hESCs, we next questioned whether this was caused by increased proliferation and/or cycling of developed CD34<sup>+</sup> cells. We sorted Day 6+5 CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells and expanded them over the course of 15 days in expansion media supplemented with SR-1, TCDD, or DMSO. In parallel, we sorted CD34<sup>+</sup>CD45<sup>+</sup> human UCB as a positive control. The absolute number of nucleated cells derived from UCB and treated with either DMSO, SR-1, and TCDD expanded at a significantly greater rate than their counterparts derived from Day 6+5 hESCs beginning by Day 9 of culture (Supplemental Figure 3-2A). By Day 15, there was a significant increase in the absolute number of nucleated cells in the UCB SR-1 group ( $14.2 \pm 1.0 \times 10^6$ ,  $p < 0.05$ ) relative to both DMSO ( $9.00 \pm 0.71 \times 10^6$ ) and TCDD ( $7.14 \pm 0.46 \times 10^6$ ) groups. However, we did not find a similar expansion of Day 6+5 hESC derived total cells as UCB-derived CD34<sup>+</sup> cells between treatment groups at any time point. We further characterized the differentiating cells for development of CD34<sup>+</sup>CD45<sup>+</sup> cells and calculated the total number of these cells

remaining over time. Again, by Day 15, there were significant increases in the number of CD34<sup>+</sup>CD45<sup>+</sup> cells in the UCB SR-1 group ( $5.62 \pm 0.40 \times 10^6$ ,  $p < 0.05$ ) as compared to DMSO ( $1.69 \pm 0.13 \times 10^6$ ) and TCDD ( $0.15 \pm 0.01 \times 10^6$ ) treated UCB. As expected from the previous differentiation studies directly from spin-EBs, TCDD treatment of both UCB and hESC-derived CD34<sup>+</sup>CD45<sup>+</sup> accelerated the differentiation to terminally differentiated CD34<sup>+</sup> hematopoietic cells as compared to DMSO, while SR-1 slowed this progression and retained hematopoietic cells in a progenitor state (Supplemental Figure 3-2B). These data suggest hESC-derived hemato-endothelial progenitor cells do not proliferate in response to SR-1 as do UCB CD34<sup>+</sup> cells, but rather are enhanced through differentiation pathways.

#### **AHR-modulation in hESC-derived hemato-endothelial cells alters cell cycle progression**

We next evaluated whether increased hemato-endothelial phenotype was due to alterations in cell cycle progression when SR-1 was applied. We analyzed hESC-derived, non-adherent hematopoietic progenitor cells treated with either DMSO, SR-1, or TCDD at Day 6+5 to assess for the percentage of cells in G<sub>0</sub>/G<sub>1</sub> (EdU-PI<sup>low</sup>), S-phase (EdU<sup>+</sup>PI<sup>+</sup>), and G<sub>2</sub>/M (EdU-PI<sup>hi</sup>) (Supplemental Figure 3-3A). SR-1 treated hematopoietic progenitor cells had a significant increase for G<sub>0</sub>/G<sub>1</sub> phase ( $43.7\% \pm 1.82$ ,  $p < 0.05$ ) rather than S-phase ( $38.2\% \pm 1.00$ ,  $p < 0.01$ ) as compared to DMSO treated controls ( $39.6\% \pm 0.35$  and  $44.6\% \pm 0.48$ , respectively) (Supplemental Figure 3-3B). Conversely, TCDD treated hematopoietic progenitor cells were significantly enriched for S-phase ( $38.2\% \pm 1.03$ ,  $p < 0.001$ ) rather than G<sub>0</sub>/G<sub>1</sub> phase ( $25.8 \pm 0.34$ ,  $p < 0.01$ ) as compared to the same controls.

Collectively, these data demonstrate AHR regulates hemato-endothelial and hematopoietic progenitor cell phenotype via cell cycle modification.

### **AHR inhibition leads to functional hematopoietic progenitor cells and increased expression of key hematopoietic genes**

We next examined whether SR-1 supported the production of functional hematopoietic progenitor cells by standard methylcellulose-based colony forming unit (CFU) assays. SR-1 conditioning led to a marked increase in hematopoietic progenitor cell development compared to DMSO-treated controls ( $245.67 \pm 64.4$  colonies vs.  $60.3 \pm 1.20$  colonies, respectively,  $p < 0.05$ ), while the TCDD treated cells were significantly decreased ( $28.3 \pm 2.67$  colonies,  $p < 0.05$ ) (Figure 3-2A).

We further assessed key transcriptional regulators of human hematopoiesis that may be modulated by AHR expression within developing hemato-endothelial cells. We again analyzed the non-adherent hematopoietic fractions of differentiating hESC-derived cells treated with DMSO, SR-1, and TCDD and performed qRT-PCR probing for AHR-related genes (*AHR*, *CYP1B1*), megakaryotic-erythropoietic genes<sup>218</sup> (*GATA1* and *GATA2*), a myelopoiesis regulator (*PU.1*)<sup>218</sup>, and a definitive hematopoiesis specific gene (*CMYB*)<sup>40,205,219</sup>. We found SR-1 treatment increased the expression of *GATA1* ( $2.58 \pm 0.40$  fold) and *GATA2* ( $6.85 \pm 0.74$  fold,  $p < 0.05$ ) as early as Day 6+3 (Figure 3-2B). The mean *GATA2*:*GATA1* at Day 6+3 was 2.67, and this positive ratio is in accord with the elevated *GATA2* endogenous gene progression relative to *GATA1* throughout early erythropoiesis<sup>220,221</sup>. TCDD treatment decreased the expression of *GATA1* at Day 6+3

( $0.31 \pm 0.08$  fold,  $p < 0.05$ ) as compared to DMSO controls and induced a reduction in *GATA2* later at Day 6+6 ( $0.37 \pm 0.13$  fold,  $p < 0.05$ ). There was a similar induction of *PU.1* with SR-1 treatment and reciprocal expression in TCDD treated hematopoietic cells at each time point. The increased fold-change of *GATA1/GATA2* and *PU.1* expression supports the enhanced production of CFU-E and CFU-M in SR-1 treated hematopoietic progenitor cells (Figure 3-2A). Moreover, SR-1 treatment also mediated a significant increase of *CMYB* at all time points. Collectively, these results further demonstrate AHR inhibition leads to enhanced activation of a functional and multilineage hematopoietic transcriptional program from hESCs.

#### ***AHR* knockout in hESCs promotes early hematopoietic differentiation**

We next investigated whether genetic deletion of *AHR* in hESCs would similarly mediate or even further enhance hemato-endothelial and hematopoietic progenitor cell production. To do this, we utilized CRISPR/Cas9 to develop stable and clonally-derived hESCs cell lines with a deletion in *AHR*, preventing expression. Specifically, we utilized hESCs previously modified with a *RUNX1c*-tdTomato reporting cassette generated in our lab that demonstrates faithful measurement of early hemato-endothelial cells<sup>77</sup>. As previously described, these cells allowed us to observe EHT and isolate early human hematopoietic cells as they emerge from adherent endothelial cells. These cells now allow us to dually evaluate the effect of *AHR* gene modification on the induction of EHT<sup>77,205</sup>. We clonally expanded hESCs transfected with a gRNA target complementary to *AHR* exon 1 and probed for modification using primers flanking the exon 1 sequence (Figure 3-3A).

We identified clones that yielded a 718bp amplicon (wild-type, WT), a 718bp amplicon with an additional 571bp amplicon indicative of partial exon 1 deletion (*AHR*<sup>+/-</sup>), and only the 571bp amplicon (*AHR*<sup>-/-</sup>) (Figure 3-3B). We confirmed functional loss of AHR protein with significant attenuation of the AHR-downstream targets aryl hydrocarbon receptor repressor (AHRR) and CYP1B1 in *AHR*<sup>-/-</sup>-hESC-*RUNX1c*-tdTomato cells, as compared to K562 and NK92 positive controls, and wild-type hESC-*RUNX1c*-tdTomato cells (Figure 3-3C). We additionally validated the on-target specificity of the gRNA by probing the AHR amplicons generated from the genomic DNA of each clone with Surveyor endonuclease as well as with direct sequencing (data not shown). These data confirmed we successfully generated heterozygous and homozygous deletions of *AHR* within hESCs.

We next differentiated WT-, *AHR*<sup>+/-</sup>-, and *AHR*<sup>-/-</sup>-*RUNX1c*-tdTomato hESCs as in previous studies. At Day 6+3, there was approximately a 2-fold increase in development of hemato-endothelial cells (CD34<sup>+</sup>CD31<sup>+</sup> and CD34<sup>+</sup>CD144<sup>+</sup>) as compared to WT- and *AHR*<sup>+/-</sup>-hESCs at Day 6+3 (Figure 3-3D, quantified in Supplemental Figure 3-4A). We also found that *AHR*<sup>-/-</sup>-*RUNX1c*-tdTomato hESCs produced more than a 2-fold increase in CD34<sup>+</sup>CD43<sup>+</sup> and CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells at both Day 6+3 and Day 6+6 time points. Importantly, the total percentage of CD34<sup>+</sup> was not compromised as hematopoietic progenitor cells further differentiated into mature hematopoietic cells (CD34<sup>-</sup>CD33<sup>+</sup>, CD34<sup>-</sup>CD41a<sup>+</sup>, CD34<sup>-</sup>CD43<sup>+</sup>, CD34<sup>-</sup>CD45<sup>+</sup>). By Day 6+9, a majority of the *AHR*<sup>-/-</sup>-hESC-derived cells continued to differentiate into matured hematopoietic lineages at a greater rate than WT- and *AHR*<sup>+/-</sup>-hESCs, as indicated by an increased total percentage of CD34<sup>-</sup>CD45<sup>+</sup> cells.



Using the *RUNX1c*-tdTomato reporter, we also demonstrated an increased commitment towards *RUNX1c*<sup>+</sup> cell development in *AHR*<sup>-/-</sup>-*RUNX1c*-tdTomato hESCs as compared to WT- and *AHR*<sup>+/-</sup>-*RUNX1c*-tdTomato hESCs. Specifically, there was a 5-fold expansion in the total percentage of tdTomato<sup>+</sup> hematopoietic progenitor cells at both Day 6+3 and Day 6+6 in *AHR*<sup>-/-</sup>-*RUNX1c*-tdTomato hESCs compared to WT- and *AHR*<sup>+/-</sup>-*RUNX1c*-tdTomato hESCs (Figure 3-3E, quantified in Supplemental Figure 3-4B). We also further confirmed increased development of functional hematopoietic progenitor cells derived from *AHR*<sup>-/-</sup>-*RUNX1c*-tdTomato hESCs compared to the controls using hematopoietic colony-forming unit assays. There was a significant increase in the total number of colonies formed in the *AHR*<sup>-/-</sup>-hESCs (188.67±11.29 colonies, p<0.05) as compared to *AHR*<sup>+/-</sup>-hESCs (54.0±2.08 colonies) and WT-hESCs (50.33±4.91 colonies) (Figure 3-3F). Collectively, these data suggest that genetic deletion of *AHR* in hESCs can robustly support functional hemato-endothelial differentiation.

### **AHR inhibition enhances cNK cell differentiation from hESCs while AHR hyperactivation supports ILC3 cell phenotype**

Recent studies also demonstrate an important role of AHR to mediate development and function of both innate and adaptive immune cells<sup>129,222</sup>. Since AHR attenuation supports the differentiation of CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells (Figures 3-1B, 3-3D), we assessed whether NK cell differentiation could also be enhanced from hESCs using defined conditions and a small molecule approach. Here, we used our previously described system for NK cell development from hESCs as a model for

lymphopoiesis<sup>122,160,161</sup> (Figure 3-4A). By Day 11, spin-EBs produced a high percentage of CD34<sup>+</sup>CD45<sup>+</sup> hematopoietic progenitor cells (range: 38.5%-65.0% for n=3 separate studies) (Figure 3-4B). At Days 11+21 (11 days in hematopoietic differentiation conditions, then 21 days in NK cell differentiation conditions) and Day 11+28, SR-1 treated hESC-derived hematopoietic cells demonstrated increased development of NK cells compared to DMSO treated controls, while TCDD treated hESC-derived hematopoietic cells had fewer phenotypic NK cells (Figures 3-4B & 3-4C). In addition to surface antigen acquisition, we also assessed lymphoid-specific gene expression in the hematopoietic cells produced in each treatment group. As compared to the DMSO treated control group, SR-1 treated hESC-derived hematopoietic cells expressed a significantly higher amount of *ID2* (2.49±0.003 fold, p<0.01), *TBX21/TBET* (3.44±0.55 fold, p<0.05), and *EOMES* (5.12±0.52 fold, p<0.05), transcriptional factors that mediate increased NK cell lineage commitment (Figure 3-4D). While we also observed a significant increase in *TBX21/TBET* (1.56±0.07 fold, p<0.05) and *EOMES* (1.84±0.11 fold, p<0.05) in the TCDD treated hESC-derived hematopoietic cells, the fold-induction was significantly lower than those of the SR-1 treated group. We further assessed the functionality of differentiated NK cells by assessing CD107a degranulation when stimulated with K562 target cells. SR-1 treated hESC-derived hematopoietic cells were comparable to DMSO treated controls in CD107a expression (58.1±0.67% vs. 47.2±2.76%), while TCDD treated hESC-derived hematopoietic cells expressed less CD107a (36.8±2.1%) (Figure 3-4E & 3-4F). Collectively, these data support SR-1 treatment of differentiating hESCs enhances the production of functional NK cells.

We further defined the identity of developing lymphoid phenotypes regulated by AHR activity by evaluating natural killer progenitor cells (NKP), conventional NK cells (cNK), and developmentally related innate lymphoid group 3 cells (ILC3)<sup>100,106,118</sup>. At Day 11+28, hESC-derived hematopoietic cells treated with DMSO control produced cNK (CD94<sup>+</sup>CD117<sup>-</sup>CD56<sup>+</sup>LFA1<sup>+</sup>) and ILC3 (CD94<sup>-</sup>CD117<sup>+</sup>CD56<sup>+</sup>LFA1<sup>-</sup>) cells, but with a majority of the differentiated cells restricted to the NKP (CD94<sup>+</sup>CD117<sup>+</sup>) gate (Figures 3-5A & 3-5B). Treatment with SR-1 significantly shifted hESC-derived hematopoietic cells away from NKPs (16.13±0.58% vs. 32.0±2.98%, p<0.01) and toward cNK cells (37.0±2.92% vs. 16.5±1.77%, p<0.001) compared to DMSO, with a significant reduction in the CD94<sup>-</sup>CD117<sup>+</sup> population (Figure 3-5D). Treatment with TCDD also significantly shifted hESC-derived hematopoietic cells away from an NKPs, but conversely led to reciprocal increase in CD94<sup>-</sup>CD117<sup>+</sup> cells (28.5±4.42% vs. 13.1±1.34%, p<0.01). When CD94<sup>-</sup>CD117<sup>+</sup> cells were subgated to distinguish the presence of ILC3s, a significantly larger percentage TCDD treated hESC-derived hematopoietic cells were absent for LFA1, as compared to DMSO treated controls (69.4±4.57% vs. 48.8±4.81%, p<0.05) (Figure 3-5E). We have previously shown that LFA1 expression is a unique and distinguishing marker between ILC3 (LFA1<sup>-</sup>) and cNK (LFA1<sup>+</sup>)<sup>106</sup>. We next sorted populations of cNK, NKP, and ILC3 and performed qRT-PCR to assess for both NK and ILC3 specific gene expression (Supplemental Figure 3-5A). As expected, hESC-derived phenotypic ILC3 cells had a classical ILC3 gene signature, specifically *RORc*, *IL1-R1*, and *IL-22*. Additionally, ILC3 sorted populations were virtually deficient for *GATA3*, a critical transcriptional regulator of Group 2 ILCs<sup>223</sup>, and were decreased for *TBX21/TBET*

expression (Supplemental Figure 3-5B). These data further support that AHR inhibition promotes the differentiation of NK progenitor cells into mature cNK cells. Furthermore, for the first time, we demonstrate AHR hyperactivation promotes development of an ILC3 phenotype from hESCs.

## DISCUSSION

Human pluripotent stem cells provide an important starting point to better define key molecular and genetic drivers of human hemato-endothelial development. Here, we established that AHR antagonism using the chemical inhibitor SR-1, as well as *AHR* gene deletion using the CRISPR/Cas9 system, enhances human EHT and hematopoietic progenitor cell development. In corresponding fashion, AHR hyperactivation using TCDD suppresses development of hematopoietic progenitor cells with multilineage potential and accelerates their differentiation into more matured hematopoietic lineages (Figure 3-6).

To our knowledge, no other study has reported on the ability of AHR inhibition to promote early human hemato-endothelial differentiation. Gori *et al.* assessed the effect of AHR inhibition using short-term SR-1 treatment in a non-human primate iPSC model of hematopoiesis<sup>224</sup>. While these studies showed an increase in phenotypic CD34<sup>+</sup>CD45<sup>+</sup> cells, there were no differences in the kinetics or quantity of CD34<sup>+</sup> or CD34<sup>+</sup>CD31<sup>+</sup> cells. SR-1 treated non-human primate iPSCs also did not enhance the total number of CFUs, unlike our findings using hESCs. These differences may be due to differences in culture conditions and/or possible species-specific differences. Indeed, AHR ligand selectivity, and AHR interaction with co-activator motifs all substantially differ between non-human

and primary human cells<sup>225–227</sup>. Our finding that AHR modulation can alter hematopoietic progenitor cell cycling are further corroborated by studies demonstrating AHR hyperactivation using FICZ in hiPSCs leads to increased EdU incorporation, which is similar to our result using TCDD<sup>130</sup>. Our model provides complementary data in which hESC-derived hematopoietic progenitor cells treated with SR-1 are reciprocally enriched in a G<sub>0</sub>/G<sub>1</sub> state, presumably to support hematopoietic differentiation and progenitor cell maturation<sup>228–230</sup>.

Interestingly, we also determined *AHR* gene deletion enhances development of early hematopoietic progenitor cells that are committing towards definitive hematopoietic lineages. We, and others, have reported the *RUNX1c* isoform is correlated with emerging definitive HSPCs from aorta-gonad-mesonephros region endothelial cells<sup>40,77</sup>. Using our previously developed *RUNX1c*-tdTomato reporter system to model EHT, we found hESCs harboring *AHR* gene deletion enhanced the differentiation of *RUNX1c*<sup>+</sup> hematopoietic cells. We also observed an induction of a multilineage transcriptional program, including typical genes expressed during definitive hematopoiesis. One hypothesis for this effect is that AHR may function as a modulator of  $\beta$ -catenin/Wnt signaling. Exogenous activation of Wnt through GSK-3 inhibition has been recently shown to support a definitive hematopoietic phenotype from human pluripotent stem cells<sup>79</sup>. Interestingly, AHR and Wnt signaling have known associations both in normal embryological and disease pathogenesis.  $\beta$ -catenin gene expression (*CTNNB1*) is known to be overexpressed in *Ahr*<sup>-/-</sup> mice, causing the development of intestinal tumors<sup>134,231</sup>. Another study also demonstrated *Ahr*<sup>-/-</sup> mice had increased expression of genes regulated by  $\beta$ -catenin/Wnt signaling, specifically

within hematopoietic stem cells<sup>232</sup>. These *Ahr*<sup>-/-</sup> mice demonstrated splenomegaly, anemia, leukocytosis, and HSC accumulation outside the bone marrow. Together, these studies all implicate AHR as a potent regulator of definitive hematopoiesis.

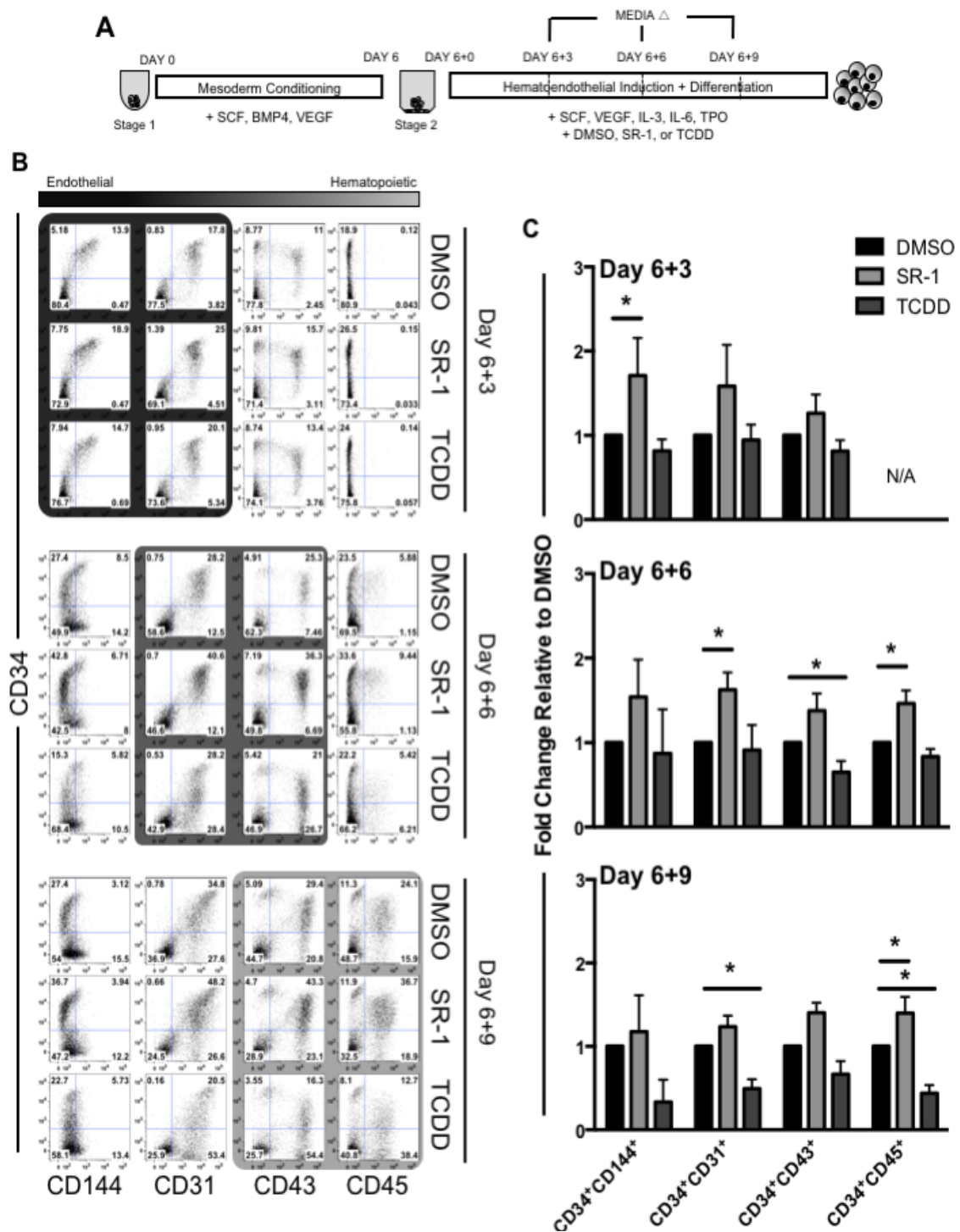
In addition to increased development of hemato-endothelial cells, we also found differentiation of lymphoid cells (NK cells) was increased by treatment of hESCs with SR-1. These findings are complementary to prior studies that observed SR-1 treatment not only enhanced several transcription factors that are indispensable for NK cell differentiation, such as *ID2*, *GATA3*, and *EOMES*, but also promoted an increased absolute number of NK cells derived from mobilized peripheral blood CD34<sup>+</sup> hematopoietic progenitor cells<sup>233</sup>. Our hESC-derived NK cells in the presence of SR-1 were functional, in that they comparably degranulated (CD107a) relative to controls when stimulated with K562 targets. We further emphasize the role of AHR in hemato-lymphoid development by demonstrating AHR antagonism accelerates differentiation of NK progenitor cells into cNK phenotypes. This study illustrates that SR-1 can be added into currently defined differentiation protocols to enhance the efficiency and homogeneity of hESC-derived NK cells suitable to human clinical trials.

Finally, to our knowledge, this is the first report demonstrating that ILC3s can be derived from human pluripotent stem cells. hESC-derived ILC3, like those located in secondary lymphoid tissue and peripheral blood, require AHR to drive their development<sup>106,119,234</sup>. Several studies have highlighted the critical immunomodulatory role ILC3 play in the gut mucosa, specifically in the production of IL-22 that is required for intestinal

homeostasis<sup>235</sup>. It remains unclear whether they can be harnessed for clinical application, such as the attenuation of acute graft-vs-host disease post-hematopoietic stem cell transplantation<sup>236</sup>. hESCs, particularly in conjunction with a CRISPR/Cas9 gene editing system as we present, can be used as a powerful platform to better understand the development of a range of human ILCs, as well as to better analyze their effector phenotypes and therapeutic potential.

## **ACKNOWLEDGEMENTS**

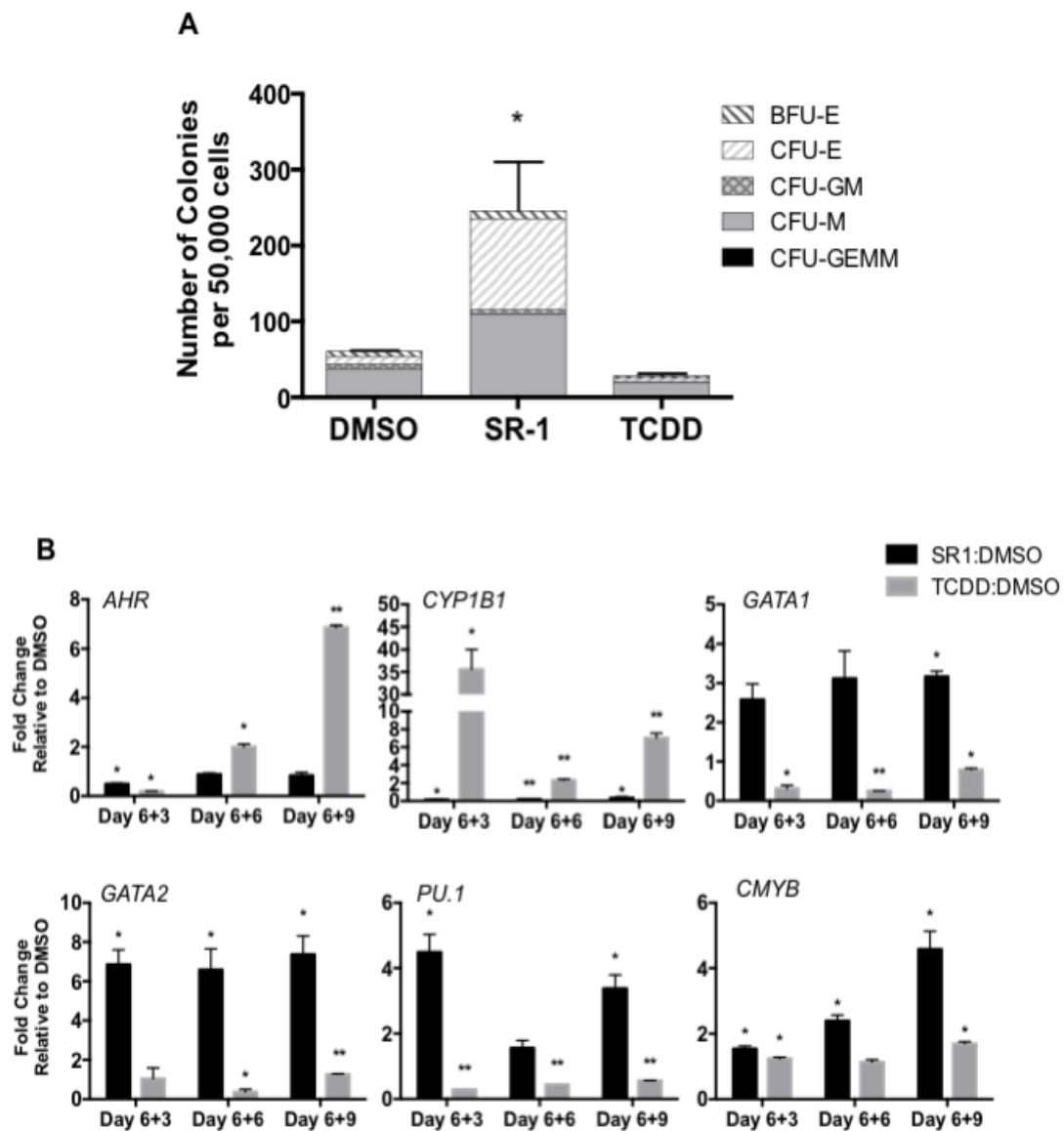
We thank Anna Kim (University of Minnesota LLSURP summer student) for technical assistance and Dejene Tufa for ILC antibody panel. This work was supported in part by the following National Institutes of Health grants: National Cancer Institute R01CA203348 (D.S.K.), National Institute of Diabetes and Digestive and Kidney Diseases F30DK107071 (M.G.A), National Institute of Allergy and Immunology R01AI100879 (M.R.V), National Institute of General Medicine Sciences T32GM113846 (M.G.A and D.S.K.) and T32GM008244 (M.G.A). Other support from the Regenerative Medicine Minnesota program (D.S.K.)



**Figure 3-1. Small molecule antagonism of AHR enhances early hemato-endothelial development from hESCs. A)** Schema of hESC differentiation into early hemato-

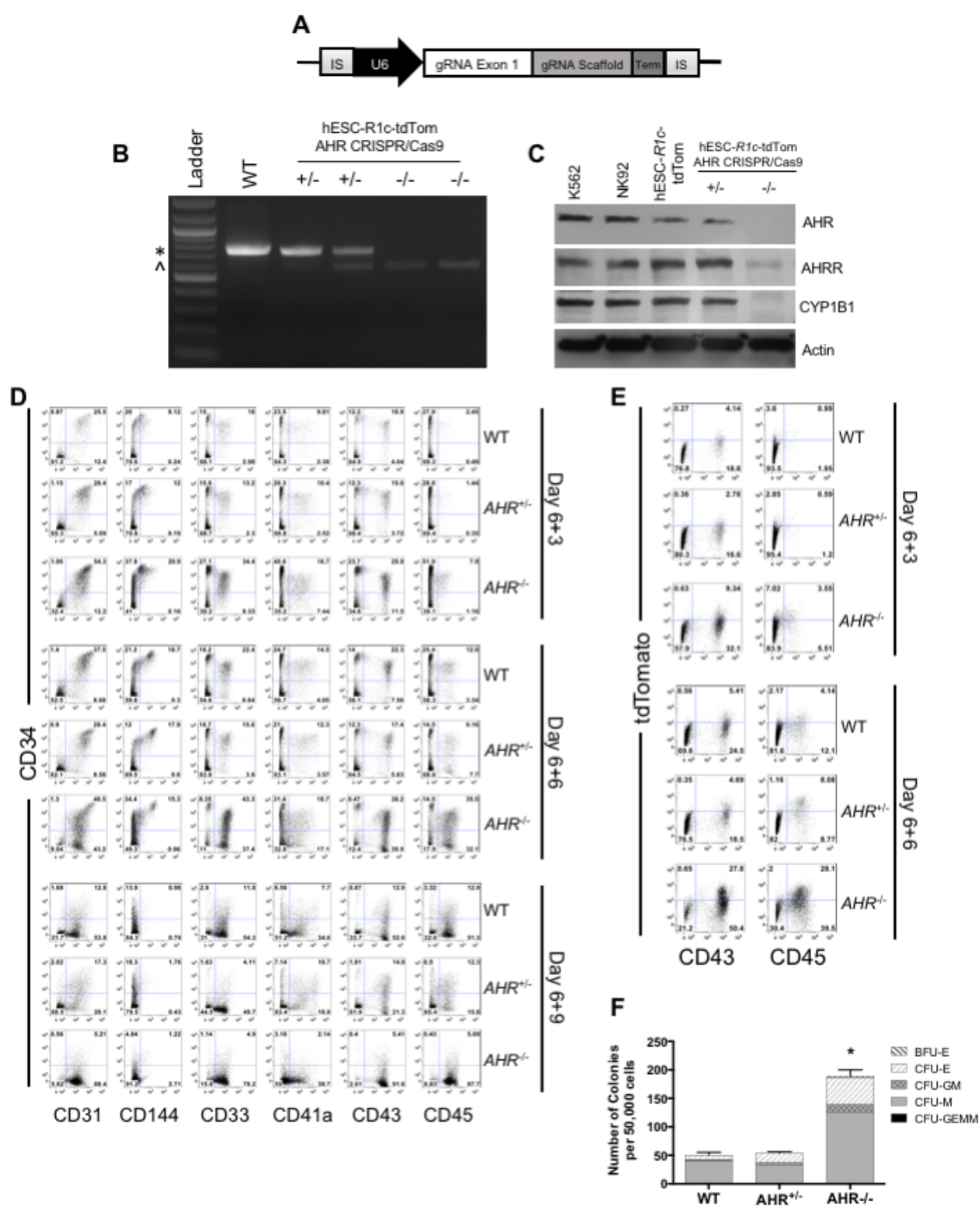


endothelial cells as spin-embryoid bodies (spin-EBs). hESCs are made into spin-EBs at Day 0 and conditioned into mesoderm lineages for 6 days using defined cytokines (Stage 1). At Day 6, spin-EBs are transferred into hemato-endothelial culture media (Stage 2) to promote endothelial and hematopoietic cell differentiation. For these studies, cells are treated with either 1  $\mu$ M SR-1, 10nM TCDD, or DMSO vehicle control beginning at Day 6+0 with media exchanges and/or harvesting performed at Day 6+3, Day 6+6, and Day 6+9. **B)** Representative flow cytometry plots of one hESCs differentiation. Both adherent and non-adherent cell fractions are harvested at Day 6+3, Day 6+6, and Day 6+9 and assessed for endothelial cell (CD34<sup>+</sup>CD31<sup>+</sup>, CD34<sup>+</sup>CD144<sup>+</sup>) and hematopoietic progenitor cell (CD34<sup>+</sup>CD43<sup>+</sup>, CD34<sup>+</sup>CD45<sup>+</sup>) phenotype. **C)** Fold change of the total percentage of each hemato-endothelial phenotype for SR-1 and TCDD treated hESCs normalized to matched DMSO treated controls. n=4-6, error bars represent SEM, \*p<0.05 as compared to DMSO treated controls by student's t-test. N/A: Not applicable due to absence appreciable of CD34<sup>+</sup>CD45<sup>+</sup> populations at Day 6+3 time point.



**Figure 3-2. SR-1 treated hESCs demonstrate increased multilineage hematopoietic development.** A) Non-adherent hematopoietic progenitor cells derived from hESCs differentiated in the presence of SR-1, TCDD, or DMSO controls were harvested at Day 6+5 and seeded at 50,000 cells/dish in a standard methylcellulose colony-forming unit assay (CFU). Colonies were counted for each treatment group following 2 weeks of culture and scored for the following morphological subsets: BFU-E: burst-forming unit-erythroid;

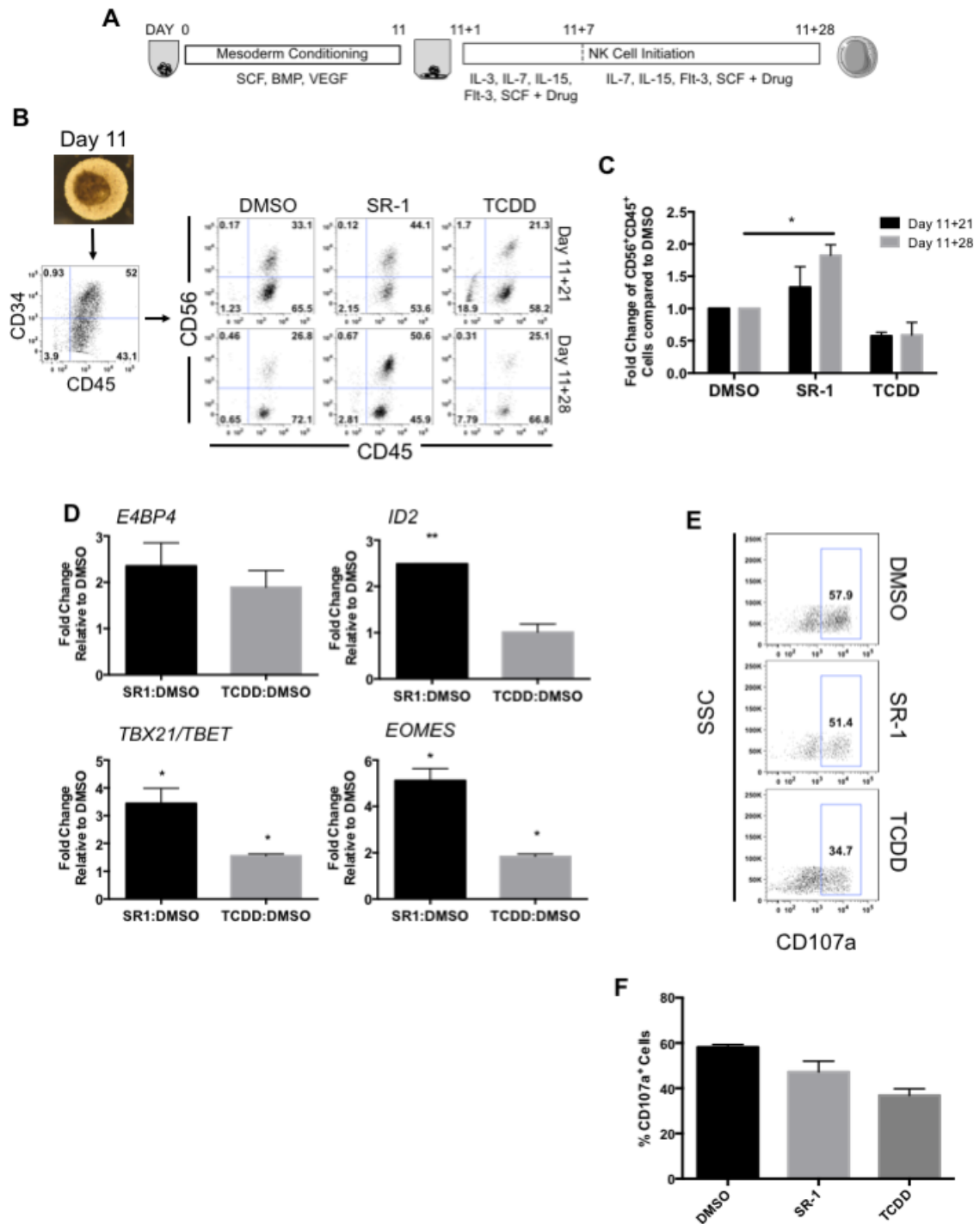
CFU-E: colony-forming unit-erythroid; CFU-GM: colony-forming unit-granulocyte, macrophage, CFU-M: colony-forming unit-macrophage; CFU-GEMM: colony-forming unit-granulocyte, erythroid, macrophage, megakaryocyte. n=3, error bars represent SEM of the total number of colonies/50,000 cells seeded, \*p<0.05 as assessed with one-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test. **B)** Non-adherent hematopoietic progenitor cells derived from hESCs differentiated in the presence of SR-1, TCDD, or DMSO controls were harvested at Day 6+3, Day 6+6, and Day 6+9 time points and probed for gene expression by quantitative real-time PCR (qRT-PCR). For each gene, C<sub>t</sub> values were normalized to *GAPDH* at each time point and data is presented as relative fold-change to DMSO treated controls. n=3, error bars represent SEM, \*p<0.05, \*\*p<0.01 using student's t-test.



**Figure 3-3. CRISPR/Cas9 engineered hESCs with *AHR* deletion demonstrate increased early hemato-endothelial cell development.** A) gRNA cassette design

targeting *AHR*. IS: insertion sequence; U6: Promoter, gRNA Exon 1: 22-nt gRNA specific to *AHR* exon 1, Term: termination sequence. **B)** Gel electrophoresis of PCR products produced from clonally-derived hESC-*RUNX1c*-tdTomato cells nucleofected with *AHR* gRNA cassette. Genomic DNA was harvested and primers flanking the *AHR* exon 1 locus were used to generate a PCR product with predicted full-length of 718 bp. WT: Negatively selected nucleofected hESC-*RUNX1c*-tdTomato hESCs; +/-: Individual clones with *AHR* heterozygous deletion (*AHR*<sup>+/-</sup>); -/-: Individual clones with *AHR* homozygous deletion (*AHR*<sup>-/-</sup>); \*: 718bp amplicon, ^: 571bp amplicon. **C)** Immunoblot of protein lysate harvested from K562 cells (positive control), NK92 natural killer cells (positive control), wild-type hESC-*RUNX1c*-tdTomato (hESC-*R1c*-tdTom), heterozygous *AHR* deleted hESC-*RUNX1c*-tdTomato (+/-), and homozygous *AHR* deleted hESC-*RUNX1c*-tdTomato (-/-). AHRR: aryl hydrocarbon receptor repressor. **D)** Representative flow cytometry plots at Day 6+3, Day 6+6, and Day 6+9 from one differentiation of wild-type hESC-*RUNX1c*-tdTomato (WT), heterozygous *AHR* hESC-*RUNX1c*-tdTomato deletion (*AHR*<sup>+/-</sup>), and homozygous *AHR* hESC-*RUNX1c*-tdTomato deletion (*AHR*<sup>-/-</sup>). Both adherent and non-adherent cell fractions are harvested at Day 6+3, Day 6+6, and Day 6+9 and assessed for endothelial (CD31, CD144), and hematopoietic (CD33, CD41a, CD43, CD45) phenotype. **E)** Representative flow cytometry plots at Day 6+3 and Day 6+6 from one differentiation assessing for *RUNX1c* expression based on tdTomato fluorescent reporter protein. **F)** Non-adherent hematopoietic progenitor cells derived from WT hESC-*RUNX1c*-tdTomato, *AHR*<sup>+/-</sup> hESC-*RUNX1c*-tdTomato, or *AHR*<sup>-/-</sup> hESC-*RUNX1c*-tdTomato were harvested at Day 6+5 and seeded at 50,000 cells/dish in a standard methylcellulose colony-forming unit

assay (CFU). Colonies were counted for each treatment group following 2 weeks of culture and scored for the following morphological subsets, as previously described.  $n=3$ , error bars represent SEM of the total number of colonies/50,000 cells seeded,  $*p<0.05$  as assessed with one-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test.

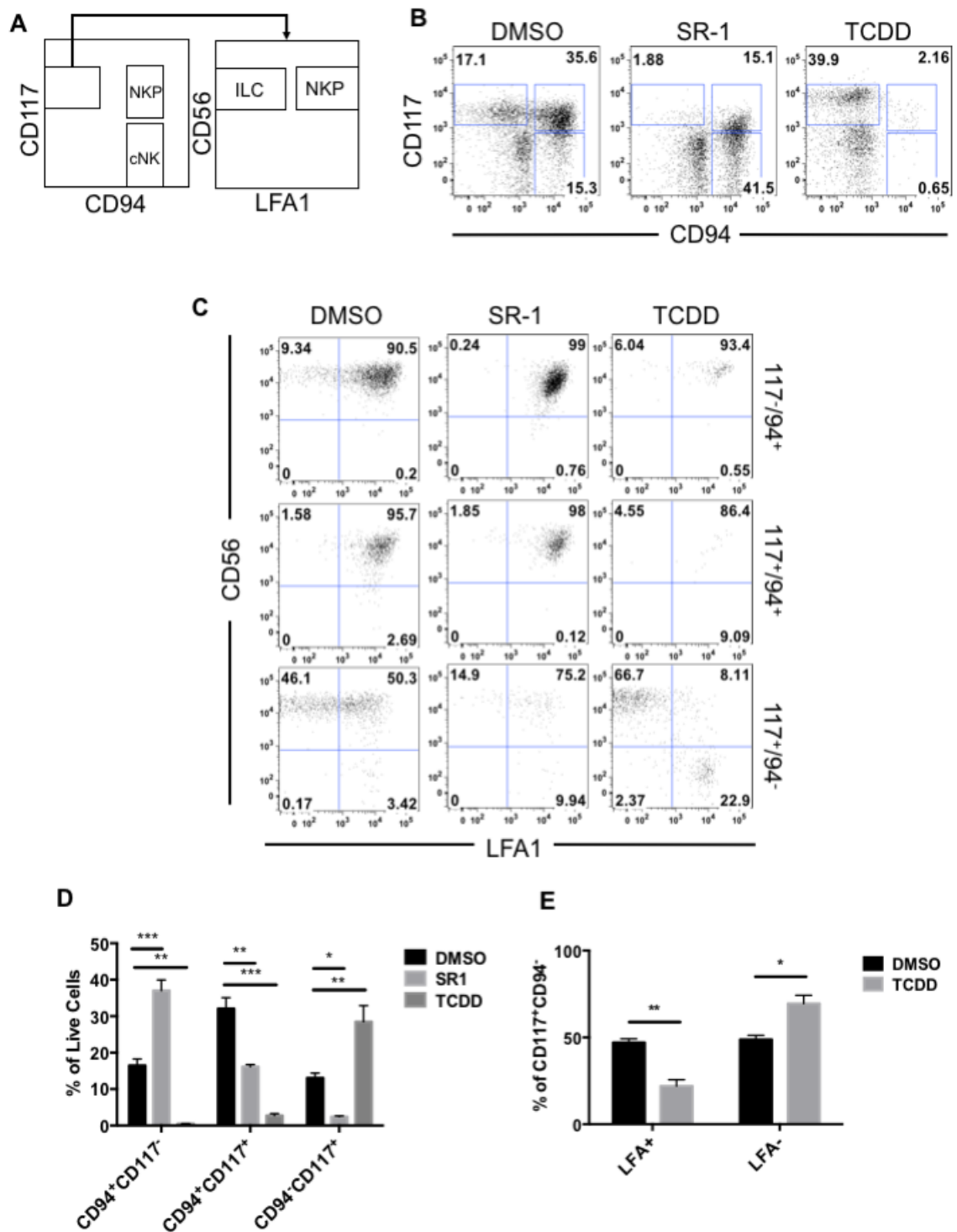


**Figure 3-4. hESCs differentiated in the presence of SR-1 promotes the development of functional natural killer (NK) cells.** A) Schema of hESC differentiation into lymphoid

cells as spin-embryoid bodies (spin-EBs). hESCs are made into spin-EBs at Day 0 and cultured in Stage 1 conditions with defined cytokines to promote mesoderm development for 11 days. At Day 11, spin-EBs are transferred onto OP9-DL1 in the presence of NK cell differentiation media (NKDM) to promote lymphoid differentiation. Cells are treated beginning at Day 11+0 with either 1  $\mu$ M SR-1, 10nM TCDD, or DMSO vehicle control with media exchanges and/or harvesting performed every week for up to 4 weeks. **B)** At Day 11, differentiated spin-EBs (photo) are phenotyped for CD34<sup>+</sup>CD45<sup>+</sup> expression and transferred to OP9-DL1 stroma in NKDM. Non-adherent hematopoietic cells cultured either in the presence of DMSO, SR-1, or TCDD were assessed for developing NK cell immunophenotype based on CD56<sup>+</sup>CD45<sup>+</sup> expression at Days 11+21, and 11+28; representative flow cytometry plots from one differentiation are shown. **C)** Quantification of fold-change in total percentage of CD56<sup>+</sup>CD45<sup>+</sup> cells at both Day 11+21 and Day 11+28 when treated with DMSO, SR-1, or TCDD. SR-1 and TCDD treatments for each differentiation are normalized to DMSO controls. n=3 independent differentiation experiments, error bars represent SEM, \*p<0.05 as assessed with two-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test. **D)** Non-adherent hematopoietic progenitor cells derived from hESCs differentiated in the presence of SR-1, TCDD, or DMSO controls were harvested at Day 11+28 and probed for gene expression by quantitative real-time PCR (qRT-PCR). For each gene, C<sub>t</sub> values were normalized to *GAPDH* at each time point and data is presented as relative fold-change to DMSO treated controls. n=3, error bars represent SEM, \*p<0.05, #p<0.01 using student's t-test. **E)** Non-adherent hematopoietic progenitor cells derived from hESCs differentiated in the presence

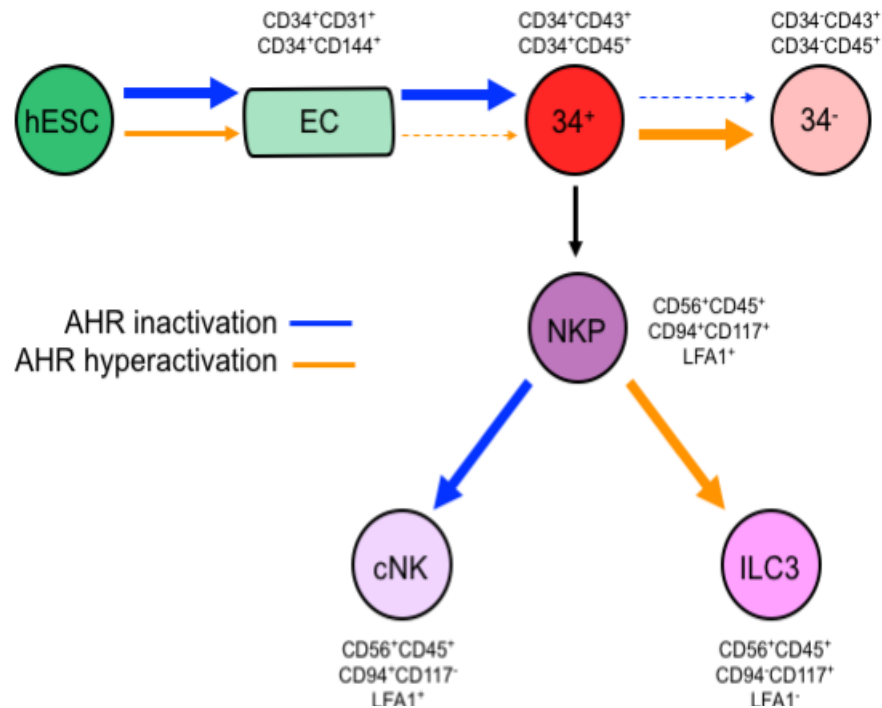


of SR-1, TCDD, or DMSO controls were harvested at Day 11+28 and assessed for CD107a expression following 4 hours of co-culture with K562 target cells at 2:1 effector:target ratio. SSC: side scatter. Representative flow cytometry plots are shown from one experiment. **F)** Quantification of percentage of CD107a<sup>+</sup> cells when treated with DMSO, SR-1, or TCDD at Day 11+28, n=2-3 replicates.

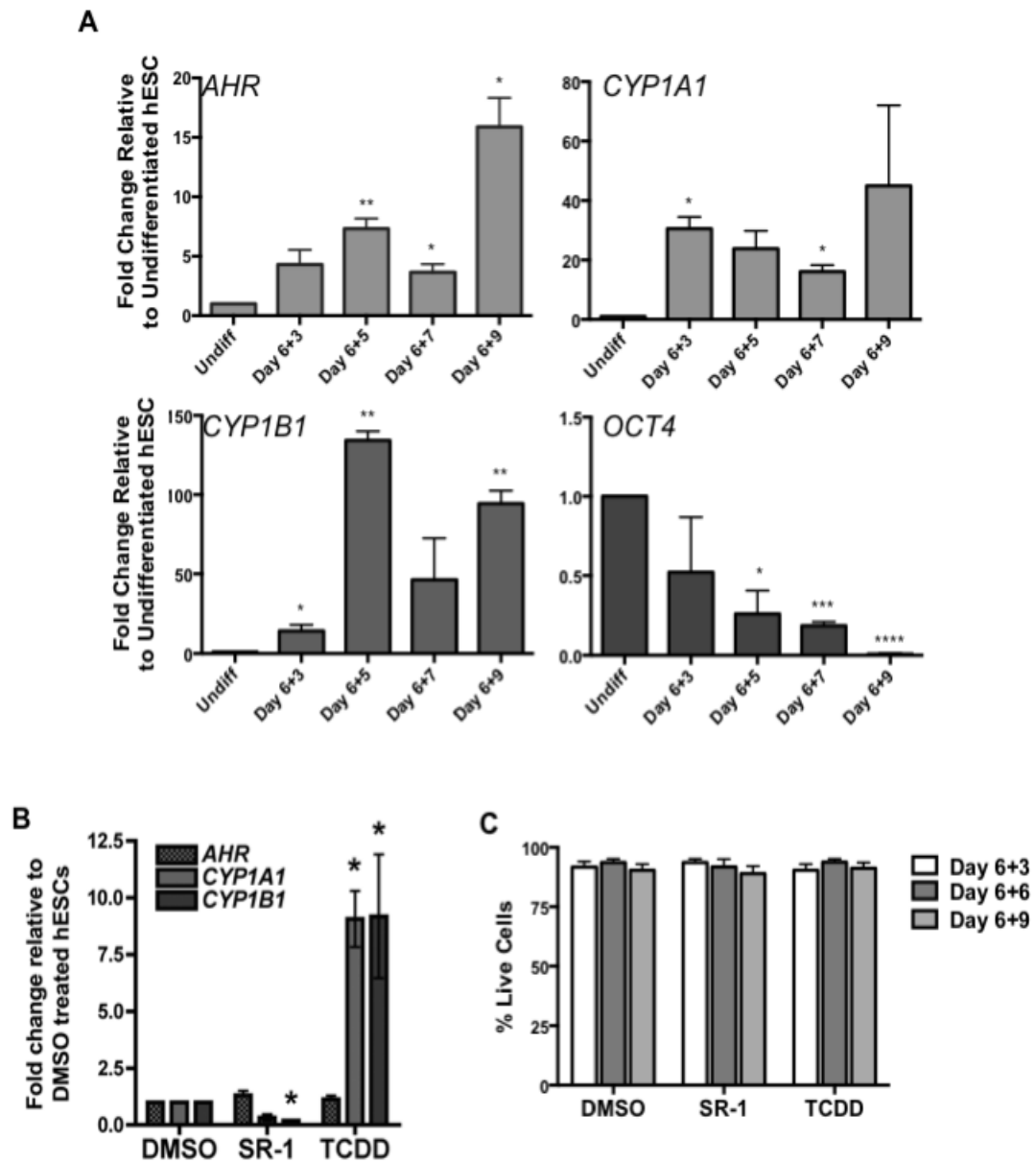


**Figure 3-5. hESCs differentiated in the presence of SR-1 skews development towards conventional NK cells (cNK) while TCDD supports the development of an innate**

**lymphoid cell (ILC) phenotype. A)** Gating scheme for identifying cNK ( $CD94^+CD117^+$ ), NK progenitor cells (NKP,  $CD94^+CD117^-$  and  $CD94^+CD117^-LFA1^+$ ), and ILC ( $CD94^+CD117^+LFA1^-$ ) phenotypes. **B)** Representative flow cytometry profile of non-adherent hematopoietic cells differentiated from hESCs in the presence of DMSO, SR-1, or TCDD at Day 11+28. **C)** cNK, NKP, and NKP/ILC subpopulations from Day 11+28 DMSO, SR-1, and TCDD differentiated hESCs assessed for CD56 and LFA (CD11a/CD18) surface antigen expression. Representative flow cytometry plots are shown,  $n=3$ . **D)** Total percentage of cNK, NKP, and NKP/ILCs present in the non-adherent fraction of differentiating hESCs in the presence of DMSO, SR-1, or TCDD at Day 11+28.  $n=3$ , error bars represent SEM,  $*p<0.05$ ,  $**p<0.01$ ,  $***p<0.001$  as compared to DMSO treated controls and assessed by two-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test. **E)**  $CD94^+CD117^+$  subpopulations were further quantified for expression of  $LFA^+$  (NKP) and  $LFA^-$  (ILC) by flow cytometry.  $n=3$ , error bars represent SEM,  $*p<0.05$ ,  $**p<0.01$  as compared to DMSO treated controls and assessed by two-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test.

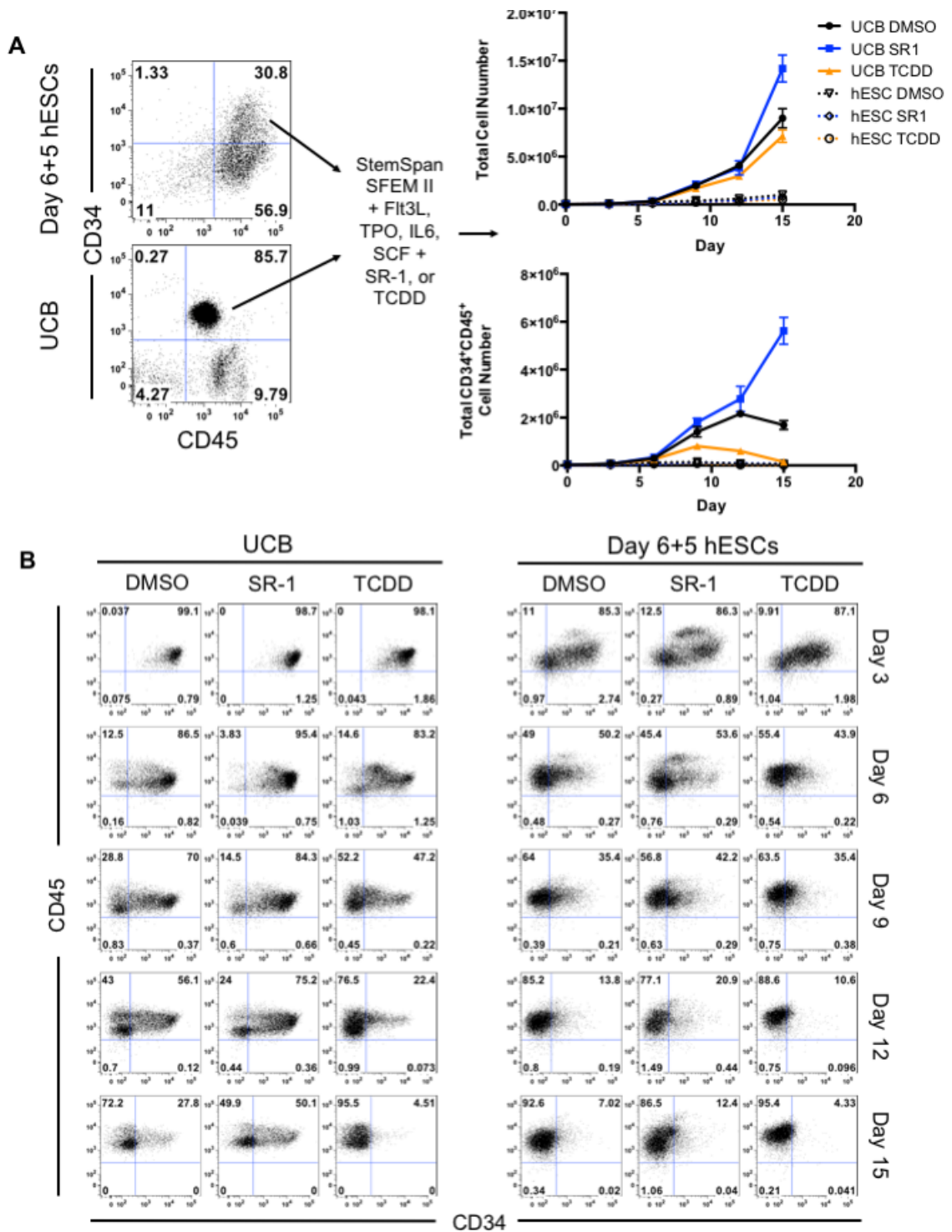


**Figure 3-6. Model of AHR activity in human developmental hematopoiesis.** AHR inhibition mediated by SR-1 (blue arrow) enhances the differentiation of both endothelial cells (ECs) and CD34<sup>+</sup> hematopoietic progenitor cells. AHR hyperactivation mediated by TCDD (orange arrow) reciprocally acts to attenuate both EC and CD34<sup>+</sup> hematopoietic progenitor cells. Once CD34<sup>+</sup> have been differentiated, AHR inhibition deters further differentiation into CD34<sup>-</sup> terminally matured hematopoietic cells, while AHR hyperactivation supports this process. Upon production of natural killer progenitor (NKP) cells, AHR inhibition promotes conventional NK cell differentiation (cNK), while AHR hyperactivation promotes Group 3 ILC (ILC3) differentiation.



**Supplemental Figure 3-1. AHR is implicated in normal human hematopoiesis and can be targeted by small molecules in hESCs.** A) Non-adherent hematopoietic progenitor cells derived from hESCs were harvested at Day 6+3, Day 6+5, Day 6+7, and Day 6+9 time points and probed for gene expression by quantitative real-time PCR (qPCR). For each gene,  $C_t$  values were normalized to *GAPDH* at each time point and data is presented

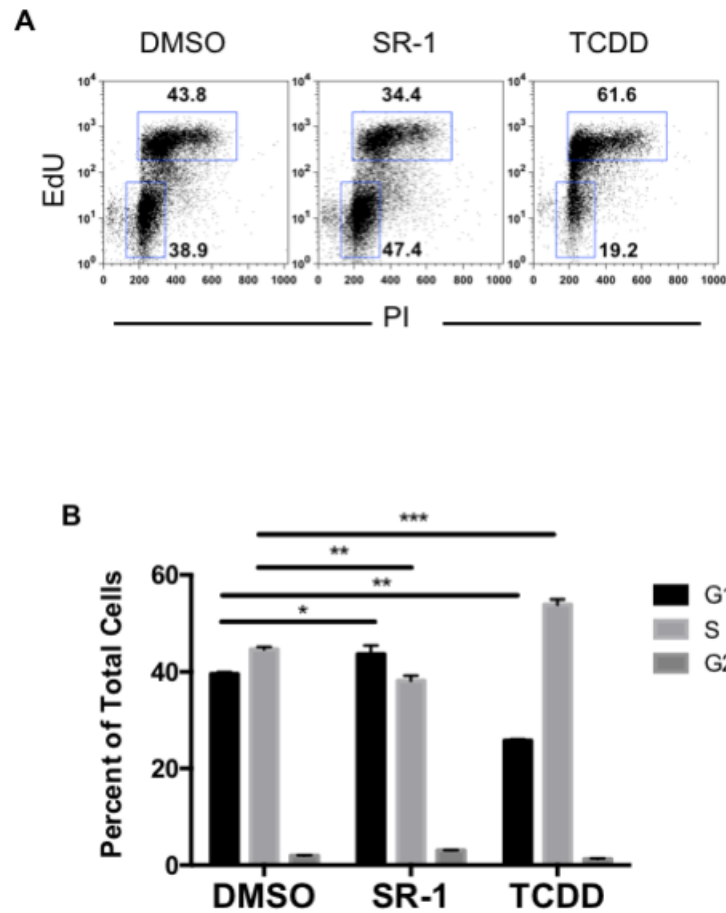
as relative fold-change as compared to undifferentiated hESCs. Differentiation was confirmed by a significant reduction of *OCT4*, a marker of pluripotency. n=3, error bars represent SEM, \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001 using student's t-test. **B)** Undifferentiated hESCs were cultured in the presence of 1  $\mu$ M SR-1, 10nM TCDD, or DMSO vehicle control for 96 hours and probed for gene expression by qPCR. For each gene,  $C_t$  values were normalized to *GAPDH* at each time point and data is presented as relative fold-change as compared to DMSO treated hESCs. n=3, error bars represent SEM, \*p<0.05 assessed by two-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test. **C)** Both adherent and non-adherent cell fractions treated with either DMSO, SR-1, or TCDD were harvested at Day 6+3, Day 6+6, and Day 6+9 and probed for viability with Sytox Blue Live/Dead Stain. Total percentage of viable cells for each group and time point are plotted. n=3.



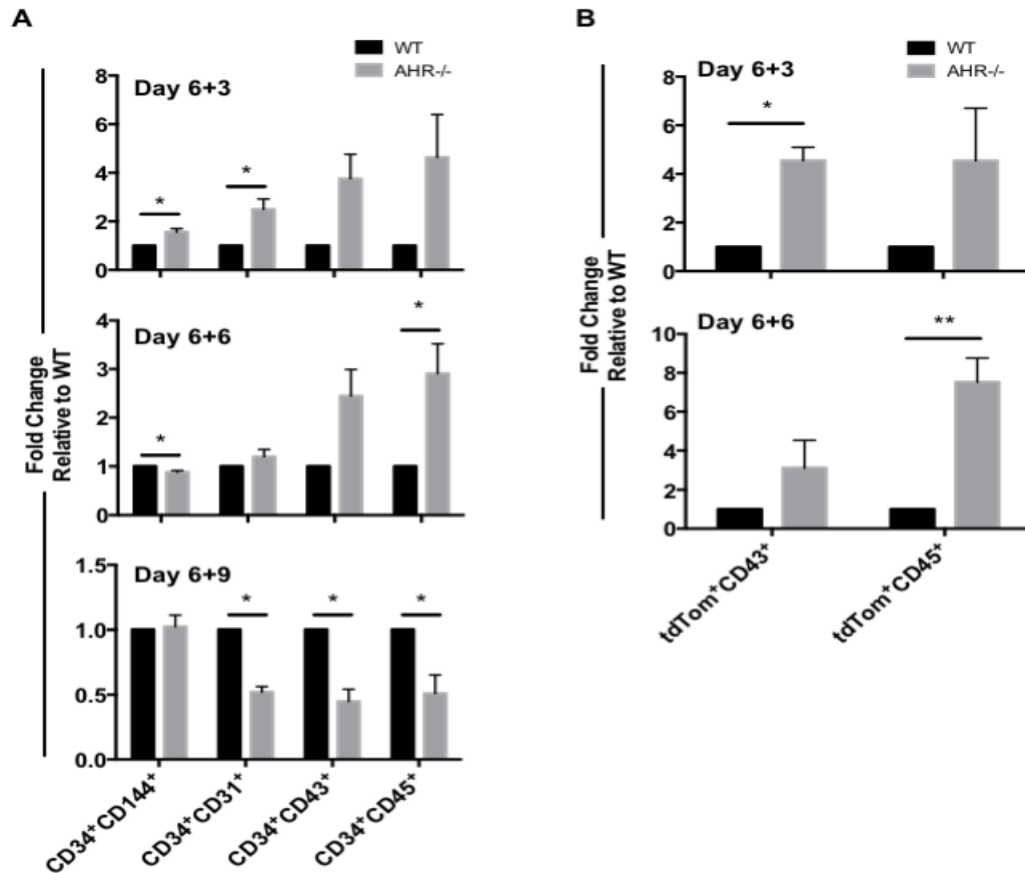
Supplemental Figure 3-2. AHR antagonism in early human hematopoietic progenitors derived from hESCs does not cause cell expansion. A) Left: Human

umbilical cord blood (UCB) and non-adherent Day 6+5 hematopoietic cells derived from hESCs were sorted for CD34<sup>+</sup>CD45<sup>+</sup> and further cultured in StemSpan SFEM II expansion media for 15 additional days either in the presence of DMSO, SR-1, or TCDD. Every 3 days, both the total cell number (Top right) and the total CD34<sup>+</sup>CD45<sup>+</sup> cell number (bottom right) as assessed by flow cytometry was determined for each group. n=3 independent experiments. **B)** Representative flow cytometry plots throughout the time course assessing for total percentage of CD34<sup>+</sup>CD45<sup>+</sup> phenotypes.

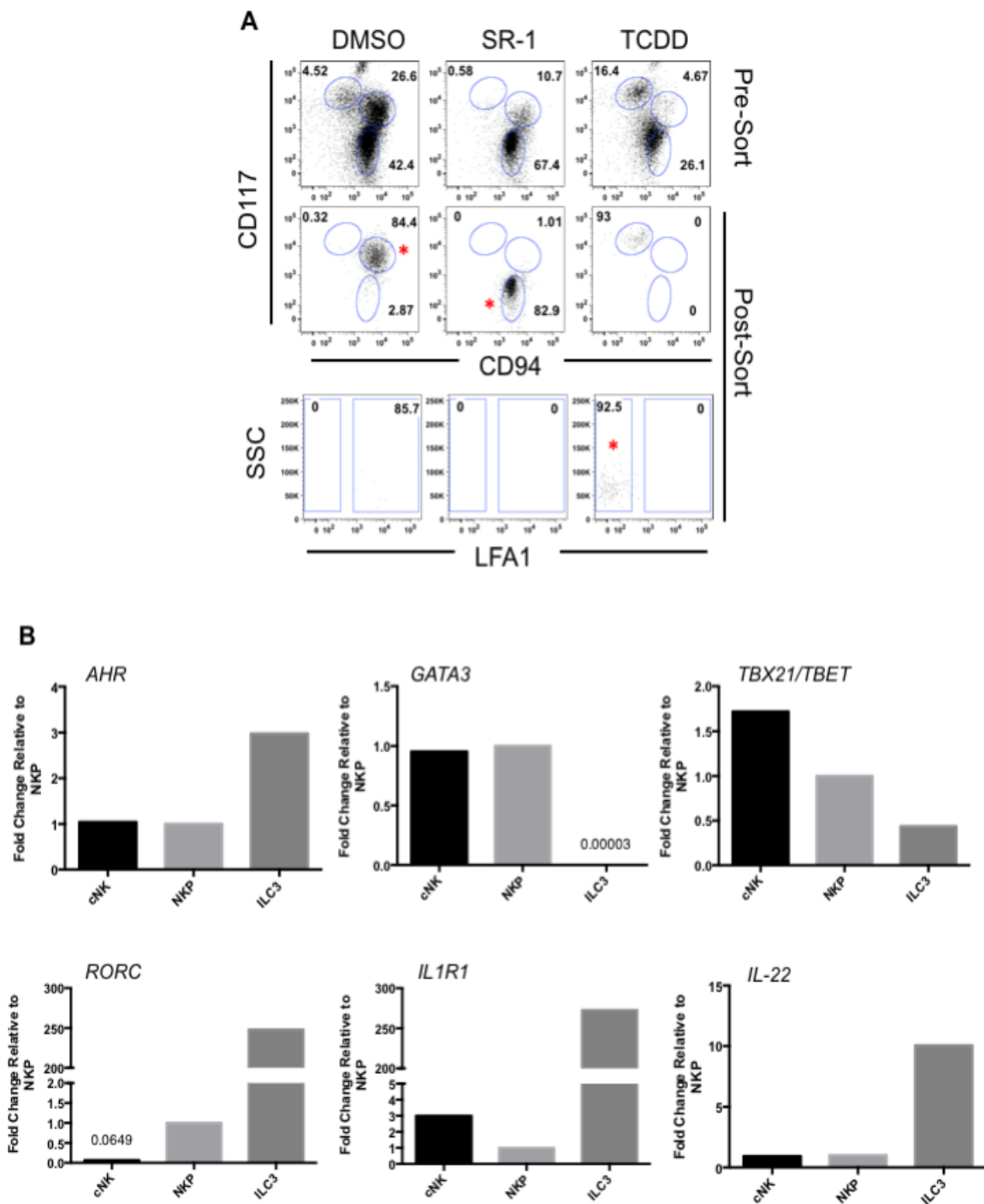




**Supplemental Figure 3-3. AHR regulates cell cycle progression during hematopoietic cell development from hESCs.** **A)** Non-adherent hematopoietic progenitor cells derived from hESCs were cultured in the presence of DMSO, SR-1, and TCDD until Day 6+5. Cell cycle phase was assessed using flow cytometry to determine the total percentage of G<sub>0</sub>/G<sub>1</sub> (EdU<sup>-</sup>PI<sup>lo</sup>), S-phase (EdU<sup>+</sup>PI<sup>+</sup>), or G<sub>2</sub>/M (EdU<sup>+</sup>PI<sup>hi</sup>). Representative flow cytometry plots are shown. **B)** Quantification of cell cycle analysis, n=3, \*p<0.05, \*\*p<0.01, \*\*\*p<0.001 as assessed by two-way ANOVA + Tukey-Kramer multiple comparisons post-hoc test.



**Supplemental Figure 3-4. CRISPR/Cas9 engineered hESCs with *AHR* deletion demonstrate increased early hemato-endothelial cell development.** A) Quantification from flow cytometry profiles at Day 6+3, Day 6+6, and Day 6+9 of CD34<sup>+</sup>CD144<sup>+</sup> and CD34<sup>+</sup>CD31<sup>+</sup> endothelial cells and CD34<sup>+</sup>CD43<sup>+</sup> and CD34<sup>+</sup>CD45<sup>+</sup> early hematopoietic progenitor cells differentiated from wild-type hESC-*RUNX1c*-tdTomato (WT) or *AHR*<sup>-/-</sup> hESC-*RUNX1c*-tdTomato (*AHR*<sup>-/-</sup>). Total percentage of each cell population was normalized to WT and plotted as fold-change relative to WT. n=3 representative differentiations, \*p<0.05 using student's t-test. B) Quantification from flow cytometry profiles at Day 6+3 and Day 6+6 of tdTom<sup>+</sup>CD43<sup>+</sup> and tdTom<sup>+</sup>CD45<sup>+</sup> cells derived from WT or *AHR*<sup>-/-</sup> hESCs. n=3 representative differentiations, \*p<0.05 using student's t-test.



**Supplemental Figure 3-5. AHR hyperactivation in hESC-derived hematopoietic cells increase Group 3 ILC development.** A) Day 11+28 hESC-derived non-adherent

hematopoietic cells were treated with SR-1 to enrich for CD94<sup>+</sup>CD117<sup>-</sup> (cNK), TCDD to enrich for CD94<sup>-</sup>CD117<sup>+</sup>LFA1<sup>-</sup> (ILC), and DMSO to enrich for CD94<sup>+</sup>CD117<sup>+</sup> (NKP). Each phenotype was FACS sorted and RNA was harvested to assess for gene expression.

\*: Sorted population. **B)** qRT-PCR analysis of genes associated with cNK and ILC3 development. Data are represented as fold-change in gene expression relative to NKP sorted cells. n=2, means of duplicate experiments are shown.

**Supplemental Table 3-1. Oligonucleotide primers used for qRT-PCR.**

Gene	Primer Sequence	Amplicon Size (bp)	T <sub>m</sub> (°C)
<i>AHR</i>	F: 5'-CTTAGGCTCAGCGTCAGTTAC-3' R: 5'-CGTTTCTTTCAGTAGGGGAGGAT-3'	79	60 61
<i>CYP1A1</i>	F: 5'-TCGGCCACGGAGTTTCTTC-3' R: 5'-GGTCAGCATGTGCCAATCA-3'	141	62 63
<i>CYP1B1</i>	F: 5'-AAGTTCTTGAGGCACTGCGAA-3' R: 5'-GGCCGGTACGTTCTCAAAT-3'	142	63 63
<i>OCT4</i>	F: 5'-GCAGCTCGGAAGGCAGAT-3' R: 5'-TGGATTTTAAAAGCGAGAAGACTTG-3'	135	62 62
<i>CMYB</i>	F: 5'-GTCACAAATTGACTGTTACAACACCAT-3' R: 5'-TTCTACTAGATGAGAGGGTGTCTGAGG-3'	212	59 60
<i>GATA1</i>	F: 5'-GGGATCACACTGAGCTTGC-3' R: 5'-ACCCCTGATTCTGGTGTGG-3'	176	64 65
<i>GATA2</i>	F: 5'-GCGTCTCCAGCCTCATCTT-3' R: 5'-GGAAGAGTCCGCTGCTGTAG-3'	226	61 60
<i>PU.1</i>	F: 5'-CACAGCGAGTTCGAGAGCTT-3' R: 5'-GATGGGTACTGGAGGCACAT-3'	194	61 61
<i>E4BP4</i>	F: 5'-GCAGAGCCGATGGAATTAG-3' R: 5'-ATCAGTTTCCGACGTTCTCA-3'	222	56 57
<i>EOMES</i>	F: 5'-TCAGATTGTCCCTGGAGGTC-3' R: 5'-AGTTTGTGGTCCCAGGTTG-3'	207	58 58
<i>ID2</i>	F: 5'-CGGATATCAGCATCCTGTCC-3' R: 5'-TCATGAACACCGCTTATTAG-3'	100	58 56
<i>T-BET</i>	F: 5'-GATGTTTGTGGACGTGGTCTTG-3' R: 5'-CTTCCCACTGCACCCACTT-3'	76	60 61
<i>GATA3</i>	F: 5'-GCCCCTCATTAAGCCCAAG-3' R: 5'-TTGTGGTGGTCTGACAGTTCG-3'	80	58 60
<i>ROR<sub>γt</sub></i>	F: 5'-GCCAAGGCTCAGTCATGAGAA-3' R: 5'-TTGTCCCCACAGATTTTGCA-3'	61	60 58
<i>IL1R1</i>	F: 5'-CCTGCTATGATTTTCTCCCAATAAa-3' R: 5'-AACACAAAAATATCACAGTCAGAGGTAGAC-3'	115	57 62
<i>IL22</i>	F: 5'-GCTTGACAAGTCCAACCTTCCA-3' R: 5'-GCTCACTCATACTGACTCCGTG-3'	140	59 60
<i>GAPDH</i>	F: 5'-CCACTCCTCCACCTTTGAC-3' R: 5'-ACCCTGTTGCTGTAGCCA-3	102	58 58

**CHAPTER 4**  
*Conclusions and Future Directions*

This thesis research has identified novel genetic and molecular mechanisms that influence hemato-endothelial specification from human pluripotent stem cells. In Chapter 2, we established a cell identification scheme to distinguish human hemogenic endothelial cells from vascular endothelial cells that lacked hematopoietic potential. We employed cutting-edge technological tools to perform RNA-sequencing of single cells from hESC-derived hemogenic endothelium, non-hemogenic endothelium, and the earliest definitive hematopoietic progenitor cells to reveal distinct transcriptional signatures between each population. We identified many known transcriptional regulators of hemogenic endothelium (such as *GATA2*, *FLII*, and *SOX17*) that were enriched in hESC-derived hemogenic endothelium and established additional candidates that may be integral to the mechanism of EHT (i.e. *ESAM*, *TIMP3*, *RHOJ*, *DLL4*). We further demonstrated that hESC-derived vascular endothelial cells without hematopoietic potential are heterogeneous and that a small subset can further transform into mesenchymal phenotypes. These studies provide novel data for expanding upon the current processes underlying human EHT and vasculogenesis.

In Chapter 3, we determined AHR is implicated in the earliest events of human hematopoietic development. First, we found AHR inhibition enhanced the number of functional hematopoietic progenitor cells derived from hESCs, while AHR hyperactivation accelerated hematopoietic maturation. *AHR* inhibition and gene deletion enhanced the expression of key EHT genes, suggesting that AHR, in part, may contribute to hemogenic endothelial cell fate. Interestingly, many individual hESC-derived hemogenic endothelial cells expressed *AHR* and *AHR*-related downstream effector targets as compared to vascular

endothelial cells without hemogenic potential (Appendix 1). This supports a potentially novel role of the AHR pathway at the level of EHT. We also discovered that AHR functions as a molecular switch in human hemato-lymphoid specification. Here, we found AHR antagonism supported conventional NK cell (cNK) differentiation while AHR hyperactivation robustly produced phenotypic ILC3s. Taken together, these data provide a framework for improved NK cell differentiation from hESCs and also a platform to better assess the developmental biology and functionality of human ILC subsets.

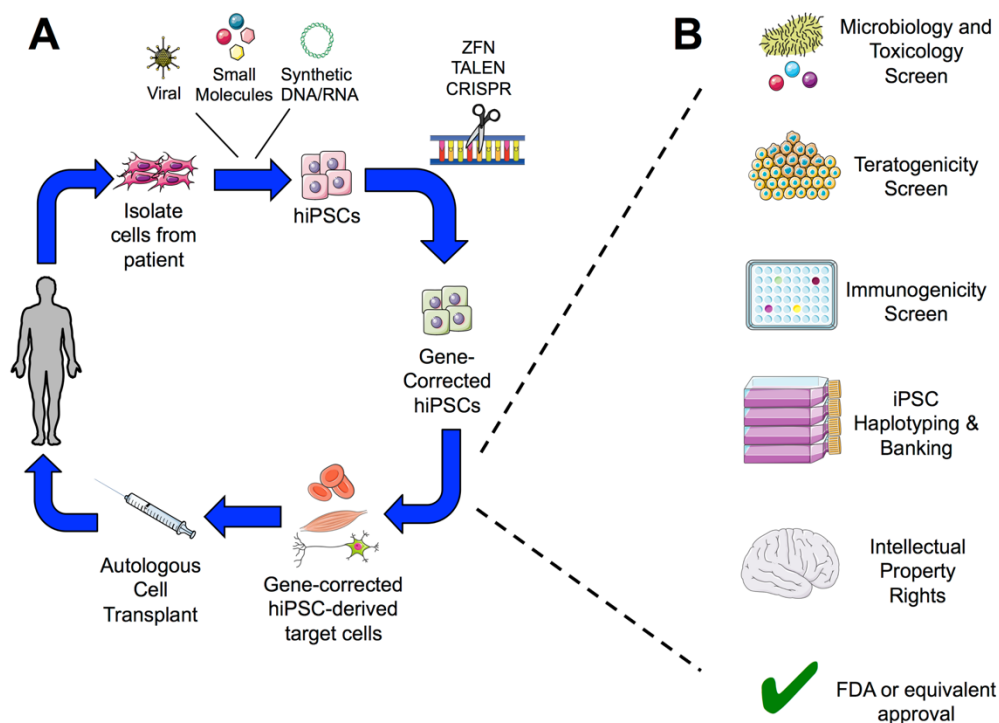
Although here we demonstrate methods to improve EHT and lymphoid lineage commitment using a human system, the challenge of generating *long-term, engraftable* HSPCs from hESC/hiPSCs remains. Previous studies from our lab demonstrate that although we can select and purify hESC-derived *RUNX1c*<sup>+</sup> hematopoietic cells, these cells fail to contain long-term culture initiating cells (LTC-ICs)<sup>77</sup>. These assays are considered by many in the field as an *in vitro* surrogate to *in vivo* transplantation<sup>237,238</sup>. Other groups who have overexpressed important human EHT genes (i.e. *GATA2*, *SOX17*, *HOXA9*) to generate a hemogenic endothelium phenotype have at best shown improved time to engraftment, but fail at secondary transplantation<sup>67,69</sup>. Recently, expression of medial *HOXA* genes was found to be critical in mediating human EHT, however, even with overexpression in hESC-derived HSPCs, still failed to confer long-term engraftment<sup>68,239</sup>. Therefore, intrinsic cell modification alone is probably insufficient to support hESC/hiPSC-derived HSPCs. Instead, complementary differentiation techniques that additionally aim to recapitulate the embryological hematopoietic niche will need to be employed in future studies.



While hematopoietic stem cell transplantation using hESC/hiPSC-derived HSPCs is not currently possible, transient therapies using hiPSC-derived terminal hematopoietic products are quickly approaching clinical feasibility. hiPSC-derived erythroid cells for blood transfusion is an attractive initial option, in theory, because erythroid cells are easily differentiated in the presence of erythropoietin and are immunologically inert due to lack of MHC molecules. However, *ex vivo* expansion of enough erythroid cells suitable for a single unit of blood is currently not possible. It is also economically inefficient to scale hiPSC/hESC culture for erythogenesis (in fact, since 1 unit of donated blood has on average  $\sim 2 \times 10^{12}$  erythroid cells, it is conservatively estimated a single unit of hiPSC-derived erythroid cells would cost \$8,330)<sup>240,241</sup>. Similar limitations exist for hiPSC-derived platelets (megakaryocytes)<sup>242,243</sup>.

hESC/hiPSC-derived NK cells are most primed for clinical translation, as they can be cultured and expanded to large numbers suitable for treating a single patient<sup>126</sup>. Of particular interest is that undifferentiated hESC/hiPSC can be modified with chimeric antigen receptors (CARs) and can be “personalized” to target essentially any foreign or tumor-presenting antigen of interest<sup>122,244,245</sup>. NK cells with surface CAR presentation can then be directly differentiated from these gene-modified hiPSCs using previously developed xenogenic-free and chemically-defined methods. Our data that demonstrates AHR inhibition of differentiating hESCs using StemRegenin-1 (a small molecule previously used in clinical trials<sup>142</sup>) skews hemato-lymphoid development towards cNK could be used advantageously to optimize hESC/hiPSC-derived NK cell production. Furthermore, we demonstrate that gene editing can effectively be accomplished in undifferentiated hESCs

using the CRISPR/Cas9 endonuclease system. We found this method to be highly efficient for hESC gene modification with high specificity to the *AHR* endogenous gene locus and without off-target effects. Such an approach could similarly be employed to engineer clinical quality hiPSCs and/or hiPSC-CAR-NK cells using a patient's autologous cells in a highly efficient manner (Figure 4-1). Together, these strategies can be used to make hiPSC-based personalized medicine an even closer reality.



**Figure 4-1. Clinical strategy for wide-scale hiPSC-based gene and cell therapy.** A) Dermal fibroblasts and/or peripheral mononuclear blood cells are harvested from a patient with defined genetic disease. hiPSCs are engineered from autologous cells via reprogramming with defined factors. Pathological mutations can be corrected through zinc-finger nucleases (ZFN), TAL effector nucleases (TALEN), or clustered regulatory interspaced short palindromic repeat (CRISPR)/CRISPR-associated (Cas) gene-editing technologies. Gene-corrected hiPSCs can then be differentiated into the desired cell and/or tissue products prior to autologous transplantation via direct injection or seeding on a biocompatible scaffold. B) Prior to autologous cell transplantation, gene-corrected hiPSC-derived cell products must first pass several safety checkpoints, such as viral, toxicology, and tumorigenicity screens, lack of teratoma expansion, and lack immune response to the

transplanted cells. Cells would also be immunophenotyped and banked for future patient use based on human leukocyte antigen (HLA) expression at this time. Furthermore, logistical hurdles such as obtaining intellectual property rights for product commercialization and regulatory agency approval must be in place prior to clinical trial.

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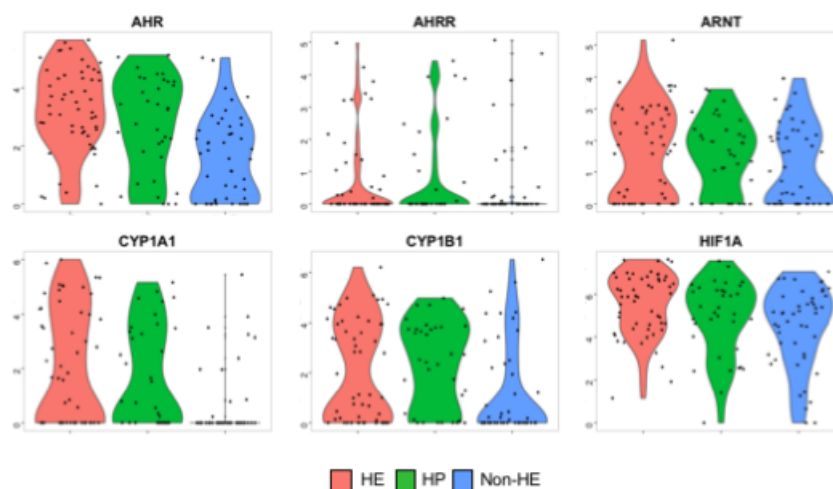
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## APPENDIX



**Appendix 1. Violin plots of AHR-related genes in single hESC-derived hemato-endothelial cells.** Log-transformed FPKM gene expression of hESC-derived HE, non-HE, and HP, as described in Chapter 2. Here, *AHR* and *AHR* downstream effector targets (notably *CYP1A1* and *CYP1B1*) are enriched in hESC-derived HE and HP as compared to non-HE.